



SAN JOAQUIN COUNCIL OF GOVERNMENTS

555 E. Weber Avenue • Stockton, California 95202 • P 209.235.0600 • F 209.235.0438 • www.sjcog.org

April 6, 2021

Sol Jobrack
CHAIR

Leo Zuber
VICE CHAIR

Diane Nguyen
EXECUTIVE DIRECTOR

Member Agencies
CITIES OF
ESCALON,
LATHROP,
LODI,
MANTECA,
RIPON,
STOCKTON,
TRACY,
AND
THE COUNTY OF SAN
JOAQUIN

Harry Black
City of Stockton
343 N. El Dorado Street
Stockton, CA 95202

Dear Mr. Black:

Enclosed is a summary of the San Joaquin Council of Governments' Annual Financial Plan (AFP) for Fiscal Year 2021/22.

The SJCOG Board staff report is included in this letter as well as a copy of the adopting resolution. Pursuant to SJCOG's Joint Powers Agreement, following adoption of the budget by the SJCOG Board, it is to be delivered to member agencies for ratification by each governing body. Approval by a majority of the governing bodies representing 55% or more of the county's population is considered ratification.

Therefore, SJCOG requests you place SJCOG's Annual Financial Plan for Fiscal Year 2021/22 on a forthcoming agenda prior to June 30, 2021 for approval.

The Annual Financial Plan implements the FY 2021/22 Overall Work Program (OWP) that was also adopted by the SJCOG Board on March 25, 2021.

The attached Annual Financial Plan (AFP) provides a detail of expenditures by cost category and line item comparing the proposed budgets with the current year (FY 2020/21) adopted budget, as most recently amended, along with FY 2019/20 actual expenditures. The Annual Financial Plan represents the general fund budget for SJCOG. The revenues and expenditures in the AFP are the same as the Overall Work Program (OWP) presented in a traditional line item format compared to the work element format of the OWP.

The attached includes a summary of revenues and expenditures in the AFP and schedules detailing each of those categories (Revenues, Service and Supplies, Transportation, Training and Travel, Professional Services and Fixed/Capital Assets). The attached includes a summary of revenues and expenditures in the AFP and detail for each of those categories.

Readers should note several differences between SJCOG and member agency's budgets:

- SJCOG revenue sources are quite different from our member agencies.
- SJCOG employs staff on an at-will basis vis-à-vis civil service and has its own employee handbook and policies.

Readers should note several differences between SJCOG and member agency's budgets:

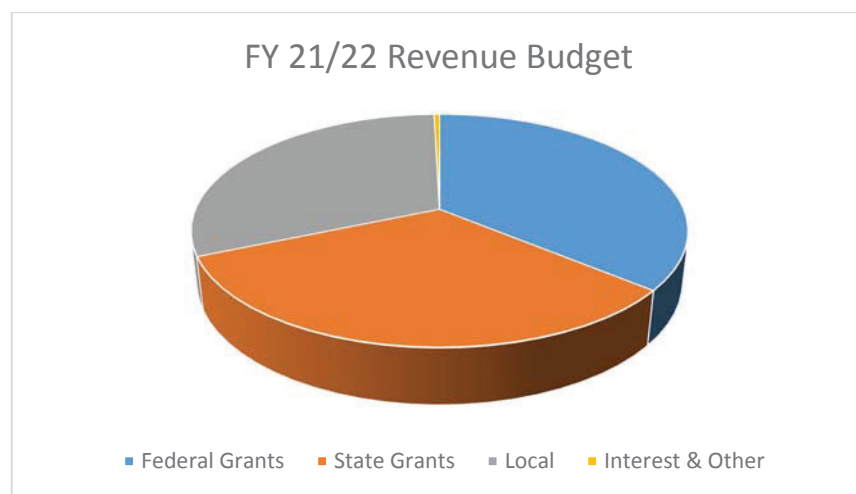
- SJCOG has its own Financial and Accounting Policy guiding our financial matters including procurement procedures.
- SJCOG employees are exempted from Social Security except for Medicare.
- As noted below, SJCOG offers a defined contribution retirement plan to its employees. SJCOG has no CalPERS or post-employment benefit obligations except limited sick leave conversion as noted.

The following assumptions are incorporated in the budget:

1. Work will not begin, and expenses will not be incurred unless anticipated revenue sources are secured.
2. The AFP anticipates SJCOG to be fully staffed. The budget includes a 6.7% pool that can be drawn upon for merit-based increases and potential promotions. The full impact of that pool is incorporated into the salary-driven benefits (retirement, Medicare, disability).
3. SJCOG has no significant liability exposure for post-employment benefits.
 - a. The employee retirement program is a defined contribution program managed by the International City Managers Association Retirement Program.
 - b. SJCOG employee vacation accruals are capped at two times the individual's annual leave.
 - c. Upon retirement (50 years of age/20 years of SJCOG employment), an employee can convert accrued sick leave hours to pay for health care premiums until their sick leave account is exhausted and is based upon the employee's salary at retirement. There is no inflation factor in post-retirement years. Payout is limited to a maximum of one year's value of documented premium costs per year.

REVENUES

Compared to the current year amended AFP, SJCOG general fund operating revenues increase from \$17,449,808.80 to \$18,923,286.33, and an increase of \$1,473,477.53.



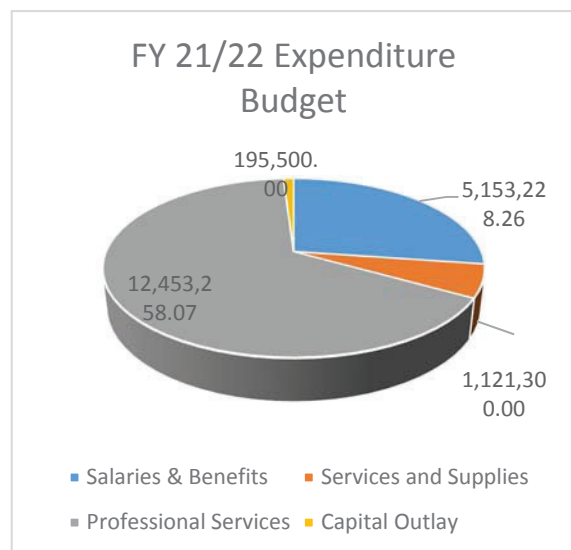
Overall, federal funding for general fund activities is 11.51%, or \$703,050.90 higher than FY 2020-21.

State funding sources are \$533,062.63 higher or 9.48%.

Local revenues are higher by \$189,764.00 or 3.35%.

EXPENDITURES

Budgeted expenditures are \$18,923,286.33.



Salaries and Benefits increase by 11.02% \$4,641,882 to \$5,153,228.26 compared to the FY 20-21 amended budget primarily due to the addition of two deputy directors to replace the deputy director of planning, programming, and project management who is now the executive director. The budget includes a 5.67% pool for merit-based raises. The salary-driven benefits adjust accordingly.

Services and Supplies are decreased by -2.9% or \$33,500.00. Adjustments have been made to reflect pandemic conditions.

Rents and Leases are reduced by \$25,000 reflecting the rightsizing of production and copying machines with smaller, less expensive machines.

Transportation, Travel, and Training

Budget remains the same as FY 20-21 at \$66,000. Even during the pandemic, training continues. With several new employees coming on board, more training expenses are expected. As conditions improve later in the fiscal year, travel may be reinstituted.

Professional Services – Increases \$995,631 over FY 20-21

There is consumption of various grants and funding sources, replaced with new grants. For example, the SB-1 Sustainable Communities Grants. There is an influx in Measure K funding for dibs. While the San Joaquin Valley Early Action Planning Committee for Housing (REAP) has expended \$121,000, there remains over \$724,000 for contract purposes. The Sustainable Transportation Equity Project (STEP) has over \$2 million for pass-through to sub-recipients.

Fixed Assets/capital remains the same as the previous year at \$195,000.

Position Classification and Salary Schedule

Per board policy, the salary ranges at both the minimum and maximum levels are adjusted by the CPI change. The past year saw a 1.689% increase in CPI.

Staff allocation

This schedule includes two new deputy director positions. These positions replace the deputy director for planning, programming, and project delivery who is now the executive director. Two positions have been added because of the dramatic increase in the work of the agency. SJCOG's Overall Work Program (OWP) has doubled in the past five years going from \$8 million to this year's \$18 million. In addition, SJCOG has not filled the project manager position since the completion of the Prop 1B projects nor has an associate planner position responsible for Measure K activity been filled. Each

deputy will be responsible for different areas of the OWP.

SJCOG staff would be pleased to appear before your policymakers to answer any questions they might have regarding this matter. **We request ratification prior to June 30, 2021.** Please let me know when this will be on your agenda. If you have any questions regarding this matter, don't hesitate to contact me at 209-235-0600.

Thank you for your assistance.

Sincerely,

DocuSigned by:

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STEVE DIAL

Deputy Executive Director/Chief Financial Officer

Attachments:

R-21-22

FY 2021-22 Annual Financial Plan Staff Report

FY 2021-22 Annual Financial Plan Board Summary



RESOLUTION
SAN JOAQUIN COUNCIL OF GOVERNMENTS

R-21-22

**RESOLUTION APPROVING THE ADOPTION OF THE 2021-2022
ANNUAL FINANCIAL PLAN
FOR THE SAN JOAQUIN COUNCIL OF GOVERNMENTS**

WHEREAS, the San Joaquin Council of Governments is required by the Joint Powers Agreement to adopt a budget (Annual Financial Plan) annually, and

WHEREAS, the adopted budget is to be sent to the member agencies for ratification.


NOW THEREFORE BE IT RESOLVED, that the San Joaquin Council of Governments adopts the FY 2021-2022 Annual Financial Plan and directs the Executive Director to transmit it to the member agencies for ratification.

PASSED AND ADOPTED this 25th day of March 2021 by the following vote of the San Joaquin Council of Governments, to wit:

AYES: Councilmember Bellinger, Escalon; Councilmember Fugazi, Stockton; Councilmember Jobrack, Stockton; Councilmember Kuehne, Lodi; Supervisor Rickman, SJC; Vice-Mayor Singh, Manteca; Supervisor Villapudua, SJC; Councilmember Wright, Stockton; Mayor Young, Tracy; Councilmember Zuber, Ripon.

NOES: None.

ABSENT: Mayor Dhaliwal, Lathrop; and Supervisor Patti, SJC.



SOL JOBRACK
Chair

March 2021
SJCOG Board

STAFF REPORT

SUBJECT: FY 2021-2022 Proposed Annual Financial Plan (AFP)

RECOMMENDED ACTION: That the Board Adopt Resolution 21-22 Approving the FY 2021-2022 Annual Financial Plan, Including the Addition of Two Deputy Director Positions

The attached Annual Financial Plan (AFP) provides a detail of expenditures by cost category and line item comparing the proposed FY 2021-2022 budgets with the current year (FY 2020-2021) adopted budget, as most recently amended, along with FY 2019-2020 actual expenditures. The total revenues and expenditures total **\$18,923,286.33**. The Annual Financial Plan (attached) represents the general fund budget for SJCOG. The revenues and expenditures in the AFP are the same as the Overall Work Program (OWP), however, presented in a traditional line item format compared to the work element format of the OWP.

The attached includes a summary of revenues and expenditures in the AFP and detail for each of those categories.

Readers should note several differences between SJCOG and member agency's budgets:

- SJCOG revenue sources are quite different from our member agencies.
- SJCOG employs staff on an at-will basis vis-à-vis civil service and has its own employee handbook and policies.
- SJCOG has its own Financial and Accounting Policy guiding our financial matters including procurement procedures.
- SJCOG employees are exempted from Social Security except Medicare.
- As noted below, SJCOG offers a defined contribution retirement plan to its employees. SJCOG has no CalPERS or post employments benefit obligations except limited sick leave conversion as noted.

Upon adoption by the Board, pursuant to the SJCOG Joint Powers Agreement, the AFP will be sent to the member agencies for ratification. Ratification is achieved when a majority of the member agencies representing 55% of the county population approve the AFP.

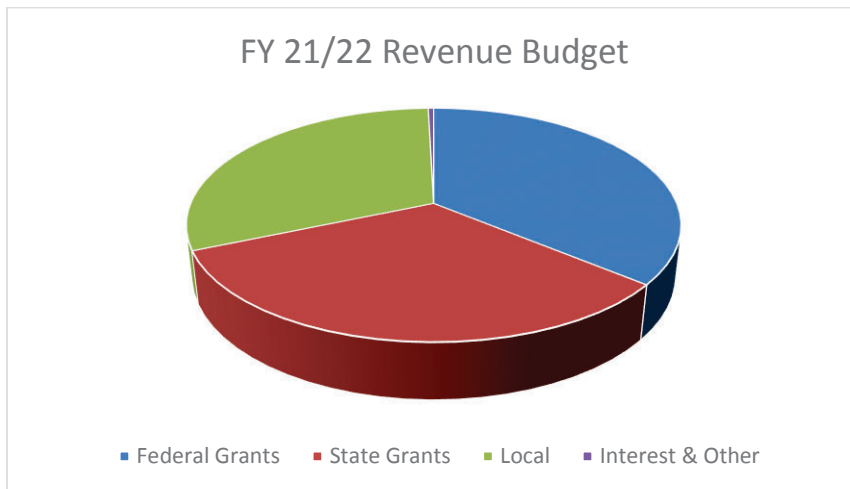
The following assumptions are incorporated in the budget:

1. Work will not begin, and expenses will not be incurred, unless anticipated revenue sources are secured.
2. The AFP anticipates SJCOG to be fully staffed. The budget includes a 5.67% pool that can be drawn upon for merit-based increases and potential promotions. The full impact of that pool is incorporated into the salary driven benefits (retirement, Medicare, disability).

3. SJCOG has no significant liability exposure for post-employment benefits.
 - a. The employee retirement program is a defined contribution program managed by the International City Managers Association Retirement Program.
 - b. SJCOG employee vacation accruals are capped at two times the individual's annual leave.
 - c. Upon retirement (50 years of age/20 years of SJCOG employment), an employee can convert accrued sick leave hours to pay for health care premiums until their sick leave account is exhausted and is based upon the employee's salary at retirement. There is no inflation factor in post-retirement years. Payout is limited to a maximum of one year's value of documented premium costs per year.

REVENUES

Compared to the current year amended AFP, SJCOG general fund operating revenues are proposed to increase from \$17,449,808.80 to \$18,923,286.33, an increase of \$1,473,477.53. Budgeted expenditures are \$18,923,286.33.



Overall, federal funding for general fund activities is 11.51%, or \$703,050.90 higher than FY 2020-21 due to:

- Programmed additional \$1,063,252.00 of Congestion Management funds (CMAQ) for dubs, Transportation Demand Management, program
- There is a slight decreases in the allocation of Federal Highways Administration planning funds (FHWA PL) totaling \$22,632.00
- There is a slight increase in the allocation of Federal Transit Administration (FTA 5303) totaling \$32,509.00
- Staff is not proposing to program any FHWA PL and FTA 5303 carryover; therefore, there's a reduction of \$370,078.10 from last year.

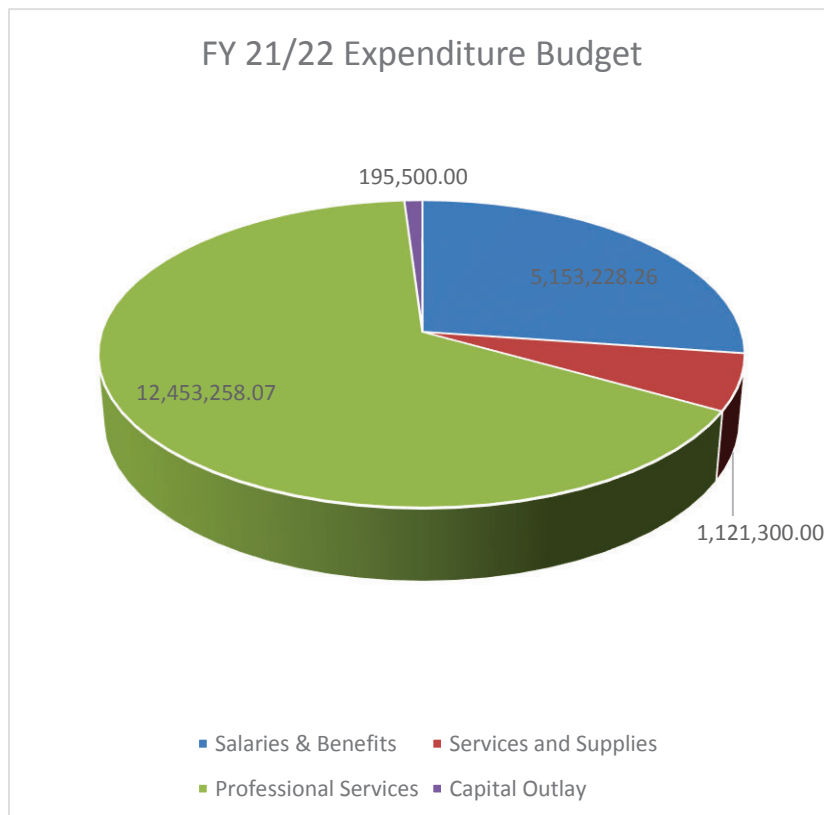
State funding sources are \$533,062.63 higher or 9.48% due to:

- \$1,129,380.51 drawdown on prior year's Freeway Service Patrol funding.

- \$1,000,995.00 is available for FY 21-22 future years of Freeway Service Patrol funding.
- \$624,084.86 drawdown has occurred on four years of Senate Bill 1 (SB1) Sustainable Transportation Planning Grants offset by a FY 21-22 allocation of \$341,671.00
- There is \$100,000.00 State Transportation Improvement Program (STIP) carryover
- Programmed an additional \$867,092.00 for State grant for Sustainable Transportation Equity Project (STEP)
- \$23,320.00 drawdown on prior year's Affordable Housing & Sustainable Community funds

Local revenues are higher by \$189,764.00 or 3.35% due to:

- Programmed an additional \$169,000.00 Measure K for the dubs, Transportation Demand Management, program.
- Additional \$34,800.00 of Local Transportation Planning & Administration funds
- \$34,700.00 increase of Transportation Demand funds for Merced
- \$13,189.00 decrease of Tri-County Travel Demand funds
- \$35,547.00 decrease of Merced Modeling funds



EXPENDITURES

Salaries and Benefits are proposed to increase by 11.02% \$4,641,882 to \$5,153,228.26 compared to the FY 20-21 amended budget primarily due to the requested addition of two deputy directors to replace the deputy director of planning, programming and project management who is now the executive director. The budget includes a 5.67% pool for merit-based raises. The salary-driven benefits adjust accordingly.

Services and Supplies are proposed to decrease by -2.9% or \$33,500.00. Adjustments have been made to reflect pandemic conditions.

Computer software and licenses have been increased to accommodate working remotely. Postage is reduced \$4,500 reflecting lower mailings. Subscriptions lowered \$4,000 reflecting actual experience. Total office expense reduced \$8,500.

Rents and leases is reduced by \$25,000 reflecting the right-sizing of production and copying machines with smaller, less expensive machines. Total Services and Supplies reduced by \$33,500.

Transportation, Travel and Training

Even during the pandemic, training continues. With several new employees coming on-board, more training expenses are expected. As conditions improve later in the fiscal year, travel may be reinstituted. Budget remains same as FY 20-21 at \$66,000.

Professional Services – Increases \$995,631 over FY 20-21

There is consumption of various grants and funding sources, replaced with new grants. For example, the SB-1 Sustainable Communities Grants. There is an influx in of Measure K funding for dibs. While the San Joaquin Valley Early Action Planning Committee for Housing (REAP) has expended \$121,000, there remains over \$724,000 for contract purposes. The Sustainable Transportation Equity Project (STEP) has over \$2 million for pass through to sub-recipients.

Fixed Assets/capital remains the same as the previous year at \$195,000.

Position Classification and Salary Schedule

Per board policy, the salary ranges at both the minimum and maximum levels are adjusted by the CPI change. The past year saw an 1.689% increase in CPI.

Note that group A still shows the deputy director for planning, programming and project delivery. Upon approval, two deputy directors will replace this position and a salary range (as a result of the compensation and classification study) will be created. These will either enter group A or a new group will be created.

Staff allocation

This schedule includes the proposed deputy director positions. These positions are requested to replace the deputy director for planning, programming and project delivery who is now the executive director. Two positions are being requested because of the dramatic increase in the work of the agency. SJCOG's Overall Work Program (OWP) has doubled in the past five years going from \$8 million to this year's \$18 million. In addition, SJCOG has not filled the project manager position since the completion of the Prop 1B projects nor has an associate planner position responsible for Measure K activity been filled. Each deputy will be responsible for different areas of the OWP.

FISCAL IMPACT

The Annual Financial Plan is required to be adopted by the Board of Directors prior to April 1 each year and disseminated to the member agencies for ratification. The Annual Financial Plan is the traditional line item budget identifying estimated revenues and expenditures for the fiscal year. The Annual Financial Plan is complimentary to the Overall Work Program.

RECOMMENDATION

That the Board adopt Resolution 21-22 approving the FY 2021-2022 Annual Financial Plan.

Prepared by: Steve Dial, Deputy Executive Director/CFO and Grace Orosco, Chief Accountant



**San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2021/22**

Proposed March 25, 2021

CHAIR

Councilmember Sol Jobrack, City of Stockton

VICE-CHAIR

Councilmember Leo Zuber, City of Ripon

BOARD OF DIRECTORS

Councilmember Doug Kuehne
Mayor Sonny Dhaliwal
Vice Mayor Gary Singh
Councilmember Dan Wright
Councilmember Christina Fugazi
Supervisor Miguel Villapudua
Supervisor Robert Rickman
Supervisor Tom Patti
Mayor Nancy Young
Councilmember David Bellinger

City of Lodi
City of Lathrop
City of Manteca
City of Stockton
City of Stockton
County of San Joaquin
County of San Joaquin
County of San Joaquin
City of Tracy
City of Escalon

EX OFFICIO DIRECTORS

Dennis Agar, Director
Gary Giovanetti, Director
Anthony Barkett

Caltrans District 10
San Joaquin Regional Transit District
Port of Stockton

SUBMITTED BY:

Diane Nguyen
Executive Director

Steve Dial
Deputy Executive Director/
Chief Financial Officer

San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2021/2022
Proposed Final March 25, 2021

REVENUES	FY 2019-20 Actual	FY 2020-21 Amendment #3	FY 2021-22 Proposed Final	+/- Change	+/- % Change
Federal Grants	\$ 2,628,599	\$ 6,106,371	6,809,422.00	703,050.90	11.51%
State Grants	\$ 1,342,113	\$ 5,624,911	6,174,573.33	549,662.63	9.77%
Local	\$ 4,435,567	\$ 5,669,527	5,859,291.00	189,764.00	3.35%
Interest	\$ 20,487	\$ 20,000	20,000.00	-	0.00%
Other	\$ 19,828	\$ 29,000	60,000.00	31,000.00	106.90%
SJCOG OPERATING REVENUE	8,446,593.45	17,449,808.80	18,923,286.33	1,473,477.53	8.44%
EXPENDITURES					
Salaries & Benefits	4,105,244	4,641,882	5,153,228.26	511,346.36	11.02%
Services & Supplies	1,036,988	1,154,800	1,121,300.00	(33,500.00)	-2.90%
Office Expense	271,770	270,000	261,500.00	(8,500.00)	0.00%
Communications	64,415	60,000	60,000.00	-	0.00%
Memberships	34,814	45,000	45,000.00	-	0.00%
Maintenance - Equipment	3,750	10,000	10,000.00	-	0.00%
Rents & Leases - Equipment	142,357	150,000	125,000.00	(25,000.00)	-16.67%
Transportation, Travel & Training (In & Out of State)	50,606	66,000	66,000.00	-	0.00%
Publications & Legal Notices	6,957	7,500	7,500.00	-	0.00%
Insurance	119,823	146,300	146,300.00	-	0.00%
Building Operations & Maintenance	214,816	200,000	200,000.00	-	0.00%
SJCOG Building Debt Service Principal and Interest	127,679	200,000	200,000.00	-	0.00%
Professional Services	3,197,015	11,457,627	12,453,258.07	995,631.17	8.69%
Capital Outlay	52,216	195,500	195,500.00	-	0.00%
Unallocated/Reserve					
SJCOG OPERATING EXPENDITURES	8,391,464	17,449,809	18,923,286.33	1,473,477.53	8.44%

San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2021/2022
Proposed Final March 25, 2021

REVENUE

Revenue Source	FY 2019-20 Actual	FY 2020-21 Amendment #3	FY 2021-22 Proposed Final	+/- Change
FEDERAL GRANTS				
<i>U.S. Department of Transportation:</i>				
Federal Highway Administration (PL)	\$ 1,325,221	\$ 1,406,262	\$ 1,383,630	\$ (22,632)
Federal Highway Administration (PL C/O)		\$ 85,785	\$ -	\$ (85,785)
Federal Transit Administration MPO Planning (FTA 5303)	\$ 346,932	\$ 346,272	\$ 378,781	\$ 32,509
Federal Transit Administration MPO Planning (FTA 5303 C/O)		\$ 284,293	\$ -	\$ (284,293)
RSTP SR99 and SR 120 Ramps: STPL 6088(057)	\$ 75,134	\$ -	\$ -	\$ -
RSTPCML 6088(068) I-205 Managed Lanes Widening	\$ 1,375	\$ 2,421,802	\$ 2,421,802	\$ -
CMAQ TDM	\$ 879,937	\$ 1,561,957	\$ 2,625,209	\$ 1,063,252
			\$ -	\$ -
	\$ 2,628,599	\$ 6,106,371	\$ 6,809,422	\$ 703,051
STATE GRANTS				
<i>California Department of Transportation:</i>				
STIP Planning & Programming		\$ 290,000	\$ 290,000	\$ -
STIP Planning & Programming FY 20/21 Carryover			\$ 100,000	\$ 100,000
FY 17/18 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 218,638	\$ -	\$ -	\$ -
FY 18/19 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 184,055	\$ 121,583	\$ -	\$ (121,583)
FY 19/20 Caltrans Sustainable Transportation Planning Grant (SB1)	\$ 18,735	\$ 335,077	\$ 100,000	\$ (235,077)
FY 19/20 Caltrans Adaptation Planning Grant (SB1)	\$ 11,386	\$ 188,614	\$ 125,000	\$ (63,614)
FY 20/21 Caltrans Sustainable Transportation Planning Grant (SB1)		\$ 353,812	\$ 150,000	\$ (203,812)
FY 21/22 Caltrans Sustainable Transportation Planning Grant (SB1)			\$ 341,671	\$ 341,671
Sub-recipient of SB1 Competitive Planning Grant (City of Lodi)		\$ 80,750	\$ 97,350	\$ 16,600
State Planning & Research (Fmrly CT Rural Planning Assistance)	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
Caltrans-FSP (I-205) FY 18-19 Carryover FSP19-6088(064)	\$ 305,235	\$ 200,000	\$ -	\$ (200,000)
Caltrans-FSP (I-205) FY 19-20 allocation FSP20-6088(069)		\$ 400,000	\$ 100,000	\$ (300,000)
Caltrans-FSP (I-205) FY 20-21 allocation FSP21-6088(073)			\$ 546,122	\$ 546,122
Freeway Service Patrol (FSP18 SB1 6088-063) Carryover	\$ 357,127	\$ 200,000	\$ -	\$ (200,000)
Caltrans-FSP18SB1 FY 18-19 allocation FSP19 SB1-6088(066)	\$ 42,797	\$ 429,381	\$ -	\$ (429,381)
Caltrans-FSP19SB1 (6088-070) FY 19-20 allocation		\$ 490,646	\$ 490,646	\$ -
Caltrans-FSP21 SB1 (6088-074) FY 20-21 allocation			\$ 454,873	\$ 454,873
Affordable Housing & Sustainable Communities FY 19/20 Enterprise	\$ 4,866	\$ 3,230	\$ -	\$ (3,230)
Affordable Housing & Sustainable Communities FY 20/21 Enterprise	\$ 20,000	\$ 40,000	\$ 20,000	\$ (20,000)
State Transit Assistance	\$ 129,273	\$ 134,391	\$ 134,391	\$ -
SJV Regional Early Action Planning Committee for Housing (REAP)		\$ 845,097	\$ 845,097	\$ -
Sustainable Transportation Equity Project (STEP)		\$ 1,462,331	\$ 2,329,423	\$ 867,092
SUBTOTAL	\$ 1,342,113	\$ 5,624,911	\$ 6,174,573	\$ 549,662.63
LOCAL				
TDA (LTF Planning + TDA Administration)	\$ 1,361,071	\$ 1,389,100	\$ 1,423,900	\$ 34,800
Measure K Project Management	\$ 1,365,184	\$ 1,000,000	\$ 1,000,000	\$ -
Measure K Administration	\$ 234,816	\$ 671,000	\$ 671,000	\$ -
Measure K dibs		\$ 695,000	\$ 864,000	\$ 169,000
RTIF	\$ 40,974	\$ 341,491	\$ 341,491	\$ -
Valley MPOs Air Quality Planning	\$ 194,019	\$ 181,100	\$ 181,100	\$ -
TDM - Merced CAG CMAQ	\$ 34,693	\$ 50,300	\$ 85,000	\$ 34,700
TDM - Merced CAG CMAQ	\$ 88,043	\$ 75,000	\$ 75,000	\$ -
TDM - StanCOG CMAQ	\$ 200,000	\$ 245,800	\$ 245,800	\$ -
Tri-County Travel Demand (Merced, Stanislaus, San Joaquin)	\$ 2,477	\$ 13,189	\$ -	\$ (13,189)
SACOG TDM (Trip Planning System, 511, dibs)	\$ 71,102	\$ 150,000	\$ 150,000	\$ -
Merced- Modeling	\$ 3,210	\$ 35,547	\$ -	\$ (35,547)
SACOG FSP Match	\$ 190,506	\$ 207,000	\$ 207,000	\$ -
COG Fees		\$ 10,000	\$ 10,000	\$ -
SJCOGI	\$ 649,472	\$ 605,000	\$ 605,000	\$ -
SUBTOTAL	\$ 4,435,567	\$ 5,669,527	\$ 5,859,291	\$ 189,764.00
OTHER				
Interest	\$ 20,487	\$ 20,000	\$ 20,000	\$ -
Other (ALUC Fees+doc fees)	\$ 19,828	\$ 29,000	\$ 60,000	\$ 31,000
SUBTOTAL	\$ 40,315	\$ 49,000	\$ 80,000	\$ 31,000
TOTAL	\$ 8,446,593.45	\$ 17,449,808.80	\$ 18,923,286.33	\$ 1,473,477.53

San Joaquin Council of Governments
 ANNUAL FINANCIAL PLAN
 Fiscal Year 2021/2022
 Proposed Final March 25, 2021

SERVICE AND SUPPLIES

		FY 2019-20	FY 2020-21	FY 2021-22	+/-
Title	Line Item Description	Actual	Amendment #3	Proposed Final	Change
Office Expense - General	General Supplies	\$ 34,482	\$ 40,000	\$ 35,000	\$ (5,000)
	Recognitions		\$ 500	\$ 500	\$ -
	Printing	\$ 2,491	\$ 4,000	\$ 4,000	\$ -
	Noncapital Equip/Furniture	\$ 43,876	\$ 20,000	\$ 20,000	\$ -
	Computer Software & License	\$ 187,677	\$ 190,000	\$ 195,000	\$ 5,000
Office Expense - General Subtotal		\$ 268,526	\$ 254,500	\$ 254,500	\$ -
Office Expense - Postage Subtotal		\$ 1,363	\$ 7,500	\$ 3,000	\$ (4,500)
Office Expense - Subscriptions Subtotal		\$ 1,882	\$ 8,000	\$ 4,000	\$ (4,000)
Office Expense - Subtotal		\$ 271,770	\$ 270,000	\$ 261,500	\$ (8,500)
Communications-Subtotal		\$ 64,415	\$ 60,000	\$ 60,000	\$ -
Memberships - Subtotal		\$ 34,814	\$ 45,000	\$ 45,000	\$ -
Maintenance - Equipment - Subtotal		\$ 3,750	\$ 10,000	\$ 10,000	\$ -
Rents & Leases - Equipment - Subtotal		\$ 142,357	\$ 150,000	\$ 125,000	\$ (25,000)
Publications & Legal Notices - Subtotal		\$ 6,957	\$ 7,500	\$ 7,500	\$ -
Insurances - Subtotal		\$ 119,823	\$ 146,300	\$ 146,300	\$ -
Building Maintenance - Subtotal		\$ 214,816	\$ 200,000	\$ 200,000	\$ -
BuildingDebt Service - Principle & Interest		\$ 127,679	\$ 200,000	\$ 200,000	\$ -
TOTAL SERVICES & SUPPLIES		\$ 986,382	\$ 1,088,800	\$ 1,055,300	\$ (33,500)

**San Joaquin Council of Governments
 ANNUAL FINANCIAL PLAN
 Fiscal Year 2021/2022
 Proposed Final March 25, 2021**

Transportation Travel & Training

	FY 2019-20	FY 2020-21	FY 2021-22	+/-
	Actual	Amendment #3	Proposed Final	Change
In and Out of State Travel	\$ 30,591	\$ 45,000	\$ 45,000	\$ -
Training	\$ 19,592	\$ 20,000	\$ 20,000	\$ -
Rideshare Incentive	\$ 423	\$ 1,000	\$ 1,000	\$ -
Transportation & Travel - Subtotal	\$ 50,606	\$ 66,000	\$ 66,000	\$ -

**San Joaquin Council of Governments
 ANNUAL FINANCIAL PLAN
 Fiscal Year 2021/2022
 Proposed Final March 25, 2021**

PROFESSIONAL SERVICES

Work Element No./Project Description	FY 2019-20 Actual	FY 2020-21 Amendment #3	FY 2021-22 Proposed Final	+/- Change
601.01--Regional Transportation Plan	\$ 53,728	\$ 471,883	\$ 471,883	\$ -
601.011--Regional Transportation Plan (AHSC)	\$ 4,969	\$ 32,000	\$ 32,000	\$ -
601.012--SCS Implementation FY 17/18 SB1 grant	\$ 165,484	\$ -	\$ -	\$ -
601.013--SCS Implementation FY 18/19 SB1 grant	\$ 204,950	\$ 126,828	\$ -	\$ (126,828)
601.014--SCS Implementation FY 19/20 SB1 grant	\$ 8,357	\$ 175,000	\$ 75,000	\$ (100,000)
601.015--19/20 Caltrans Adaptation Planning grant SB1		\$ 200,000	\$ 100,000	\$ (100,000)
601.016--SCS Implementation FY 20/21 SB1 grant		\$ 325,000	\$ 200,000	\$ (125,000)
601.01--SCS Implementation FY 20/21 SB1 grant		\$ 200,000	\$ 200,000	\$ -
601.017--SCS Implementation FY 21/22 SB1 grant			\$ 325,000	\$ 325,000
601.02--Regional Planning Studies		\$ 30,000	\$ 30,000	\$ -
602.01--RTIP				\$ -
603.01--Road & Street Monitoring	\$ 50,032	\$ 900,000	\$ 900,000	\$ -
603.015--I-205 Managed Lanes Widening Project	\$ 4,925	\$ 2,000,000	\$ 2,000,000	\$ -
603.02--Transit Coordination	\$ 28,000			\$ -
603.03--Transportation Air Quality	\$ 151,241	\$ 200,000	\$ 200,000	\$ -
603.04--Goods Movement				\$ -
603.041 --Goods Movement Partnership Planning Grant				\$ -
701.01--Technical Assistance		\$ 80,750	\$ 97,350	\$ 16,600
801.01--Intergovernmental Coordination	\$ 93,140	\$ 130,000	\$ 130,000	\$ -
801.012--Intergovernmental Coordination (St. Pln. & Reas)	\$ 50,000	\$ 50,000	\$ 50,000	\$ -
801.02--Projections & Forecasts	\$ 66,892	\$ 140,000	\$ 140,000	\$ -
801.03--Airport Land Use Commission	\$ 2,967	\$ 10,000	\$ 10,000	\$ -
801.04--Congestion Management	\$ 19	\$ 50,000	\$ 50,000	\$ -
801.05--Regional Planning		\$ 15,000	\$ 15,000	\$ -
801.06--Valley MPO Coordination	\$ 27,573	\$ 45,000	\$ 45,000	\$ -
801.09--SJCOG Inc		\$ 85,000	\$ 85,000	\$ -
901.01--Measure K	\$ 50,500	\$ 50,000	\$ 50,000	\$ -
901.02--RTIF	\$ 8,615	\$ 15,000	\$ 15,000	\$ -
901.03--Smart Growth				\$ -
1001.01--COG OWP		\$ 5,000	\$ 5,000	\$ -
1001.02--TDA Administration	\$ 168,196	\$ 250,000	\$ 250,000	\$ -
1001.03--Community Involvement	\$ 24,468	\$ 25,000	\$ 25,000	\$ -
1001.04--FAST ACT Management				\$ -
1101.01--Transportation Demand Management	\$ 435,268	\$ 1,324,248	\$ 1,900,515	\$ 576,267
1101.03--Transportation Demand Management				\$ -
1201.01--Freeway Service Patrol	\$ 851,830	\$ 1,720,027	\$ 1,720,027	\$ -
1201.03 - SJCOG Interns				\$ -
1301.01 - Performance Based Planning and Programming				\$ -
1350.01 - SJV Regional Early Action Planning Committee for Housing (REAP)		\$ 845,097	\$ 724,097	\$ (121,000)
1375.01 - Sustainable Transportation Equity Project (STEP)		\$ 1,462,331	\$ 2,079,423	\$ 617,092
Indirect	\$ 745,862	\$ 494,463	\$ 527,963	\$ 33,500
TOTAL	\$ 3,197,015	\$ 11,457,627	\$ 12,453,258	\$ 995,631

San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 2021/2022
Proposed Final March 25, 2021

FIXED ASSETS

		FY 2019-20	FY 2020-21	FY 2021-22	+/-
<i>Title</i>	<i>Description</i>	Actual	Amendment #3	Proposed Final	Change
	Office Furniture/Equipment		\$ 10,000	\$ 10,000	\$ -
	Replacement Printers (2)		\$ -	\$ -	\$ -
	Server Upgrade		\$ 20,500	\$ 20,500	\$ -
	ERP Accounting System		\$ -	\$ -	\$ -
	Customer Relation Management		\$ 25,000	\$ 25,000	\$ -
	Computer Upgrades	\$ 52,216	\$ 20,000	\$ 20,000	\$ -
	Capitalized Building Maintenance/Upgrades		\$ 120,000	\$ 120,000	\$ -
TOTAL		\$ 52,216	\$ 195,500	\$ 195,500	\$ -

POSITION CLASSIFICATIONS & SALARY SCHEDULE
ANNUAL

Proposed March 25, 2021 - Effective July 1, 2021

Position	12 month Change in CPI	Minimum	Minimum	Maximum	Maximum
		Previous	New	Previous	New
<u>Executive Director</u>		227,735.66	\$ 227,735.66		
<u>GROUP A</u>	1.6890%			\$ 207,032.43	\$ 210,529.21
Deputy Executive Director/Chief Financial Officer Deputy Director Planning, Prog. & Project Delivery					
<u>GROUP B</u>	1.68900%	92,793.76	\$ 94,361.05	\$ 145,000.00	\$ 145,000.00
Habitat Conservation Program Manager* Manager of Administrative Services Project Manager					
<u>GROUP C</u>	1.68900%	78,145.59	\$ 79,465.47	132,990.33	\$ 135,236.54
Chief Accountant Payroll Specialist/HR Assistant Senior Regional Planner Senior Program Specialist Information Technology Manager					
<u>GROUP D</u>	1.68900%	69,149.54	\$ 70,317.48	103,330.25	\$ 105,075.50
Associate Regional Planner Associate Habitat Planner Associate Program Specialist Staff Accountant Public Information Officer					
<u>GROUP E</u>	1.68900%	61,059.19	\$ 62,090.48	86,839.75	\$ 88,306.47
Junior Accountant Contract/Grants Specialist Assistant Regional Planner Assistant Program Specialist Assistant Habitat Planner Administrative Analyst					
<u>GROUP F</u>	1.68900%	54,246.57	\$ 55,162.79	73,233.73	\$ 74,470.65
Office Administrator					
<u>GROUP G</u>	1.68900%	47,878.33	\$ 48,686.99	70,085.78	\$ 71,269.53
Administrative Technician Accounting Assistant II Planning Technician					
<u>GROUP H</u>	1.68900%	41,027.55	\$ 41,720.51	58,005.10	\$ 58,984.81
Accounting Assistant I Administrative Clerk II					
<u>GROUP I</u>	1.68900%	36,084.20	\$ 36,693.66	50,144.60	\$ 50,991.54
Administrative Clerk I					

*The Board adopted the findings of the Salary & Classification study in May 2015 grandfathering the Program Manager and Habitat Program Manager at the previously adopted salary range, \$145,000.

San Joaquin Council of Governments
STAFF ALLOCATION
Proposed March 25, 2021

<u>Position</u>	2019-20	2020-21	02/25/2021 proposed 2020-21	03/25/2021 proposed 2021-22
Executive Director	1	1	1	1
Deputy Executive Director/Chief Financial Officer	1	1	1	1
Deputy Director (proposed)	1	1	1	2
Project Manager Habitat	1	1	1	1
Public Communications Officer	1	0	1	1
Senior Regional Planner	4	4	4	4
Senior Program Specialist	1	1	1	1
Chief Accountant	1	1	1	1
Assistant/Associate Regional Transportation Planner	6	8	5	6
Assistant/Associate Program Specialist	2	1	1	2
Assistant/Associate Habitat Planner	1	1	1	1
Planner Tech	0	0	0	1
Administrative Analyst	1	1	1	0
Administrative Technician	1	1	3	3
Manager of Administrative Services	1	1	1	1
Information Technology Manager	1	1	1	1
Office Administrator	1	1	1	1
Administrative Clerk I/II	2	2	0	0
Staff Accountant	1	1	1	1
Junior Accountant	0	0	1	1
Contract/Grant Specialist	0	0	1	1
Payroll Specialist-HR Assistant	1	1	1	1
Accounting Assistants I/II	1	2	2	2
TOTAL	30	31	31	34
Regular Positions:	33	33	33	34
Interns/Temporary/Part-Time Positions:	2	2	2	3