

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Annual Action Plan outlines the activities which will be undertaken during the program year beginning July 1, 2021 and ending June 30, 2022 using the Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) program funds that are received by the City of Stockton from the U.S. Department of Housing and Urban Development (HUD). The Action Plan describes how the City will address the proposed priority goals, activities, planned outcomes and budget for the new fiscal year and the funding resources allocated to housing and community development projects to meet its established goals as identified in the City's Five-Year 2020-2025 Consolidated Plan.

2. Summarize the objective This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis, or the strategic plan.

The Annual Action Plan for the 2021-22 program year identifies the various activities and projects that will be implemented and funded under the CDBG, ESG, and HOME federal programs to meet the goals and objectives identified in the Five-Year Consolidated Plan (FY 2020-2025). The City anticipates allocating \$3.3 million in CDBG funding, \$1.7 million in HOME funding and \$293,000 in ESG funding. The objectives and outcomes that are anticipated from the implementation of the 2021-22 Action Plan are identified in AP-20 and AP-35.

The City will fund 27 grants to 24 agencies that will assist low-income persons and address the City's five primary goals and priorities to guide efforts to address Stockton's priority housing and community development needs:

- Housing Services for the Homeless. Provide housing and services for the City's homeless population, including homelessness prevention. *Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wrap around services on-site.*
- Affordable Housing. Preserve, improve, and expand the supply of quality affordable housing for lower-income households. *Increase the supply of affordable multifamily housing. Provide homeownership opportunities for first-time buyers. Assist existing low-income owner-occupied households keep their homes safe and well maintained by providing rehabilitation assistance.*
- Services for Special Needs. Provide supportive services necessary to meet the needs of the City's non-homeless special needs populations. *Improve community health care, including basic and specialty care. Support and expand programs and opportunities that engage senior as well as youth.*

- Support Economic Development. Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. *Prioritize and expand job readiness programs targeting low-income youth, disabled persons, and homeless persons;*
- Public Services. Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. *Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.*

3. **Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City's Housing Division of the Economic Development Department annually monitors Subrecipient agencies that are recipients of the divisions Federal Grant funds. Staff reviews the agencies programmatic, fiscal management and performance goals; this information is factored into the Community Development Committee's (CDC) discussion and evaluation of the agencies future request for funding through the annual grant application process. The CDC and staff also use this information to recommend grant funding to the City Council.

The City's performance relative to its annual objectives can be reviewed in the Consolidated Annual Performance and Evaluation Report (CAPER) that is prepared following completion of the fiscal year and submitted to HUD.

4. **Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The goals and priorities in the FY 2021-22 Action Plan were developed through a series of public hearings and outreach conducted by City and the Community Development Committee when developing the Five-Year Consolidated Plan FY 2020-2025.

The planning process for the development of this Annual Action Plan began in December 2020 with published notices and direct e-mails to the City's housing and community development distribution list providing notification that the City was accepting applications for funding under the CDBG, ESG, and HOME programs through February 12, 2021. The notifications reached over two hundred agencies, individuals, and stakeholders.

HUD regulations for the development of the Consolidated Plan, which is the basis for the Annual Action Plan requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information regarding need, to identify available resources and gaps in the institutional delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes. To prepare the Consolidated and Action Plans, the

City's consultant met one-on-one with agencies and organizations located throughout the city and county, including public and assisted housing providers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. Additionally, the City held four focus group meetings with stakeholders other than those targeted for direct consultation, though anyone with an interest in the Consolidated Plan was encouraged to attend. The focus groups were organized around four general topic areas that expanded beyond the content covered by the one-on-one consultations. These included housing, business development, economic development, and issues pertinent to the Central City. The focus group meetings were open to the public and advertised in the local newspaper. City staff also reached out to a variety of stakeholders directly to encourage their participation. In most cases, the consultations and focus group discussions represented a continuation of the ongoing interactions and dialogue between the City and local stakeholder groups.

The City held an application workshop in January 2021. Notices regarding the workshop were mailed directly to agencies on the City's Economic and Housing distribution list and published in the local newspaper The Record prior to the workshop.

Following the application submission period of February 12, 2021, funding requests were reviewed by staff and members of the CDC. Applications were reviewed for compliance with appropriate Federal regulations, Council-adopted local community development objectives, and consistency with the priorities and objectives contained in the 2021-2022 Consolidated Plan.

The public will have two opportunities to review the proposed FY 2021-22 Action Plan. A 30-day public review period on the draft FY 2021-22 Action Plan will occur from April 9, 2021, through May 9, 2022. Furthermore, the draft Action Plan will be reviewed at a public hearing at the City Council's May 11, 2021 meeting. The public hearing was noticed to the public in The Record as well as the local Spanish newspaper, Spanish Times. The draft Stockton Action Plan was made available for public review at the Economic Development Department front counter and online.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

A summary of the public comments to the Annual Action Plan will be included as an attachment to this Plan at the conclusion of the Public review period on May 11, 2021. It will include any public comments received at the Stockton City Council's Public Hearing held on May 11, 2021.

6. Summary of comments or views not accepted and the reasons for not accepting them.

Not applicable, all comments are received for consideration.

7. Summary

The Stockton 2020-2025 Consolidated Plan and Annual Action Plan represent refined and updated versions of the City's prior planning document, and therefore do not represent a major shift in strategic direction. Programs to preserve, enhance, and increase the supply of housing affordable to lower-income households remain a priority; however, emphasis is placed on a holistic approach to overall community development. This entails providing housing opportunities for vulnerable populations, and emergency shelter and transitional housing as a priority. The Consolidated Plan supports these residents by fostering a system of supportive services, and by directing public investments, such as improved public infrastructure, facilities, and services, where they can benefit the City's lower- and moderate-income populations. At the same time, efforts to provide expanded business assistance and educational opportunities for workers and entrepreneurs will help to provide increased opportunities for residents to improve their economic self-sufficiency, so that resources made available pursuant to the Consolidated Plan can be provided to those most in need of assistance.

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	STOCKTON	Economic Development Department
HOME Administrator	STOCKTON	Economic Development Department
ESG Administrator	STOCKTON	Economic Development Department

Table 1 – Responsible Agencies

Narrative

The Economic Development Department of the City of Stockton is responsible for preparing the Consolidated Plan, the Annual Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER). The Economic Development Department consists of several divisions, which work together to administer the CDBG, HOME, and ESG programs. The Housing Division functions as the primary lead, providing coordination with other divisions as necessary and appropriate. In addition, staff also collaborates with an extensive network of governmental agencies and non-profit organizations to facilitate strategic planning and implementation of Consolidated Plan goals and objectives.

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AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

The planning process required under HUD regulations for the development of the Action Plan requires jurisdictions to reach out to, and consult with, other public and private agencies and organizations to collect information on need, to identify available resources and gaps in the official delivery structure, and to coordinate the development of funding allocation priorities, performance standards, and evaluation outcomes. The Plan itself must include a summary of the consultation process, including the identification of participating agencies and organizations, as well as a summary of efforts to enhance coordination between public and private entities.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

To facilitate preparation of the Consolidated Plan, the City of Stockton consulted one-on-one with various agencies and organizations located throughout the city and county, including public and assisted housing providers and developers, an assortment of government agencies, as well as private and public health, mental health, and social service agencies. These consultations represent a continuation of ongoing interactions between the City and the agency or organizations described. For a complete list of those contacted for participation in Consolidated Plan consultations, please refer to Table 2, below.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Consultation and coordination with the Continuum of Care (CoC) representatives is a fundamental component of the plan development process. Efforts to address the needs of homeless persons are described in greater detail throughout the remainder of the Consolidated Plan. The San Joaquin County Community Development Department is the lead agency for the San Joaquin County Continuum of Care (CoC), which represents a consortium of local government agencies and non-profit organizations, encompassing all jurisdictions in San Joaquin County, including the City of Stockton. In addition to coordinating plan development with the available information from the CoC application, the City also conducted consultations with a variety of consortia members, including San Joaquin County, the Central Valley Low Income Housing Corporation (CVLIHC), the Stockton Shelter for the Homeless, the Women's Center - YFS, and Dignity's Alcove Veterans Housing, among other important organizations and agencies.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS.

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County Community Development Department, and other participating agencies and organizations, regarding the coordination of ESG funding, including how ESG funds are allocated and the identification of performance standards and evaluation outcomes. Under the CoC, the primary contact for the collaborative application is the CoC administrator Adam Cheshire, while the Central Valley Low Income Housing Corporation (CVLIHC) is responsible for administration of the Homeless Management Information System (HMIS).

2. Describe agencies, groups, organizations, and others who participated in the process and consultations. Other local/regional/state/federal planning efforts considered when preparing the Plan.

1	Agency/Group/Organization	Arnaiz Development Company, Inc.
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the focus group discussions, followed up by a one-one-one phone call. The anticipated outcome was to collect information regarding the ability of private housing developers to provide new housing within the city, particularly quality affordable housing, and to identify any barriers to the provision of housing.
2	Agency/Group/Organization	Catholic Charities of the Diocese of Stockton
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-Poverty Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs for elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
3	Agency/Group/Organization	Central Valley Low Income Housing Corporation
	Agency/Group/Organization Type	Housing Services-Homeless Continuum of Care
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied Youth Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process as a lead participant in the Continuum of Care. The anticipated outcome was to collect information regarding resources available for, and the needs of, homeless and at-risk populations, as well as to improve coordination with the housing, homelessness, and anti-poverty strategies.
4	Agency/Group/Organization	Conway Homes Resident Council
	Agency/Group/Organization Type	Housing Civic Leaders

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy Non-Housing Community Development
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The Resident Council President for Conway Homes, one of the Housing Authority of San Joaquin's (HACSJ) public housing developments in Stockton, was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and service needs of public housing residents, as well as to improve coordination of the anti-poverty and non-housing community development strategies, among others.
5	Agency/Group/Organization	Dignity's Alcove
	Agency/Group/Organization Type	Housing Services - Housing Services-Homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homelessness Needs - Veterans Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social services available to veterans, as well as to improve coordination with the Consolidated Plan homelessness and anti-poverty strategies.

6	Agency/Group/Organization	Fathers and Families of San Joaquin County
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-Education Services-Employment Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
7	Agency/Group/Organization	Gospel Center Rescue Mission
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Non-Homeless Special Needs Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness, non-homeless special needs, and anti-poverty strategy, among other areas.

8	Agency/Group/Organization	Health Plan of San Joaquin County
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-Health Regional Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Non-Homeless Special Needs Anti-Poverty Strategy Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the healthcare, housing, and social service needs of low-income and homeless individuals, as well as to improve coordination with the Consolidated Plan homelessness and anti-poverty strategy, among other areas.
9	Agency/Group/Organization	Housing Authority of the County of San Joaquin
	Agency/Group/Organization Type	Housing Public Housing Authority Services - Housing Other Government - County Regional Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Market Analysis Anti-Poverty Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process as the certified Public Housing Agency. The anticipated outcome was to collect information regarding public housing resources, conditions, and the needs of tenants, as well as to improve coordination with the housing, homelessness, community development, and anti-poverty strategies.
10	Agency/Group/Organization	Lutheran Social Services of Northern California
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services-Education Services-Employment
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Market Analysis Anti-poverty Strategy Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding housing and social services needs of youth on the edge of homelessness, and to improve coordination with the Consolidated Plan homelessness and anti-poverty strategy, among other areas.
11	Agency/Group/Organization	New Directions
	Agency/Group/Organization Type	Housing Services - Housing Services-Victims of Domestic Violence Services-Homeless

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness and antipoverty strategy, among other areas.
12	Agency/Group/Organization	San Joaquin County Behavioral Health Services
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Homeless Services-Health Health Agency Other Government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Non-Homeless Special Needs Anti-Poverty Strategy Non-Housing Community Development
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of persons with behavioral health issues and those experiencing drug and alcohol addictions, as well as to improve coordination with the Consolidated Plan homelessness and anti-poverty strategy, among other areas.
13	Agency/Group/Organization	San Joaquin County Commission on Aging

	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
14	Agency/Group/Organization	San Joaquin County Human Services Department of Aging and Community Services
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities Other Government - County Regional Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the focus group discussions, with following up consultation including a conference call with various organizations representing the needs of elderly and disabled residents. The anticipated outcome was to collect information regarding social service, public facility, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
15	Agency/Group/Organization	San Joaquin Fair Housing Association
	Agency/Group/Organization Type	Housing Service-Fair Housing

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding fair housing issues and identify barriers to affordable housing, as well as to improve coordination of the anti-poverty strategy, among others.
16	Agency/Group/Organization	Second Harvest Food Bank
	Agency/Group/Organization Type	Services-Homeless Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding met and unmet nutrition needs, as well as to improve coordination with the Consolidated Plan anti-poverty strategy.
17	Agency/Group/Organization	Sierra Vista Resident Council
	Agency/Group/Organization Type	Housing Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy Non-Housing Community Development

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The Resident Council President for Sierra Vista, one of the Housing Authority of the County of San Joaquin's (HASJs) public housing developments in Stockton, was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and service needs of public housing residents, as well as to improve coordination the anti-poverty and non-housing community development strategies, among others.
18	Agency/Group/Organization	St. Mary's Interfaith Dining Room
	Agency/Group/Organization Type	Services-Homeless Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the services provided and to identify gaps in service for homeless and at-risk populations, as well as to improve coordination with the housing, homelessness, and anti-poverty strategies.
19	Agency/Group/Organization	STAND Affordable Housing
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information the housing market, barriers to affordable housing development, and the connection between housing and public safety, as well as to improve coordination with the Consolidated Plan housing and antipoverty strategies.

20	Agency/Group/Organization	Stockton Emergency Food Bank
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding met and unmet nutrition needs, as well as to improve coordination with the Consolidated Plan anti-poverty strategy.
21	Agency/Group/Organization	Stockton Shelter for the Homeless
	Agency/Group/Organization Type	Housing Services-Persons with HIV/AIDS Services-Homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Anti-poverty Strategy Non-Housing Community Development Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of homeless individuals in Stockton, as well as to improve coordination with the Consolidated Plan housing, homelessness and anti-poverty strategies, among other areas.
22	Agency/Group/Organization	University of the Pacific's Thomas J. Long School of Pharmacy and Health Sciences
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities Services-Education
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	The organization was invited to participate in the consultation process by the San Joaquin County Aging and Community Services Division of the County's Health and Human Services Agency. The anticipated outcome was to collect information regarding social service, public facility, and housing needs of elderly and disabled residents, and to improve coordination with the non-housing community development strategy and anti-poverty strategy, among others.
23	Agency/Group/Organization	Visionary Home Builders
	Agency/Group/Organization Type	Housing Business Leaders
24	Agency/Group/Organization	Women's Center Youth and Family Services
	Agency/Group/Organization Type	Housing Services-Children Services-Victims of Domestic Violence Services-Homeless Services-Education
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically Homeless Homeless Needs - Families with Children Homelessness Needs - Unaccompanied Youth Non-Homeless Special Needs Anti-Poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	This organization was invited to participate in the consultation process. The anticipated outcome was to collect information regarding the housing and social service needs of victims of domestic violence, as well as to improve coordination with the Consolidated Plan housing, homelessness and anti-poverty strategies, among other areas.

Identify any Agency Types not consulted and provide rationale for not consulting.

During Consolidated Plan development, the City of Stockton consulted a wide variety of agencies in-depth, including those listed in the table above. During Action Plan development, we posted information on the City's website, Facebook page, sent out flyers to our mailing list of stakeholders, and advertised public hearings in the local newspaper. Going forward, we will enhance these notifications to cast a wider net and encourage additional agencies to participate.

1. Other local/regional/state/federal planning efforts considered when preparing the Plan.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	San Joaquin County Community Development Department	As the largest City in the CoC, the City of Stockton has a seat on the CoC governing body, ensuring coordination with the CoC and action planning process.

Table 3 – Other local / regional / federal planning efforts

AP-12 Participation - 91.401, 91.105, 91.200(c)

2. Summary of citizen participation process/Efforts made to broaden citizen participation

Summarize citizen participation process and how it impacted goal setting.

The planning process for the development of this Annual Action Plan began in December 2020 with published notices and direct email providing notification that the City was accepting applications for funding under the CDBG, ESG, and HOME programs through February 12, 2021. The CDBG and ESG NOFA, in combination with a Needs Assessment Hearing held on November 2019, allowed agencies and interested citizens the opportunity to express their comments, concerns, and thoughts on the housing and community development needs of the community.

Following the application submission period, funding requests were reviewed by staff and members of the Community Development Committee (CDC). Applications were reviewed for compliance with appropriate Federal regulations, Council-adopted local community development objectives, and consistency with the priorities and objectives contained in the 2015-2020 Consolidated Plan. In addition, each application was assessed for demonstration of need; project readiness, capacity, and experience; measurable outcomes, objectives, and low-moderate income benefit; and applicants experience with managing government grants and leveraging resources. The CDC held two public meetings on March 10, 2021, and March 30, 2021, which resulted in recommendations to the Council on the use of funds.

Citizen Participation Outreach

Sort order	Mode of outreach	Target of outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Mailer via Email	Mailing List	December ,2020 a mailer advertising the NOFA requesting applications due by February 12, 2021.	None received	Not applicable	N/A
2	Internet Outreach	Non-targeted/broad community	A flyer was posted to the City of Stockton's website and official Facebook page advertising the NOFA requesting applications due by February 12, 2021	None received	Not applicable	https://www.facebook.com/CityofStockton

Sort order	Mode of outreach	Target of outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	News Paper Ad	Non-targeted/broad community	A NOFA requesting applications due by February 12, 2021 and notice of March 11, 2021 meeting published in the Record.	None received	Not applicable	N/A
4	Public Meeting	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p>	Attendance included CDC members, community members, stakeholders, and staff. A series of public hearings and outreach was conducted by the City and the CDC when developing the Five-Year Consolidated Plan 2020-2025.	None received	N/A	

Expected Resources

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

During the planning period, the City expects to receive approximately \$3.33 million in annual CDBG funding. This would equal a five-year total of \$16.5 million. The City also anticipates receiving an annual allocation of approximately \$1.7 million in HOME funding for housing activities, and administrative costs, which would equal a five-year total of \$8.5 million. The City also expects to receive an estimated \$293,000 in annual ESG funding, which would equal a five-year total of \$1.46 million.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Housing and Services for the Homeless	2020	2025	Affordable Housing Public Housing Homeless	City-wide	Affordable Housing Homelessness	CDBG: \$332,489 ESG: \$272,379	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 330 Persons Assisted Public service activities other than Low/Moderate Income Housing Benefit: 1760 Persons Assisted Tenant-based rental assistance / Rapid Rehousing: 37 Households Assisted Eviction Prevention : 145 Homeless Person Overnight Shelter: Persons Assisted Homelessness Prevention: 4,200 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Affordable Housing	2020	2025	Affordable Housing Public Housing Homeless	City-wide	Homelessness Affordable Housing	CDBG: \$1,750,000 HOME: \$1,584,476	Homeowner Housing Rehabilitated: 8 Household Housing Unit Direct Financial Assistance to Homebuyers: 1 Household Assisted
3	Support Economic Development	2020	2025	Non-Homeless Special Needs Non-Housing Community Development	City-wide	Non-Housing Community Development 1	CDBG: \$1,035,000	Facade treatment/business building rehabilitation: 7 Business Jobs created/retained: 4 Jobs Businesses assisted: 2 Businesses Assisted 11
4	Public Services	2020	2025	Non-Homeless Special Needs Non-Housing Community Development	City-wide	Non-Housing Community Development	CDBG: \$427,511	Public service activities other than Low/Moderate Income Housing Benefit: 10,000 Persons Assisted

Table 2 – Goals Summary

Goal Descriptions

1	Goal Name	Housing and Services for the Homeless
	Goal Description	Provide housing and services for the City's homeless population, including homelessness prevention. Increase and maintain transitional housing opportunities. Expand housing first model to provide permanent housing units with intense wraparound services on-site.
2	Goal Name	Affordable Housing
	Goal Description	Preserve, improve, and expand the supply of decent affordable housing for lower-income households. Increase the supply of affordable multifamily housing. Provide homeownership opportunities for first-time buyers. Assist existing low-income owner-occupied households keep their homes safe and well maintained by providing rehabilitation assistance.
3	Goal Name	Support Economic Development
	Goal Description	Promote economic development activities that create, attract, and retain jobs and promote economic activity and vitality, especially those that provide economic opportunities for low- and moderate-income persons. Prioritize and expand job readiness programs targeting low-income youth, disabled persons, and homeless persons.
4	Goal Name	Services for Special Needs
	Goal Description	Provide supportive services necessary to meet the needs of the City's non-homeless special needs populations. Improve community health care, including basic and specialty care. Support and expand programs and opportunities that engage senior as well as youth.
5	Goal Name	Public Services
	Goal Description	Ensure the provision of high-quality public services to support ongoing community development, including the provision of funding for fair housing services, among other activities. Actively and faithfully promote fair housing and investigate housing discrimination. Prioritize the maintenance and improvement of municipal services, facilities, and infrastructure.

AP-35 Projects - 91.420, 91.220(d)

Introduction

This section of the Stockton Action Plan includes the proposed projects and activities to be undertaken by the City of Stockton that will implement its Housing and Community Development activity plan contained in the FY 2020-2025 Consolidated Plan. The projects described in this section are funded with FY 2021-2022 federal funding from the Community Development Block Grant Program (CDBG), Emergency Solutions Grant (ESG) and HOME Investment Partnerships Program (HOME). Additionally, a number of projects the City is funding are through its state allocated funding sources such as the Housing and Homeless Assistance Program (HHAP) and the Permanent Local Housing Assistance program (PLHA).

The projects and programs that the City funded with CDBG, HOME, and ESG funds during the previous fiscal years have contributed toward substantial improvements in the lives and neighborhoods of Stockton's low-income residents and provided various safety net programs, safe, decent housing for many who would not otherwise be able to afford it. The programs and activities funded in the FY 2021-2022 Action Plan will build on these prior successes and continue to meet the objectives of the 2020-2025 Consolidated Plan.

#	Project Name
1	CDBG Administration
2	CDBG Housing Program Operation
3	CDBG Housing Program
4	Debt Service - Section 108 Loan Repayment
5	Emergency Repair Program
6	San Joaquin Fair Housing
7	Gospel Center Rescue Mission
8	Tuleburg Press
9	Emergency Food Bank - Food Programs
10	Second Harvest Food Bank
11	SJC Human Services Agency
12	Community Center for the Blind and Visually Impaired
13	Bread of Life
14	Kelly's Angels
15	Community Medical Centers
16	Child Abuse Prevention Council
17	Women's Center-Youth & Family Services
18	Boys & Girls Club at Sierra Vista-Stockton
19	New Legacy Foundation
20	Commercial Façade Improvement Program
21	Micro Storefront Beautification Grant
22	Stockton Entrepreneurship Program

23	Fresh Produce Access Grant - Stocked Full of Produce
24	Food Entrepreneurship & Urban Garden Support
25	Oak Park Facility Upgrades
26	Homeless Initiative
27	HOME Administration
28	HOME Housing Program Delivery
29	HOME Housing Loans
30	CHDO Set-Aside Funds
31	ESG21 Stockton

Table 7 - Project Information**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs.**

The City has identified the lack of sufficient funding as the greatest obstacle to meeting the underserved needs in the community. Over the past several years, the CDBG, ESG, and HOME programs have experienced funding reductions. The City will continue to apply for funding and/or support applications by other organizations to expand affordable housing opportunities, homeless assistance, and supportive services, and to meet the other needs of the low-income residents and neighborhoods. The funding is allocated to a variety of housing and public service projects/programs and activities to help address the priority needs identified in the City's Five-Year Consolidated Plan. Federal funding is leveraged by local and State funding sources.

Barriers to addressing underserved needs include:

Reduced levels of federal CDBG, ESG, and HOME funding.

A shortage of affordable housing targeting very low-income and working-class households compared to the growing demand (households with incomes at or below 50 percent of the AMI).

Significant increases in the cost of fair market rents.

A significant decrease in community resources that resulted in declining or stagnating supply of services due to a lack of available supportive services funding.

An increased demand for services due to population growth between 2010 and 2018 in Stockton, particularly in the low-income areas.

AP-38 Project Summary

Project Summary Information

1	Project Name	CDBG Administration
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Support Economic Development Public Services
	Needs Addressed	Homelessness Affordable Housing Non-Housing Community Development 1 Non-Housing Community Development 2
	Funding	CDBG: \$986,978
	Description	Administration of CDBG program including staff and operational costs of oversight and management. Matrix code: 21A Citation: 570.206
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	N/A - Administration
	Location Description	N/A - Administration
	Planned Activities	General Program Administration
2	Project Name	CDBG Housing Program Operation
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$292,141
	Description	Staff and service delivery costs associated with implementation of various housing programs. Matrix Code: 14H National Objective: LMH Citation: 570.202 Performance Measure: DH-2
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	N/A - Delivery Costs
	Location Description	N/A - Delivery Costs
	Planned Activities	Rehabilitation Administration and Down Payment Assistance

3	Project Name	CDBG Housing Program
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$1,920,000
	Description	CDBG funds will be provided through various City housing programs for the improvement of low- and moderate-income housing city-wide. The Multi-family Housing Program will be available to assist in the rehabilitation or the development of low- and moderate-income multi-family housing. Matrix Code: 14B National Objective: LMH Citation: 570.202 Performance Measure: DH-2
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	City-wide
4	Planned Activities	
	Project Name	Debt Service - Section 108 Loan Repayment
	Target Area	
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$1,885,771
	Description	The City of Stockton received a Section 108 Loan that was used for the development of the Hotel Stockton, the Downtown Cineplex, Weber Block Plaza (Dean DeCarli Square), and the Mercy Housing affordable housing development. These funds represent the City's repayment of the loan funds and interest. Matrix Code: 19F
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	N/A
5	Location Description	
	Planned Activities	N/A
	Project Name	Emergency Repair Program
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$60,000
	Description	Funds provided for the repair of immediate health and safety conditions which present a danger to the occupants of the home. The Emergency Repair Program was designed to provide one-time emergency repair assistance to low-income property owners. Properties with one owner over the age of 65 or with special needs may be eligible for a

		grant. Repairs are limited to corrections of code enforcement violations listed in official documents issued by the City, the Fire Marshal, or the Health Officer stating the code violations present causing an imminent danger to life, limb, property, or safety of the public or occupants. Matrix Code: 14A National Objective: LMH Citation: 570.202 Performance Measure: DH-1
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Approximately four low-income properties, with one owner over the age of 65 or with special needs may be eligible.
	Location Description	City-wide
	Planned Activities	Rehabilitation; Single unit residential
6	Project Name	San Joaquin Fair Housing
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$154,851
	Description	This activity supports the local fair housing office which provides services in the form of landlord/tenant education and mediation. Matrix Code: 05J Citation: 570.201(e) Performance Measure: DH-1 National Objective Code: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	3,349 low-income households will be assisted with fair housing mediation services, while several thousand more inquiries will be answered via telephone, email and/or mailings.
	Location Description	401 South El Dorado Street, Suite A, Stockton, CA 95203
7	Planned Activities	
	Project Name	Gospel Center Rescue Mission
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$75,000
	Description	Rehab of sleeping quarters and bathroom, and expansion of laundry area serving homeless individuals. Matrix Code: 03C Citation: 570.201(c) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	705 homeless individuals staying at the emergency shelter.
	Location Description	229 East Church Street, Stockton, CA 95203
	Planned Activities	Rehabilitation of sleeping quarters and bathrooms, and expansion of laundry area

8	Project Name	Tuleburg Press
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$30,000
	Description	Operating expenses and supplies for writing/publishing center. Matrix Code: 05D Citation: 570.201(e) Performance Measure: SL-1 National objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	196 low-income households will benefit from the writing center activities.
	Location Description	343 East Main Street, Suite 101, Stockton, CA 95202
	Planned Activities	Public Services (General)
9	Project Name	Emergency Food Bank - Food Programs
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$45,000
	Description	Funds to be used for the Mobile Farmers' Market, Farm to Family and Child Care Mobile Farmers Market programs to distribute fresh fruits & vegetables. Two mobile vans visit over 61 low-income and/or resource deficient sites each month. Produce obtained through Farm to Family is distributed through the Food Bank's programs and to other programs that serve the low-income and/or homeless. Matrix Code: 05W Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	12,000 low-income and/or homeless individuals will receive fresh fruits and vegetables via the mobile farmer's market, farm to family and childcare mobile farmer's market programs.
	Location Description	7 West Scotts Avenue, Stockton, CA 95203
	Planned Activities	Food Banks
10	Project Name	Second Harvest Food Bank
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$30,000
	Description	Second Harvest Food Bank will distribute food to low-income residents of Stockton. Matrix Code: 05W Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	7,000 low-income residents of Stockton will receive food distributions.
	Location Description	1220 Vanderbilt Circle, Manteca, CA 95337
	Planned Activities	Food Banks
11	Project Name	SJC Human Services Agency
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$15,000
	Description	Funds to be used for continuation of a program to distribute meals to home-bound seniors, "Meals on Wheels." Matrix Code: 5A Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	193 low-income, home-bound seniors in Stockton will have meals delivered to their homes.
	Location Description	City-wide
12	Planned Activities	Senior Services
	Project Name	Community Center for the Blind and Visually Impaired
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$12,660
	Description	Funds phone system upgrades to improve accessibility for clients and staff costs for implementation. Matrix Code: 5B National Objective: LMC Citation: 570.201(e) Performance Measure: SL-1 Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	100 blind or visually impaired clients.
13	Location Description	2453 Grand Canal Boulevard, Suite 5, Stockton, CA 95207
	Planned Activities	Public Services
	Project Name	Bread of Life
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$30,000

	Description	Fund operating expenses to provide food to low-income families. Matrix Code: 5W Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	4,250 low-income clients.
	Location Description	City-wide
	Planned Activities	Public Service
14	Project Name	Kelly's Angels
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$20,000
	Description	Funding for operating expenses of program offering services to youth who are experiencing trauma due to violence or crimes. Matrix Code: 05D Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	50 low-income individuals will be served by the program, including referrals from the District Attorney's Victim's Assistance Unit.
	Location Description	343 East Main St., Suite 305, Stockton, CA 95202
15	Planned Activities	Youth Services
	Project Name	Community Medical Centers
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$127,489
	Description	Rehabilitation of building to create a youth health clinic to provide supportive services to Stockton's low-income and homeless youth. Matrix Code: 03PT Citation: 570.201(c) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	9,000 low-income individuals upon completion of the clinic
16	Location Description	701 East Weber Avenue, Stockton, CA 95202
	Planned Activities	Rehabilitation of building to create a youth health clinic
	Project Name	Child Abuse Prevention Council
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2

	Funding	CDBG: \$70,000
	Description	Provide for employment of a Court Appointed Special Advocates (CASA) recruiter/trainer who will recruit and train CASA volunteers to support Stockton foster care youth who have been removed from their homes due to exposure to abuse and neglect. Matrix Code: 05N Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	150 foster youth from ages 12-24.
	Location Description	127 North Sutter Street, Stockton CA 95202
	Planned Activities	One employee who will recruit and train CASA volunteers to increase the capacity of the organization to provide CASA services to more foster youth.
17	Project Name	Women's Center-Youth & Family Services
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$80,000
	Description	Rehabilitation of facilities that serve domestic violence victims and homeless youth. Matrix Code: 03C Citation: 570.201 (c) Performance Measure: SL-1 National Objective: LMC Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	4,450 low-income and homeless individuals.
	Location Description	729 North California Street, Stockton CA 95202 620 North San Joaquin Street, Stockton CA 95202 426 East Flora Street, Stockton CA 95202 436 East Flora Street, Stockton CA 95202
	Planned Activities	Rehabilitation of facilities serving domestic violence victims and homeless youth.
18	Project Name	Boys & Girls Club at Sierra Vista-Stockton
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Non-Housing Community Development 2
	Funding	CDBG: \$50,000
	Description	Provide for the purchase and delivery of resources and supplies to low-income youth, including academic packets, school supplies, and fresh food. Matrix Code: 05D Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	300 low-income youth.
	Location Description	1700 East 11th Street, Stockton, CA 95206
	Planned Activities	Youth Services
19	Project Name	New Legacy Foundation
	Target Area	City-wide
	Goals Supported	Public Services
	Needs Addressed	Homelessness Non-Housing Community Development 2
	Funding	CDBG: \$20,000
	Description	Provide for the hosting of financial literacy classes low-income and homeless individuals. Matrix Code: 05H Citation: 570.201(e) Performance Measure: SL-1 National Objective: LMC Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	140 low-income individuals, including 50 homeless individuals.
	Location Description	401 East Main Street, Stockton, CA 95202
	Planned Activities	Public Services (General)
20	Project Name	Commercial Façade Improvement Program
	Target Area	
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$450,000
	Description	Rehabilitation of commercial properties in eligible target areas including façade improvements. Matrix Code: 14E Citation: 570.202(3) Performance Measure: EO-3 National Objective: SBA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Three (3) businesses will receive facade improvements. Qualifying businesses are those located in the target area.
	Location Description	Eligible areas include the Waterfront Redevelopment Project Area, El Dorado Street between Harding Way and Park Street, California Street between Harding Way and Park Street, East Main Street between Wilson Way and Highway 99, Fremont Street between Ryde Avenue and Interstate 5, Charter Way between Interstate 5 and Airport Way, and Airport Way between Charter Way and Twelfth Street
	Planned Activities	Rehabilitation; Commercial Façade
21	Project Name	Micro Storefront Beautification Grant

	Target Area	
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$40,000
	Description	Assist commercial property and/or business by providing funding for minor storefront improvements. Matrix Code: 14E Citation: 570.202 Performance Measure: EO-3 National Objective: SBA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Four (4) businesses will be assisted.
	Location Description	Eligible areas include targeted commercial corridors: Charter Way, MLK Jr. Blvd., E. Main Street, Airport Way, Wilson Way, E. Fremont Street, West Lane, E. Ben Holt (East of Pacific Ave.), Hammer Lane, Lower Sacramento Rd. (Near Ponce De Leon), Pacific Ave. (between Harding and Alpine Ave.), Harding Way, Yosemite St., El Dorado St.(up to Essex St.), California St. (up to Alpine Ave.) and the Downtown Improvement District.
	Planned Activities	Assist commercial property and/or business by providing funding for minor storefront improvements
22	Project Name	Stockton Entrepreneurship Program
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$235,000
	Description	Previously named the Entrepreneurship/Business Incubator. Funding for organizations that serve as business service providers to facilitate and provide services to entrepreneurs, new innovators, emerging or existing businesses. Funding for Entrepreneurs with creative ideas who need capital to start or expand their business, providing goods or services to the community and/or introducing products to underserved areas of the community. Matrix Code: 18C Citation: 570.201(o) Performance Measure: EO-1 National Objective: LMA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Seven (7) microenterprise businesses for low/moderate income persons.
	Location Description	City-wide
	Planned Activities	ED Direct Financial Assistance to for-profits & to assist entrepreneurs start or expand their business concept.
23	Project Name	Fresh Produce Access Grant - Stocked Full of Produce
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1

	Funding	CDBG: \$60,000
	Description	The program will reduce food insecurity by offering retail stores grant funding to assist with needed infrastructure upgrades to promote the sale and storage of healthier and/or fresh food options. Matrix Code: 18A Citation: 570.203(a) Performance Measure: EO-1 National Objective: LMA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Three (3) businesses will be assisted.
	Location Description	City-wide
	Planned Activities	These grants can be used to make improvements in retail stores such as the installation of new refrigeration or shelving, to provide more access to healthier and/or fresh food options in United States Department of Agriculture defined Food Deserts within the City of Stockton.
24	Project Name	Food Entrepreneurship & Urban Garden Support
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$100,000
	Description	Previously named the Stockton Community Kitchen Incubator. Provide funding to supports small local food and beverage entrepreneurs in Stockton. Matrix Code: 18C Citation: 570.203(b) Performance Measure: EO-3 National Objective: LMA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Three (3) businesses.
	Location Description	City-wide
	Planned Activities	ED Direct Financial Assistance to support small local food and beverage entrepreneurs in Stockton.
25	Project Name	Oak Park Facility Upgrades
	Target Area	City-wide
	Goals Supported	Support Economic Development
	Needs Addressed	Non-Housing Community Development 1
	Funding	CDBG: \$150,000
	Description	Funding for the continued renovations of the facility, specifically for system upgrades and replacements, such as lighting, electrical, HVAC, water lines, and restrooms Matrix Code: 03F Citation: 570.202 Performance Measure: SL-3 National Objective: LMA Presumed benefit: No
	Target Date	6/30/2022
	Estimate the number and type of families	The project site is in Census Tract 0014.00, which has a median income of \$ 44,988 and is on the border of Census Tracts 0013.00 and 0015.00

	that will benefit from the proposed activities	
	Location Description	3545 Alvarado Avenue, Stockton, CA 95204
	Planned Activities	Redevelopment of Oak Park Ice Rink facility intended primarily for recreational use.
26	Project Name	Homeless Initiative
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Public Services
	Needs Addressed	Homelessness Affordable Housing
	Funding	CDBG: \$350,000
	Description	Subject to a request for proposals, to be used for acquisition, construction, or rehabilitation of temporary shelters or transitional housing for the homeless in conjunction with recommendations by the Committee on Homeless Policy. Matrix Code: 03C Citation: 570.201(c) Performance Measure: SL-1 Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	To be determined (subject to request for proposals).
	Location Description	City-wide
	Planned Activities	Subject to request for proposals; to be used for acquisition, construction, or rehabilitation of temporary shelters or transitional housing for the homeless.
27	Project Name	HOME Administration
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Public Services
	Needs Addressed	Homelessness Affordable Housing Non-Housing Community Development 2
	Funding	CDBG: \$176,381
	Description	Administration of HOME program, including oversight, management, and capacity building.
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	N/A
	Planned Activities	Administration of HOME program
28	Project Name	HOME Housing Program Delivery
	Target Area	City-wide
	Goals Supported	Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$100,000
	Description	Delivery costs associated with implementation of specific housing programs and projects. This includes staff time spent reviewing agreements, staff reports, and general technical assistance provided to HOME assisted projects.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	City-wide
	Planned Activities	Delivery costs associated with implementation of specific housing programs and projects
29	Project Name	HOME Housing Loans
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing
	Needs Addressed	Homelessness Affordable Housing
	Funding	HOME: \$1,320,397
	Description	HOME funds will be used to provide financial assistance for the rehabilitation or development of low-income housing on a city-wide basis. The Housing Rehabilitation Program will be available to assist single family homeowners to make repairs to their residences. The Down payment Assistance Program will assist qualified low to moderate income households with down payment assistance and/or soft 2nd mortgages. Funds will also be available for multi-family rehabilitation or construction projects.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	To be determined (subject to proposals).
	Location Description	City-wide

	Planned Activities	Financial assistance for the rehabilitation or development of low-income housing.
30	Project Name	CHDO Set-Aside Funds
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless Affordable Housing Public Services
	Needs Addressed	Homelessness Affordable Housing
	Funding	HOME: \$264,079
	Description	Set-aside funds as required by HUD HOME regulations for housing activities owned, developed and/or sponsored by qualified Community Housing Development Organizations (CHDO).
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	14 housing units for low/moderate income families.
	Location Description	City-wide
	Planned Activities	Set-aside funds as required by HUD HOME regulations for housing activities owned, developed and/or sponsored by qualified Community Housing Development Organizations (CHDO). Type of housing (rental vs. homeowner and rehabilitation vs. construction) subject to request for proposals.
31	Project Name	ESG21 Stockton
	Target Area	City-wide
	Goals Supported	Housing and Services for the Homeless
	Needs Addressed	Homelessness
	Funding	ESG: \$292,379
	Description	Non-staff operational costs for organizations that provide services to the homeless, funds provided to Central Valley Low Income Housing Corporation (CVLIHC) for the provision of Rental Assistance and Stabilization Services to households at risk of becoming homeless, to re-house households that are experiencing homelessness, and to conduct data collection via Homeless Management Information System; and Administration of the ESG program including staff and operational costs of oversight and management. Citations: 576.102, 576.103, 576.104, 576.108 Performance Measure: SL-1 Presumed benefit: Yes
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	5,054 homeless people will receive shelter or services, or receive rent assistance and stabilization services, with data collection and another 12,375 homeless via the Homeless Management Information Systems.
	Location Description	• Central Valley Low Income Housing Corporation (CVLIHC) - 2431 West March Lane, #350, Stockton, CA 95207 - Services offered city-wide

		<ul style="list-style-type: none"> • Women's Center - Youth and Family Services - 620 North San Joaquin Street, Stockton, CA 95202 - shelter locations are confidential • Gospel Center Rescue Mission - 445 South San Joaquin Street, Stockton, CA 95203 • Haven of Peace - 7070 Harlan Road, French Camp, CA 95231 • St. Mary's Dining Room - 545 West Sonora Street, Stockton, CA 95203 • Stockton Shelter for the Homeless - 411 South Harrison Street, Stockton CA 95203 • Ready to Work - 119 East Weber Avenue, Stockton CA 95202
	Planned Activities	<p>ESG21 Shelter (\$163,427): Non-staff operational costs for organizations that provide services to the homeless. Funds will be provided to: Women's Center-Youth & Family Services, Gospel Center Rescue Mission, Haven of Peace, St. Mary's Interfaith, Stockton Shelter for the Homeless, and Ready to Work.</p> <ul style="list-style-type: none"> • ESG21 Homeless Prevention and Rapid Rehousing (HP - \$75,561; RRH - \$18,891): Funds will be provided to Central Valley Low Income Housing Corporation (CVLIHC) for the provision of Rental Assistance and Stabilization Services to households at risk of becoming homeless, and for the provision of Rent Assistance and Stabilization Services to re-house households that are experiencing homelessness. • ESG21 Data Collection (\$14,500): Funds will be provided to Central Valley Low Income Housing Corporation (CVLIHC) to conduct data collection through the Homeless Management Information Systems. • ESG21 Administration (\$20,000): Administration of the ESG program including staff and operational costs of oversight and management.

AP-50 Geographic Distribution – 91.220(f)

The City does not allocate funds to specific geographic target areas; rather, the City makes resources available on a city-wide basis, but provides additional outreach and recruitment in areas with high concentrations of unmet needs. Organizations that are awarded program and/or project funding may have their headquarter offices located in other cities within San Joaquin County; however, all Stockton funded activities are required to serve only City of Stockton eligible households.

Geographic Distribution

Target Area	Percentage of Funds
Citywide	100%

Rationale for the priorities for allocating investments geographically

The City of Stockton allocates resources on a citywide basis. For example, the housing rehabilitation programs, and down-payment assistance programs are equally available to qualifying residents in all geographic subareas, with eligibility being determined based on the income of the household receiving assistance. Households with the greatest demonstrated unmet need generally receive higher priority for assistance. Additional preference is given to households residing in areas with disproportionately high concentrations of low- and moderate-income households, minority households, and/or households experiencing HUD-defined housing problems, such as those areas identified under subsection MA-50 of the Consolidated Plan. Projects located in areas that correspond with other related or complementary programs and/or projects also receive additional preference or priority consideration. For example, funding for the rehabilitation of existing rental housing, that would be affordable to low- and moderate-income households and located in areas targeted for crime abatement activities under the Stockton Marshall Plan would receive higher priority than projects located in other areas.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The 2020-2025 Consolidated Plan establishes housing strategies for renter, owner, and homeless populations and Five-Year goals for each of these target populations. The City operates its various housing programs based on these strategies, which have been prioritized to guide the allocation of resources. Consistent with the priorities outlined in the Consolidated Plan, the City will undertake and fund the following activities to address housing needs:

- Multi-Family Loan Pool
- CHDO Set-Aside
- Housing Rehabilitation Program
- Down Payment Assistance Program
- Homeless Support
- Emergency Repair Program
- San Joaquin Fair Housing

AP-60 Public Housing – 91.220(h)**Introduction**

The City of Stockton and the Housing Authority of San Joaquin County (Housing Authority) maintain a strong partnership built on the common goal and priority of providing affordable housing opportunities for Stockton's low-income residents. Within the last five years the Housing Authority has applied for affordable housing funding through the City of Stockton's NOFA process, and has been awarded upwards of \$4.5 million to assist in the financing of three of its affordable housing projects. A Housing Authority city funded project includes phase II and III of their 394-unit Sierra Vista housing complex targeting extremely low-income households at 30% AMI.

Additionally, through the City NOFA, the City also awarded \$735,000 in CDBG funds to the Partnership in Housing for the Homeless project, which is a joint effort between Stockton Shelter for the Homeless, the Housing Authority, and S.T.A.N.D. to build 13 accessing dwelling unit (ADU) units of permanent housing for the homeless. The City looks forward to a continued amiable relationship with the Housing Authority to further expand housing opportunities for Stockton's most vulnerable residents.

Actions planned during the next year to address the needs to public housing.

The City plans to issue a NOFA in the upcoming year that the Housing Authority will be eligible to apply for funding. Additionally, the Housing Authority recently applied for funding for two of its affordable housing developments that are currently being evaluated for funding.

Actions to encourage public housing residents to become more involved in management and participate in homeownership.

The City continues to maintain a partnership with the local Housing Authority to assist public housing residents achieve homeownership. The City's Down Payment Assistance Program funds can be used in conjunction with the Housing Authority's homebuyer program funds including the Housing Choice Voucher Program.

Under the Housing Authority's homeownership program, assistance is available to Housing Choice Voucher (HCV) participants who meet the home ownership eligibility requirements. The homeownership program allows first time homebuyers to use the voucher subsidy to meet monthly homeownership expenses. HCV participants interested in applying for the HCV Homeownership program must meet the following program criteria:

- Must be a participant of the HCV program for at least one year in San Joaquin County.
- Must be a first-time homebuyer.
- Meet the minimum employment and income requirements.
- Must be employed for at least 30 hours per week (except for disabled).

- Must earn at least \$11,310 annually (welfare income not included).
- Must not have defaulted on a mortgage under the HCV Homeownership Program.

The homeownership program has a maximum term of 15 years. There are exceptions for disabled families. This program is limited.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance.

- The Housing Authority of San Joaquin County is not designated as “troubled” by HUD.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City will utilize Emergency Solutions Grant funds to assist in funding the operation of emergency homeless shelters, implementation of Homeless Prevention and Rapid Re-Housing activities which will assist in reducing and preventing homelessness. The City of Stockton works with the San Joaquin Continuum of Care (CoC) to implement policies, procedures and establish performance standards for those agencies providing services to address the needs of the homeless persons and as identified in the Continuum’s planning guidelines. The CoC is a consortium of local government agencies, non-profit organizations, and other interested parties, encompassing all jurisdictions in San Joaquin County, including the City of Stockton. They hold meetings that are open to all homeless providers, and that serve as a venue for ongoing program planning and coordination and feedback for addressing homelessness. The CoC has identified the following homeless performance measures goals:

1. Reduction in the average and median length of time persons remain homeless.
2. Reduction in the percent of persons who return to homelessness from permanent housing.
3. Reduction in the total number of persons who are homeless.
4. Increase in the percent of adults who gain or increase employment or non-employment cash income over time.
5. Reduction in the number of persons who become homeless for the first time.
6. Increase in the percent of persons who exit to or retain permanent housing from PSH.
7. Reduce the number of people living unsheltered in our community.
8. Increase the number of units available to those exiting homelessness.

In addition, Central Valley Low Income Housing Corporation (CVLIHC) and Family Resources and Referral Center are the organizations that will be implementing the Coordinated Entry System and defining established relationships with all the local shelters and service providers. CVLIHC will continue to conduct outreach to the shelters to notify them of the activities and the process for making referrals to the various programs. CVLIHC is also well known in the community and receives many calls directly from those looking for housing assistance.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.

The CoC recently conducted the 2019 Point-in-Time Count (PIT) which utilized methods of outreach that were considerably improved over prior years. Thus, the PIT identified a notably larger population of unsheltered homeless, compared to prior years. Based on this significantly improved response rate, the CoC can prepare more detailed and comprehensive estimates of need, including analysis of needs by type (e.g., housing, social services, etc.), as well as needs based on the characteristics of the respondent (e.g., age, race/ethnicity, veterans' status, disability status, etc.). These data can subsequently be used to better assess the needs of unsheltered homeless and can be used to direct any indicated changes in service provision. This is particularly valuable since unsheltered homeless are frequently among the hardest-to-reach regarding available services.

The following represents a list of actions to be taken during the five-year planning period designed to improve outreach to homeless persons, especially unsheltered persons, as well as those who are at-risk of homelessness. Note that these actions are not only designed to promote outreach that both identifies and quantifies needs, but also offer opportunities to inform the homeless regarding available resources service populations. Additional outreach is undertaken with homeless persons and families residing in emergency shelters and transitional housing. However, because these persons are entering a facility, it is much easier to access these individuals using existing tools, such as entrance and exit surveys, and one-on-one education.

AP-75 Barriers to Affordable Housing – 91.220(j)

Introduction

It is often in the public interest for local governments to impose regulations concerning the scope and characteristics of development as a method for protecting public health and general welfare. However, government regulations, policies, and procedures can also act to constrain the development of otherwise desirable land uses. Regulations designating the type and location of housing, for example, can potentially constrain the ability of housing developers to provide higher density housing that would be more affordable to lower-income households. Similarly, building codes and other requirements can significantly increase the cost to develop housing, or make the development process so arduous as to discourage potential housing developers. California housing law requires that each jurisdiction include an analysis of governmental constraints to affordable housing development as a required component of the adopted General Plan Housing Element. The remainder of this section presents a summary of the major findings identified under the Potential Housing Constraints Section of the City of Stockton Housing Element. To the degree practicable, the analysis also includes a discussion of the actions to be taken to overcome these constraints, as identified in the Housing Element.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment.

The Housing Element of the City of Stockton General Plan, adopted in April 2016, provides a thorough analysis of the existing policy barriers to affordable housing development. An analysis of the permitted densities and development standards identified that the adopted Code facilitates the production of a variety of housing types, with residential development permitted in non-residential and mixed-use zones, with permitted densities up to 87 units per acre in the Downtown. The Housing Element states that the City applies flexible development standards for infill housing projects to encourage the development of underutilized properties, however, development standards may be modified to create consistency with surrounding development and physical site constraints. The Housing Element determined that the City's overall parking standards did not constitute a constraint, nor does processing and permitting. Since the last Housing Element, the City's Affordable Housing Density Bonus has been made consistent with State law. It allows for a density bonus of up to 35 percent and allows developers that are eligible for a density bonus to receive up to three additional incentives.

The City adopted a Development Code amendment in 2016 to be consistent with State law to allow emergency shelters by right, without discretionary review, in the PF, IL, and IG zone. Per the San Joaquin Partnership's Regional Development Fee Comparative Analysis Report (June 2013), the City of Stockton's fees for a single-family dwelling were the second highest of all the San Joaquin County jurisdictions but were about average for all 21 jurisdictions included in the analysis. To promote affordable housing, the City established a fee reduction program for developers of affordable housing units. Developers of multi-family housing developments can receive a fee reduction of \$14,997 per unit and a fee reduction of \$19,997 for a single-family residential development.

Based on analysis of the permitted densities and development standards, the City has found that the General Plan and Development Code facilitate production of a variety of housing types. The City allows residential development in non-residential and mixed-use zones and permits densities as high as 87 units per acre in the downtown area. Additionally, the City has flexible development standards to encourage the development of small infill parcels.

Recent state legislation provides clarification and fee assistance for the creation of Accessory Dwelling Units (ADUs) with two separate bills signed on October 8, 2017: SB 229 (Wieckowski) and AB 494 (Bloom). These bills, effective January 1, 2018, clarify and improve various provisions of the law to promote the development of ADUs, including allowing ADUs to be built concurrently with a single-family home, opening areas where ADUs can be built to include all zoning districts that allow single-family uses, modifying fees from utilities, such as special districts and water corporations, and reducing parking requirements. In 2018, City Council accept the Planning Commission's recommendation and adopt an Ordinance to amend Title 16 of the Stockton Municipal Code, Section 16.80.310, "Secondary Dwelling Units;" and Section 16.240.020, "Definitions of Specialized Terms and Phrases;" to comply with state planning and zoning law (Government Code Section 65852.2).

AP-85 Other Actions – 91.220(k)

Introduction

Actions planned to address obstacles to meeting underserved needs.

The City will work in partnership with its non-profit and public service agencies to address the needs of the underserved populations in the City of Stockton. The City will fund several agencies that provide food, assistance, shelter and/or services for the homeless, elderly, and working poor.

Actions planned to foster and maintain affordable housing.

The City will leverage funds we receive with other resources and use our programs in conjunction with other city initiatives, including our single-family rehabilitation program. The City will continue to use its Notice of Funding Availability NOFA process to solicit eligible affordable housing projects to fund.

Actions planned to reduce lead-based paint hazards.

The actions described in the Lead Based Paint Hazards section of the Consolidated Plan will be carried out as needed during FY 2021-22. The City complies with all federal requirements related to prevention of lead-based paint poisoning as provided in the Residential Lead-Based Pain Hazard Act of 1992, also commonly referred to as Title X, Housing and Community Development Act of 1992. Additionally, the City of Stockton collaborates with the following agencies to identify and reduce lead-based paint incidences: The Housing Authority, San Joaquin County Public Health Services, and San Joaquin County Environmental Health.

The City of Stockton becomes involved in lead-based paint hazard evaluation and reduction because of its implementation and operation of federally funded projects such as CDBG and HOME that require lead-based evaluations for existing housing units built before 1950. Single-family owner-occupied housing projects that are to be rehabilitated are inspected and tested for lead-based paint hazards. Based on the test results, any identified hazards are remediated prior to unit occupancy.

Program Specific Requirements

AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

Introduction

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Action Plan CDBG response:

The City expects to receive approximately \$1,770,238 of program income during the 2021-2022 program year, including a \$1,595,238 repayment from the Redevelopment Successor Agency. Approximately \$100,000 of HOME loan repayment will be deposited in the City's revolving HOME loan fund, and \$75,000 will be deposited in the City's revolving microloan fund.

The City does not have any float funded activities. The City also does not have any program income received in the preceding program year that has not been included in a prior plan nor any surplus funds from an urban renewal settlement. The City does not have any float funded activities. The City also does not have any program income received in the preceding program year that has not been included in a prior plan nor any surplus funds from an urban renewal settlement. No grant funds have been returned to the line of credit.

The City expects to spend 85 percent of its CDBG allocation for activities that benefit persons of low- and moderate income.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed. 0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. 0
3. The amount of surplus funds from urban renewal settlements. 0

4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan. 0
5. The amount of income from float-funded activities. 0

Total Program Income: 0

Other CDBG Requirements

1. The amount of urgent need activities
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. **A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**

Action Plan HOME response:

The City of Stockton proposes to use its allocation of HOME funds to rehabilitate and construct both rental and owner-occupied housing units and to fund the City's Down Payment Assistance Program (DAP). The majority of the funds will be subject to an annual request for developer proposals be used for an eligible activity, including real property acquisition, site improvements, construction, and rehabilitation costs. The City does not propose to use HOME funds to provide any assistance in a form not included in Section 92.205(b).

Developer proposals for HOME funds are accepted through an annual Notice of Funding Availability (NOFA) identifying the purpose and type of funds available, with mailers sent via U.S. Postal Service and e-mail to interested parties and regional developers and posted to the City's website and social media pages. To encourage a variety of project types and locations, the City's HOME program does not limit beneficiaries. Application packages are available electronically on the City's website or via e-mail, and hard copies are available for pick-up in the City's Economic Development Department. The competitive applications are reviewed by City staff and/or a committee of regional housing experts and representatives from the community. The applications are next scored through set criteria, including eligible uses, commitment and expenditure deadlines, developer capacity, experience, concurrence with established goals and policies, leveraging, or other requirements. Recommendations concerning funding are then made to the City Council, which holds a publicly noticed hearing to vote on moving forward with the recommended allocations. The City uses a variety of methods to conduct outreach to

consumers for all our housing programs, including the DAP. Information about all the housing programs is posted on the City's website. Economic Development Department staff also participates in various housing workshops and homeownership fairs throughout the year. Notices of these events are sent to the Housing Authority. Advertisements and public notices are also run-in local newspapers, including the local Spanish newspaper.

To ensure that households utilizing the City's DAP are ready to undertake and maintain homeownership, the City requires that all households must have completed a minimum eight classroom-hour homebuyer training course given by a HUD-approved trainer prior to the close of escrow. The City also ensures that the households become successful homeowners by making sure that the home they are purchasing is affordable for them. The total housing cost cannot exceed 35 percent of the household's income and their total debt cannot exceed 41 percent of their income.

When HOME funds are allocated for homebuyer assistance or for the rehabilitation of owner-occupied single- family properties, the City utilizes the HOME affordable homeownership annual limits provided by HUD for newly constructed housing and for existing housing.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Recapture of HOME Subsidy

The City of Stockton's Down Payment Assistance Program requires that units assisted with HOME funds must remain affordable to low-income purchasers for a period based upon the amount of HOME funds provided for the property. To ensure compliance with this affordability period, down payment assistance recapture provisions are incorporated into each property loan and regulatory agreement. Repayment of the HOME subsidy and any accrued interest is due upon the sale or transfer of the property during the affordability period. When the recapture requirement is triggered by a sale (voluntary or involuntary), and there are insufficient net proceeds to repay the City's HOME investment, the City will accept any amount of net proceeds (net proceeds are defined as the sales price minus any senior loan repayment and any closing costs). The City will reinvest these recaptured subsidies into other eligible housing activities.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Marketing and Outreach for HOME funded projects

The City's Affirmative Marketing Policy has been in use since 2000 and is made a part of all HOME agreements for projects of five or more units. The purpose of the policy is to assure that individuals who normally might not apply for vacant units because they are socially and/or economically disadvantaged be informed of vacancies, be encouraged to apply, and have an equal opportunity to rent units. The policy establishes methods for informing the public about fair housing laws and affirmative

marketing policies; procedures to be used by owners to solicit applications; records that must be maintained; and how the City will assess the success of affirmative marketing actions.

The City also ensures that outreach to minority and women-owned businesses occur for the issuance of all contracts. The City has adopted Affirmative Outreach Action Guidelines for use with all federally funded projects.

Affirmative action requirements are also included in all subrecipient agreements to ensure that if subrecipients are hiring contractors and subcontractors, they adhere to the provisions of the City's Affirmative Action Program and meet all federal requirements.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

For multi-family projects in the City of Stockton, when loaning HOME funds to rehabilitate the units if refinancing is necessary to permit or continue affordability under § 92.252, with a minimum affordability period of 15 years. The project application must demonstrate: that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing; require a review of management practices to demonstrate that disinvestment in the property has not occurred, that the long term needs of the project can be met and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated; state whether the new investment is being made to maintain current affordable units, create additional affordable units, or both; insure that HOME funds cannot be used to refinance multi-family loans made or insured by any Federal program, including CDBG.

Emergency Solutions Grant (ESG)

Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

See Attached, Appendix C.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

While there is no formal central intake system within the San Joaquin Continuum of Care, all homeless services providers, including those providing homeless prevention and rapid re-housing assistance, utilize the same HMIS. With a single subrecipient administering prevention and re-housing efforts, a single assessment tool is used for these activities. In addition, the City of

Stockton is working with the San Joaquin Continuum of Care to develop and implement a formal coordinated assessment tool and process for use by all providers.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

The City of Stockton will implement the Emergency Solutions Grant (ESG) program in accordance with The McKinney-Vento Homeless Assistance Act as Amended by S.896 HEARTH Act of 2009, found at 24 CFR Part 576, to ensure eligible activities are selected and financially managed in accordance with local and federal requirements. The City of Stockton's process for implementing the ESG program is laid out below.

Public Participation

City staff and the Community Development Committee (CDC) holds an annual Kick-off/Needs Assessment Meeting in the late Fall, which serves as the basis for the development of the Annual Action Plan.

- Update annual Action Plan Schedule, Application and Instructions.
- Issue Public Notice.
- Issue NOFA.
- Post agenda.
- Publish notice of NOFA in the Record and link to the application and instructions on the City's website through the City's Grants web-portal Neighborly; Annual Applications are generally submitted in Mid-January, however, due to COVID-19 the application submittal was mid-February.
- Staff reviews applications for eligibility, completeness, ESG requirements per Application Instructions, consistency with the Consolidated Plan, and 24 CFR Part 576.
- CDC holds meetings for review and to make recommendations to City Council; agendas posted with Clerk before each meeting, February-March.
- Subrecipients sent recommendation letters in advance of Council hearing.
- Issue Public Notice.
- Distribute draft Action Plan requesting public comment.
- Award Funds. City Council reviews staff and CDC funding recommendations at publicly noticed meeting and votes their approval.
- Action Plan is submitted to HUD via IDIS.
- Award letters sent to subrecipients following AAP submittal, including CFDA number Agreements. Draft agreements with subrecipients and route for signatures, Summer
- Prepare environmental documentation.
- Set up: Purchase orders once HUD grant agreement executed, Internal HTE and IDIS input Grant Administration. Administer grants as required per 24 CFR Part 576

- Review and process invoices for reimbursement (see City's Administrative Directive for processing payments and Economic Development Department Payment Processing Procedures)
- Review expenditure deadlines and requirements.
- Provide technical assistance to subrecipients, as needed.
- Close-out projects as each one wraps up.
- Monitoring. Monitor subrecipients per Monitoring Schedule and Monitoring Procedures Reporting. Follow CAPER schedule for end of year reporting requirements.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The goal of the CoC is to coordinate local efforts to identify and address the needs of the homeless population, provide coordinated outreach services, and to identify gaps and shortfalls where additional resources and coordination may be necessary. The CoC incorporates the resources and efforts of a wide variety of organizations and agencies. These include the Central Valley Low Income Housing Corporation (CVLIHC), the Stockton Shelter for the Homeless, the Women's Center - YFS, the Gospel Center Rescue Mission, the Saint Mary's Dining Hall, and Dignity's Alcove Veterans Housing, among other important organizations and agencies. Information is collected through direct outreach, during Point in Time counts, and data entry into the HMIS system.

5. Describe performance standards for evaluating ESG.

As the largest city within the San Joaquin CoC area, the City of Stockton maintains a seat on the CoC governing body and participates in consultation with the San Joaquin County Community Development Department, and other participating agencies and organizations, regarding the coordination of ESG funding, including how ESG funds are allocated and the identification of performance standards and evaluation outcomes. Under the CoC, the primary contact for the collaborative application is the Central Valley Low Income Housing Corporation (CVLIHC), which is also responsible for administration of the Homeless Management Information System (HMIS).