

Resolution No. **2020-12-15-1113**

## **STOCKTON CITY COUNCIL**

---

**RESOLUTION AUTHORIZING ACCEPTANCE OF GRANT FUNDS FROM THE STATE OF CALIFORNIA BOARD OF STATE COMMUNITY CORRECTIONS, IN THE AMOUNT OF \$1,499,917, FOR THE CALIFORNIA VIOLENCE INTERVENTION AND PREVENTION (CALVIP) GRANT FOR THE PERIOD OCTOBER 1, 2020 THROUGH JUNE 30, 2023, AND AUTHORIZING EXECUTION OF GRANT AGREEMENTS**

In June 2020, the City of Stockton submitted an application to the State of California's Board of State Community Corrections (BSCC) California Intervention and Prevention (CalVIP) Grant; and

On September 10, 2020, the City of Stockton Office of Violence Prevention (OVP) was notified by the BSCC that the application was approved in the amount of \$1,499,917 for a three-year period; and

The grant will expand services to Ceasefire Re-Entry clients and Ceasefire Family Support clients, address service gaps for Ceasefire clients and complete a community engagement strategy related to Ceasefire. The grant period runs from October 1, 2020 through December 31, 2023; and

As specified in the application material, the CalVIP Grant requires 100 percent local match. The local in-match will consist of an amount of \$899,000 representing personal expenditures from the Office of Violence Prevention annual budget in support of Operation Ceasefire, and \$601,000 of in-kind match representing personnel costs of City and community partner employees; now, therefore,

**BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF STOCKTON, AS FOLLOWS:**


1. Authorize the acceptance of grant funding from the State of California Board of State Community Corrections California Violence Intervention and Prevention (CalVIP) Grant.
2. Authorize all required appropriations and expenditures in compliance with and for the purposes stated in the project agreement (Exhibit 1) over a three-year time period.
3. Authorize the allocation of a full-time grant funded Management Assistant position for the duration of the three-year grant term.
4. The grant funds received hereunder shall not be used to supplant expenditures controlled by this body.

5. The City of Stockton agrees to ensure all matching funds required for the grant are provided and abide by the terms and conditions of the grant Agreement as set forth by BSCC.

6. The City Manager is authorized and directed to execute on behalf of the City of Stockton, all required contracts or agreements with the granting agency, provide additional information and furnish such documents as may be required, execute all documents and amendments or extensions pertaining to the grant project.

7. The City Manager is hereby authorized to take appropriate and necessary actions to carry out the purpose and intent of this Resolution.

PASSED, APPROVED, and ADOPTED December 15, 2020.

  
MICHAEL D. TUBBS  
Mayor of the City of Stockton

ATTEST:

  
ELIZA R. GARZA, CMC  
City Clerk of the City of Stockton



STATE OF CALIFORNIA DEPARTMENT OF GENERAL SERVICES

SCO ID:5227-BSCC85820

**STANDARD AGREEMENT**  
STD 213 (Rev 03/2019)

AGREEMENT NUMBER

**BSCC 858-20**

PURCHASING AUTHORITY NUMBER (If Applicable)

**1. This Agreement is entered into between the Contracting Agency and the Contractor named below:**

CONTRACTING AGENCY NAME

**BOARD OF STATE AND COMMUNITY CORRECTIONS**

CONTRACTOR NAME

**CITY OF STOCKTON****2. The term of this Agreement is:**

START DATE

**OCTOBER 1, 2020**

THROUGH END DATE

**DECEMBER 31, 2023****3. The maximum amount of this Agreement is:****\$1,499,917****4. The parties agree to comply with the terms and conditions of the following exhibits, attachments, and appendices which are by this reference made a part of the Agreement.**

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	3
Exhibit B	Budget Detail and Payment Provisions	3
Exhibit C	General Terms and Conditions (04/2017)	4
Exhibit D	Special Terms and Conditions	4
Attachment 1*	California Violence Intervention & Prevention Grant Request for Proposals	*
Attachment 2	CalVIP Grant Proposal	24
Appendix A	CalVIP Executive Steering Committee	1
Appendix B	Criteria for Non-Governmental Organizations Receiving BSCC Program Funds	2

*\* This item is hereby incorporated by reference and can be viewed at: [http://www.bscc.ca.gov/s\\_cpgpcalvipgrant/](http://www.bscc.ca.gov/s_cpgpcalvipgrant/)***IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.****CONTRACTOR**

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

**CITY OF STOCKTON**

CONTRACTOR BUSINESS ADDRESS

425 N. El Dorado St.

CITY

Stockton

STATE

CA

ZIP

95202

PRINTED NAME OF PERSON SIGNING

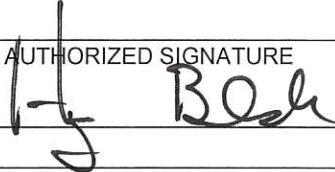
Harry Black

TITLE

City Manager

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED



9/30/20

**STATE OF CALIFORNIA**

CONTRACTING AGENCY NAME

**BOARD OF STATE AND COMMUNITY CORRECTIONS**

CONTRACTING AGENCY ADDRESS

2590 Venture Oaks Way, Suite 200

CITY

Sacramento

STATE

CA

ZIP

95833

PRINTED NAME OF PERSON SIGNING

RICARDO GOODRIDGE

TITLE

Deputy Director

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED



CALIFORNIA DEPARTMENT OF GENERAL SERVICES EXEMPT PER SCM, VOLUME 1, CH. 4.06

**ATTEST:**

CLERK OF THE CITY OF STOCKTON

By 

**EXHIBIT A: SCOPE OF WORK****1. GRANT AGREEMENT CALIFORNIA VIOLENCE AND INTERVENTION (CalVIP) GRANT**

This Grant Agreement is between the State of California, Board of State and Community Corrections (hereafter referred to as BSCC) and City of Stockton (hereafter referred to as the Grantee or Contractor).

**2. PROJECT SUMMARY AND ADMINISTRATION**

- A. The Fiscal Year 2019-20 State Budget includes funding in the amount of \$30 million for the California Violence Intervention and Prevention (CalVIP) Grant Program, to be administered by the Board of State and Community Corrections (BSCC). California cities that are disproportionately impacted by violence and the community-based organizations that serve the residents of those cities are eligible to apply for CalVIP grant funding.

The purpose of the CalVIP Grant is to improve public health and safety by supporting effective violence reduction initiatives in communities that are disproportionately impacted by violence, particularly group-member involved homicide, shootings and aggravated assaults (Penal Code Sec. 14131(b)).

- B. Grantee agrees to administer the project in accordance with Attachment 1: CalVIP Request for Proposals (incorporated by reference) and Attachment 2: CalVIP Grant Proposal, which are attached and hereto and made part of this agreement.

**3. PROJECT OFFICIALS**

- A. The BSCC's Executive Director or designee shall be the BSCC's representative for administration of the Grant Agreement and shall have authority to make determinations relating to any controversies that may arise under or regarding the interpretation, performance, or payment for work performed under this Grant Agreement.

- B. The Grantee's project officials shall be those identified as follows:

**Authorized Officer** with legal authority to sign:

Name: Harry Black  
Title: City Manager  
Address: 425 N. El Dorado Street, Stockton, CA 95202  
Phone: (209) 937-8294

**Designated Financial Officer** authorized to receive warrants:

Name: Lindsay Brown  
Title: Management Assistant  
Address: 425 N. El Dorado Street, Stockton, CA 95202  
Phone: (209) 937-7013  
Email: Lindsay.brown@stocktonca.gov

**Project Director** authorized to administer the project:

Name: Daniel Muhammad  
Title: Office of Violence Prevention Director  
Address: 425 N. El Dorado Street, Stockton, CA 95202  
Phone: (209) 937-5614  
Email: Daniel.muhammad@stocktonca.gov

- C. Either party may change its project representatives upon written notice to the other party.



**EXHIBIT A: SCOPE OF WORK**

- D. By signing this Grant Agreement, the Authorized Officer listed above warrants that he or she has full legal authority to bind the entity for which he or she signs.

**4. DATA COLLECTION**

Grantees will be required to comply with all data collection and reporting requirements as described in Attachment 1: CalVIP Request for Proposals and Attachment 2: CalVIP Grant Proposal.

**5. REPORTING REQUIREMENTS**

- A. Grantee will submit quarterly progress reports in a format prescribed by the BSCC. These reports, which will describe progress made on program objectives and include required data, shall be submitted according to the following schedule:

**Quarterly Progress Report Periods**

1. October 1, 2020 to December 31, 2020
2. January 1, 2021 to March 31, 2021
3. April 1, 2021 to June 30, 2021
4. July 1, 2021 to September 30, 2021
5. October 1, 2021 to December 31, 2021
6. January 1, 2022 to March 31, 2022
7. April 1, 2022 to June 30, 2022
8. July 1, 2022 to September 30, 2022
9. October 1, 2022 to December 31, 2022
10. January 1, 2023 to March 31, 2023
11. April 1, 2023 to June 30, 2023

**Due no later than:**

- February 15, 2021  
 May 15, 2021  
 August 15, 2021  
 November 15, 2021  
 February 15, 2022  
 May 15, 2022  
 August 15, 2022  
 November 15, 2022  
 February 15, 2023  
 May 15, 2023  
 August 15, 2023

*Note: Project activity period ends June 30, 2023. The period of July 1, 2023 to December 31, 2023 is for completion of Final Local Evaluation Report and financial audit only.*

**B. Evaluation Documents**

1. Local Evaluation Plan
2. Final Local Evaluation Report

**Due no later than:**

- March 31, 2021  
 December 31, 2023

**C. Other**

Financial Audit Report

**Due no later than:**

December 31, 2023

**6. PROJECT RECORDS**

- A. The Grantee shall establish an official file for the project. The file shall contain adequate documentation of all actions taken with respect to the project, including copies of this Grant Agreement, approved program/budget modifications, financial records and required reports.
- B. The Grantee shall establish separate accounting records and maintain documents and other evidence sufficient to properly reflect the amount, receipt, and disposition of all project funds, including grant funds and any matching funds by the Grantee and the total cost of the project. Source documentation includes copies of all awards, applications, approved modifications, financial records and narrative reports.
- C. Personnel and payroll records shall include the time and attendance reports for all individuals reimbursed under the grant, whether they are employed full-time or part-time. Time and effort reports are also required for all subcontractors and consultants.

**EXHIBIT A: SCOPE OF WORK**

- D. The grantee shall maintain documentation of donated goods and/or services, including the basis for valuation.
- E. Grantee agrees to protect records adequately from fire or other damage. When records are stored away from the Grantee's principal office, a written index of the location of records stored must be on hand and ready access must be assured.
- F. All Grantee records relevant to the project must be preserved a minimum of three (3) years after closeout of the grant project and shall be subject at all reasonable times to inspection, examination, monitoring, copying, excerpting, transcribing, and auditing by the BSCC or designees. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular three-year period, whichever is later.

**7. CONFLICT OF INTEREST**

- A. Existing law prohibits any grantee, subgrantee, partner or like party who participated on the CalVIP Executive Steering Committee (See Appendix A) from receiving funds from the CalVIP grants awarded under this RFP. Applicants who are awarded grants under this RFP are responsible for reviewing the CalVIP ESC membership roster (see Appendix A) and ensuring that no grant dollars are passed through to any entity represented by the members of the CalVIP ESC.
- B. In cases of an actual conflict of interest with an ESC member, the Board may revoke the grant award and legal consequences could exist for the parties involved, including, but not limited to, repayment of the grant award.

**8. FINANCIAL AUDIT**

Grantees are required to provide the BSCC with a financial audit no later than the end of the contract term, December 31, 2023. The financial audit shall be performed by a Certified Public Accountant or a participating county or city auditor that is organizationally independent from the participating county's or city's project financial management functions. Expenses for this final audit may be reimbursed for actual costs up to \$25,000.

**EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS****1. INVOICING AND PAYMENTS**

- A. The Grantee shall be paid in quarterly in arrears by submitting an invoice (Form 201) to the BSCC that outlines actual expenditures claimed for the invoicing period.

**Quarterly Invoicing Periods:**

1. October 1, 2020 to December 31, 2020
2. January 1, 2021 to March 31, 2021
3. April 1, 2021 to June 30, 2021
4. July 1, 2021 to September 30, 2021
5. October 1, 2021 to December 31, 2021
6. January 1, 2022 to March 31, 2022
7. April 1, 2022 to June 30, 2022
8. July 1, 2022 to September 30, 2022
9. October 1, 2022 to December 31, 2022
10. January 1, 2023 to March 31, 2023
11. April 1, 2023 to June 30, 2023

**Due no later than:**

- February 15, 2021
- May 15, 2021
- August 15, 2021
- November 15, 2021
- February 15, 2022
- May 15, 2022
- August 15, 2022
- November 15, 2022
- February 15, 2023
- May 15, 2023
- August 15, 2023

**Final Invoicing Periods:**

13. July 1, 2023 to September 30, 2023
14. October 1, 2023 to December 31, 2023

**Due no later than:**

- November 15, 2023
- February 29, 2024

*\*Note: Only expenditures associated with completion of the Final Local Evaluation Report and the financial audit may be included on these invoices.*

- B. All project expenditures (excluding costs associated with the completion of the Final Local Evaluation Report and the financial audit) and all obligated match contributions must be incurred by the end of the grant project period, June 30, 2023, and included on the invoice due August 15, 2023. Project expenditures incurred after June 30, 2023 will not be reimbursed.
- C. The Final Local Evaluation Report is due to BSCC by December 31, 2023. Expenditures incurred for the completion of the Final Local Evaluation Report during the period of July 1, 2023 to December 31, 2023 must be submitted during the Final Invoicing Period(s), with the final invoice due on February 29, 2024. Supporting fiscal documentation will be required for all expenditures claimed on during the Final Invoicing Periods and must be submitted with the final invoice.
- D. The financial audit is due to BSCC by December 31, 2023. Expenditures incurred for the completion of the financial audit during the period of July 1, 2023 to December 31, 2023 must be submitted during the Final Invoicing Periods, with the final invoice due on February 29, 2024. Supporting fiscal documentation will be required for all expenditures claimed during the Final Invoicing Periods and must be submitted with the final invoice.
- D. Grantee shall submit an invoice to the BSCC each invoicing period, even if grant funds are not expended or requested during the invoicing period.
- E. Upon the BSCC's request, supporting documentation must be submitted for project expenditures. Grantees are required to maintain supporting documentation for all expenditures on the project site for the life of the grant and make it readily available for review during BSCC site visits. See Exhibit A. Scope of Work, Item 6. Project Records.

**2. GRANT AMOUNT AND LIMITATION**

- A. In no event shall the BSCC be obligated to pay any amount in excess of the grant award. Grantee waives any and all claims against the BSCC, and the State of California on account of project costs that may exceed the sum of the grant award.

**EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS**

- B. Under no circumstance will a budget item change be authorized that would cause the project to exceed the amount of the grant award identified in this Grant Agreement.

**3. BUDGET CONTINGENCY CLAUSE**

- A. This grant agreement is valid through CalVIP funding generated from the General Fund. The Grantee agrees that the BSCC's obligation to pay any sum to the grantee under any provision of this agreement is contingent upon the availability of sufficient funding granted through the passage of Assembly Bill 74 (Statutes of 2019, Chapter 23), also known as the California Budget Act of 2019. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Grant Agreement does not appropriate sufficient funds for the program, this Grant Agreement shall be of no further force and effect. In this event, the BSCC shall have no liability to pay any funds whatsoever to Grantee or to furnish any other considerations under this Agreement and Grantee shall not be obligated to perform any provisions of this Grant Agreement.
- B. If CalVIP funding is reduced or falls below estimates contained within the CalVIP Request for Proposals, the BSCC shall have the option to either cancel this Grant Agreement with no liability occurring to the BSCC or offer an amendment to this agreement to the Grantee to reflect a reduced amount.
- C. If BSCC cancels the agreement pursuant to Paragraph 3(B) or Grantee does not agree to an amendment in accordance with the option provided by Paragraph 3(B), it is mutually agreed that the Grant Agreement shall have no further force and effect. In this event, the BSCC shall have no liability to pay any funds whatsoever to Grantee or to furnish any other considerations under this Agreement and Grantee shall not be obligated to perform any provisions of this Grant Agreement except that Grantee shall be required to maintain all project records required by Paragraph 6 of Exhibit A for a period of three (3) years following the termination of this agreement.

**4. PROJECT COSTS**

- A. Grantee is responsible for ensuring that actual expenditures are for eligible project costs. "Eligible" and "ineligible" project costs are set forth in the July 2020 BSCC Grant Administration Guide, which can be found under Quick Links here:

[https://www.bscc.ca.gov/s\\_correctionsplanningandprograms/](https://www.bscc.ca.gov/s_correctionsplanningandprograms/)

The provisions of the BSCC Grant Administration Guide are incorporated by reference into this agreement and Grantee shall be responsible for adhering to the requirements set forth therein. To the extent any of the provisions of the BSCC Grant Administration Guide and this agreement conflict, the language in this agreement shall prevail.

- B. Grantee is responsible for ensuring that invoices submitted to the BSCC claim actual expenditures for eligible project costs.
- C. Grantee shall, upon demand, remit to the BSCC any grant funds not expended for eligible project costs or an amount equal to any grant funds expended by the Grantee in violation of the terms, provisions, conditions or commitments of this Grant Agreement.
- D. Grant funds must be used to support new program activities or to augment existing funds that expand current program activities. Grant funds shall not replace (supplant) any federal, state and/or local funds that have been appropriated for the same purpose. Violations can result in recoupment of monies provided under this grant or suspension of future program funding through BSCC grants.

**5. PROMPT PAYMENT CLAUSE**

**EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS**

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

**6. WITHHOLDING OF GRANT DISBURSEMENTS**

- A. The BSCC may withhold all or any portion of the grant funds provided by this Grant Agreement in the event the Grantee has materially and substantially breached the terms and conditions of this Grant Agreement.
- B. At such time as the balance of state funds allocated to the Grantee reaches five percent (5%), the BSCC may withhold that amount as security, to be released to the Grantee upon compliance with all grant provisions, including:
  - 1) submittal and approval of the final invoice;
  - 2) submittal and approval of the final progress report; and
  - 3) submittal and approval of any additional required reports, including but not limited to the Final Local Evaluation Report and the financial audit.
- C. The BSCC will not reimburse Grantee for costs identified as ineligible for grant funding. If grant funds have been provided for costs subsequently deemed ineligible, the BSCC may either withhold an equal amount from future payments to the Grantee or require repayment of an equal amount to the State by the Grantee.
- D. In the event that grant funds are withheld from the Grantee, the BSCC's Executive Director or designee shall notify the Grantee of the reasons for withholding and advise the Grantee of the time within which the Grantee may remedy the failure or violation leading to the withholding.

**7. PROJECT BUDGET**

<b>BSCC Budget Line Item</b>	<b>A. Grant Funds</b>	<b>B. Match</b>	<b>C. Total (A+B)</b>
1. Salaries and Benefits	\$384,498	\$899,000	\$1,283,498
2. Services and Supplies	\$0	\$0	\$0
3. Professional Services or Public Agency Subcontracts	\$324,000	\$51,000	\$375,000
4. Non-Governmental Organization (NGO) Subcontracts	\$765,669	\$0	\$765,669
5. Equipment/Fixed Assets	\$0	\$0	\$0
6. Project Evaluation	\$0	\$550,000	\$550,000
7. Financial Audit	\$25,000	\$0	\$25,000
8. Other (Travel, Training, etc.)	\$750	\$0	\$750
9. Indirect Costs	\$0	\$0	\$0
<b>TOTALS</b>	<b>\$1,499,917</b>	<b>\$1,500,000</b>	<b>\$2,999,917</b>



**EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)**

1. **APPROVAL:** This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.
2. **AMENDMENT:** No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.
3. **ASSIGNMENT:** This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.
4. **AUDIT:** Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).
5. **INDEMNIFICATION:** Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.
6. **DISPUTES:** Contractor shall continue with the responsibilities under this Agreement during any dispute.
7. **TERMINATION FOR CAUSE:** The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.
8. **INDEPENDENT CONTRACTOR:** Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.
9. **RECYCLING CERTIFICATION:** The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).
10. **NON-DISCRIMINATION CLAUSE:** During the performance of this Agreement, Contractor and its subcontractors shall not deny the contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic

**EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)**

information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12900 et seq.), the regulations promulgated thereunder (Cal. Code Regs., tit. 2, §11000 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Gov. Code §§11135-11139.5), and the regulations or standards adopted by the awarding state agency to implement such article. Contractor shall permit access by representatives of the Department of Fair Employment and Housing and the awarding state agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. (See Cal. Code Regs., tit. 2, §11105.)

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

- 11. CERTIFICATION CLAUSES:** The CONTRACTOR CERTIFICATION CLAUSES contained in the document [CCC 04/2017](#) are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.
- 12. TIMELINESS:** Time is of the essence in this Agreement.
- 13. COMPENSATION:** The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.
- 14. GOVERNING LAW:** This contract is governed by and shall be interpreted in accordance with the laws of the State of California.
- 15. ANTITRUST CLAIMS:** The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.
  - A. The Government Code Chapter on Antitrust claims contains the following definitions:
    - 1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.
    - 2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.
  - B. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective

**EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)**

at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

- C. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.
- D. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

**16. CHILD SUPPORT COMPLIANCE ACT:** For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

- A. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- B. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

**17. UNENFORCEABLE PROVISION:** In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

**18. PRIORITY HIRING CONSIDERATIONS:** If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

**19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS:**

- A. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)
- B. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual

**EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)**

percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

- 20. LOSS LEADER:** If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

**EXHIBIT D: SPECIAL TERMS AND CONDITIONS****1. GRANTEE'S GENERAL RESPONSIBILITY**

- A. Grantee agrees to comply with all terms and conditions of this Grant Agreement. Review and approval by the BSCC are solely for the purpose of proper administration of grant funds and shall not be deemed to relieve or restrict the Grantee's responsibility.
- B. Grantee is responsible for the performance of all project activities identified in Attachment 1: CalVIP Request for Proposals Request for Proposals and Attachment 2: CalVIP Grant Proposal.
- C. Grantee shall immediately advise the BSCC of any significant problems or changes that arise during the course of the project.

**2. GRANTEE ASSURANCES AND COMMITMENTS**

- A. Compliance with Laws and Regulations  
This Grant Agreement is governed by and shall be interpreted in accordance with the laws of the State of California. Grantee shall at all times comply with all applicable State laws, rules and regulations, and all applicable local ordinances.
- B. Fulfillment of Assurances and Declarations  
Grantee shall fulfill all assurances, declarations, representations, and statements made by the Grantee in Attachment 1: CalVIP Request for Proposals Request for Proposals and Attachment 2: CalVIP Proposal, documents, amendments, approved modifications, and communications filed in support of its request for grant funds.
- C. Permits and Licenses  
Grantee agrees to procure all permits and licenses necessary to complete the project, pay all charges and fees, and give all notices necessary or incidental to the due and lawful proceeding of the project work.

**3. POTENTIAL SUBCONTRACTORS**

- A. In accordance with the provisions of this Grant Agreement, the Grantee may subcontract for services needed to implement and/or support program activities. Grantee agrees that in the event of any inconsistency between this Grant Agreement and Grantee's agreement with a subcontractor, the language of this Grant Agreement will prevail.
- B. Nothing contained in this Grant Agreement or otherwise, shall create any contractual relation between the BSCC and any subcontractors, and no subcontract shall relieve the Grantee of his responsibilities and obligations hereunder. The Grantee agrees to be as fully responsible to the BSCC for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Grantee. The Grantee's obligation to pay its subcontractors is an independent obligation from the BSCC's obligation to make payments to the Grantee. As a result, the BSCC shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.
- C. Grantee shall ensure that all subcontractors comply with the eligibility requirements stated in the CalVIP RFP and described in Appendix B.
- D. Grantee assures that for any subcontract awarded by the Grantee, such insurance and fidelity bonds, as is customary and appropriate, will be obtained.
- E. Grantee agrees to place appropriate language in all subcontracts for work on the project requiring the Grantee's subcontractors to:
  - 1) Books and Records



**EXHIBIT D: SPECIAL TERMS AND CONDITIONS**

Maintain adequate fiscal and project books, records, documents, and other evidence pertinent to the subcontractor's work on the project in accordance with generally accepted accounting principles. Adequate supporting documentation shall be maintained in such detail so as to permit tracing transactions from the invoices, to the accounting records, to the supporting documentation. These records shall be maintained for a minimum of three (3) years after the acceptance of the final grant project audit under the Grant Agreement and shall be subject to examination and/or audit by the BSCC or designees, state government auditors or designees, or by federal government auditors or designees.

**2) Access to Books and Records**

Make such books, records, supporting documentations, and other evidence available to the BSCC or designee, the State Controller's Office, the Department of General Services, the Department of Finance, California State Auditor, and their designated representatives during the course of the project and for a minimum of three (3) years after acceptance of the final grant project audit. The Subcontractor shall provide suitable facilities for access, monitoring, inspection, and copying of books and records related to the grant-funded project.

**4. PROJECT ACCESS**

Grantee shall ensure that the BSCC, or any authorized representative, will have suitable access to project activities, sites, staff and documents at all reasonable times during the grant period including those maintained by subcontractors. Access to program records will be made available by both the grantee and the subcontractors for a period of three (3) years following the end of the grant period.

**5. ACCOUNTING AND AUDIT REQUIREMENTS**

- A. Grantee agrees that accounting procedures for grant funds received pursuant to this Grant Agreement shall be in accordance with generally accepted government accounting principles and practices, and adequate supporting documentation shall be maintained in such detail as to provide an audit trail. Supporting documentation shall permit the tracing of transactions from such documents to relevant accounting records, financial reports and invoices.
- B. Grantees are required to provide the BSCC with a financial audit no later than the end of the contract term, December 31, 2023. The financial audit shall be performed by a Certified Public Accountant or a participating county or city auditor that is organizationally independent from the participating county's or city's project financial management functions. Expenses for this final audit may be reimbursed for actual costs up to \$25,000
- C. The BSCC reserves the right to call for a program or financial audit at any time between the execution of this Grant Agreement and three years following the end of the grant period. At any time, the BSCC may disallow all or part of the cost of the activity or action determined to not be in compliance with the terms and conditions of this Grant Agreement or take other remedies legally available.

**6. DEBARMENT, FRAUD, THEFT OR EMBEZZLEMENT**

It is the policy of the BSCC to protect grant funds from unreasonable risks of fraudulent, criminal, or other improper use. As such, the Board will not enter into contracts or provide reimbursement to grantees that have been:

- 1. debarred by any federal, state, or local government entities during the period of debarment; or
- 2. convicted of fraud, theft, or embezzlement of federal, state, or local government grant funds for a period of three years following conviction.

**EXHIBIT D: SPECIAL TERMS AND CONDITIONS**

Furthermore, the BSCC requires grant recipients to provide an assurance that there has been no applicable debarment, disqualification, suspension, or removal from a federal, state or local grant program on the part of the grantee at the time of application and that the grantee will immediately notify the BSCC should such debarment or conviction occur during the term of the Grant contract.

BSCC also requires that all grant recipients include, as a condition of award to a subgrantee or subcontractor, a requirement that the subgrantee or subcontractor will provide the same assurances to the grant recipient. If a grant recipient wishes to consider a subgrantee or subcontractor that has been debarred or convicted, the grant recipient must submit a written request for exception to the BSCC along with supporting documentation.

All Grantees must have on file with the BSCC a completed and signed Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement (Required as Attachment E of the original Proposal Package).

**7. MODIFICATIONS**

No change or modification in the project will be permitted without prior written approval from the BSCC. Changes may include modification to project scope, changes to performance measures, compliance with collection of data elements, and other significant changes in the budget or program components contained in Attachment 1: CalVIP Request for Proposals Request for Proposals and Attachment 2: CalVIP Proposal.

**8. TERMINATION**

A. This Grant Agreement may be terminated by the BSCC at any time after grant award and prior to completion of project upon action or inaction by the Grantee that constitutes a material and substantial breach of this Grant Agreement. Such action or inaction includes but is not limited to:

- 1) substantial alteration of the scope of the grant project without prior written approval of the BSCC;
- 2) refusal or inability to complete the grant project in a manner consistent with Attachment 1: CalVIP Request for Proposals Request for Proposals and Attachment 2: CalVIP Proposal, or approved modifications;
- 3) failure to provide the required local match share of the total project costs; and
- 4) failure to meet prescribed assurances, commitments, recording, accounting, auditing, and reporting requirements of the Grant Agreement.

B. Prior to terminating the Grant Agreement under this provision, the BSCC shall provide the Grantee at least 30 calendar days written notice stating the reasons for termination and effective date thereof. The Grantee may appeal the termination decision in accordance with the instructions listed in Exhibit D: Special Terms and Conditions, Number 8. Settlement of Disputes.

**9. SETTLEMENT OF DISPUTES**

A. The parties shall deal in good faith and attempt to resolve potential disputes informally. If the dispute persists, the Grantee shall submit to the BSCC Corrections Planning and Grant Programs Division Deputy Director a written demand for a final decision regarding the disposition of any dispute between the parties arising under, related to, or involving this Grant Agreement. Grantee's written demand shall be fully supported by factual information. The BSCC Corrections Planning and Grant Programs Division Deputy Director shall have 30 days

**EXHIBIT D: SPECIAL TERMS AND CONDITIONS**

after receipt of Grantee's written demand invoking this Section "Disputes" to render a written decision. If a written decision is not rendered within 30 days after receipt of the Grantee's demand, it shall be deemed a decision adverse to the Grantee's contention. If the Grantee is not satisfied with the decision of the BSCC Corrections Planning and Grant Programs Division Deputy Director, the Grantee may appeal the decision, in writing, within 15 days of its issuance (or the expiration of the 30-day period in the event no decision is rendered), to the BSCC Executive Director, who shall have 45 days to render a final decision. If the Grantee does not appeal the decision of the BSCC Corrections Planning and Grant Programs Division Deputy Director, the decision shall be conclusive and binding regarding the dispute and the Contractor shall be barred from commencing an action in court, or with the Victims Compensation Government Claims Board, for failure to exhaust Grantee's administrative remedies.

- B. Pending the final resolution of any dispute arising under, related to or involving this Grant Agreement, Grantee agrees to diligently proceed with the performance of this Grant Agreement, including the providing of services in accordance with the Grant Agreement. Grantee's failure to diligently proceed in accordance with the State's instructions regarding this Grant Agreement shall be considered a material breach of this Grant Agreement.
- C. Any final decision of the State shall be expressly identified as such, shall be in writing, and shall be signed by the Executive Director, if an appeal was made. If the Executive Director fails to render a final decision within 45 days after receipt of the Grantee's appeal for a final decision, it shall be deemed a final decision adverse to the Grantee's contentions. The State's final decision shall be conclusive and binding regarding the dispute unless the Grantee commences an action in a court of competent jurisdiction to contest such decision within 90 days following the date of the final decision or one (1) year following the accrual of the cause of action, whichever is later.
- D. The dates of decision and appeal in this section may be modified by mutual consent, as applicable, excepting the time to commence an action in a court of competent jurisdiction.

**9. UNION ACTIVITIES**

For all agreements, except fixed price contracts of \$50,000 or less, the Grantee acknowledges that applicability of Government Code §§16654 through 16649 to this Grant Agreement and agrees to the following:

- A. No State funds received under the Grant Agreement will be used to assist, promote or deter union organizing.
- B. Grantee will not, for any business conducted under the Grant Agreement, use any State property to hold meetings with employees or supervisors, if the purpose of such meetings is to assist, promote or deter union organizing, unless the State property is equally available to the general public for holding meetings.
- C. If Grantee incurs costs or makes expenditures to assist, promote or deter union organizing, Grantee will maintain records sufficient to show that no reimbursement from State funds has been sought for these costs, and that Grantee shall provide those records to the Attorney General upon request.

**10. WAIVER**

The parties hereto may waive any of their rights under this Grant Agreement unless such waiver is contrary to law, provided that any such waiver shall be in writing and signed by the party making such waiver.

**California Violence Intervention and Prevention  
(CalVIP) Grant**

---

**CalVIP Proposal Cover Sheet**

**Submitted by:**  
City of Stockton

**Grant Dollars Requested:**  
\$1,499,917

**Date Submitted:**  
06/5/2020

## CalVIP Applicant Information Form

<b>A. APPLICANT:</b>		<b>B. TAX IDENTIFICATION NUMBER:</b>	
NAME OF APPLICANT City of Stockton (City Manager's Office)		TAX IDENTIFICATION # 94-60000436	
STREET ADDRESS 425 N. El Dorado St.	CITY Stockton	STATE Ca	ZIP CODE 95202
MAILING ADDRESS (if different)	CITY	STATE	ZIP CODE
APPLICANT TYPE: <input type="checkbox"/> COMMUNITY-BASED ORGANIZATION (CBO) <input checked="" type="checkbox"/> CITY			
<b>C. CBO APPLICANTS ONLY –</b> <b>LOCATION OF RESIDENTS RECEIVING SERVICES (MUST BE CITY OR CITIES LISTED IN TABLE 1):</b>			
<b>D. PROJECT TITLE:</b>		The Stockton "Triple Bottom Line" Ceasefire Partnership	
<b>E. STRATEGY TO BE IMPLEMENTED:</b>		Focused deterrence, CBT, street outreach, intensive case mgmt., trust building	
<b>F. PROJECT SUMMARY (100-150 words):</b>			
OVP and its partners seek to reduce violence citywide while also minimizing incarceration and promoting opportunity for young people at highest risk of violence – and to do both while strengthening community-police relations, particularly with the residents at disproportionately high risk of violence. The strategy employs evidence-based, focused-deterrence. But, equally important, the strategy incorporates a strong commitment to violence intervention services and supports. And, both the focused-deterrence and the intervention components are coupled to a nationally recognized community-police trust building initiative – important because the population to be served "needs the protection of the police but trusts them the least." As is described below, the city's strategy is rooted in evidence of effectiveness and the project includes a rigorous evaluation of city-level, individual and trust building components by a nationally recognized evaluation team.			
<b>G. KEY PARTNER AGENCIES (if applicable):</b>	Name: California Partnership for Safe Communities	Letter of Commitment: <input checked="" type="checkbox"/>	
	Name: Friends Outside	Letter of Commitment: <input checked="" type="checkbox"/>	
	Name: Advance Peace Stockton	Letter of Commitment: <input checked="" type="checkbox"/>	
	Name: Northeastern University	Letter of Commitment: <input checked="" type="checkbox"/>	
	Name:	Letter of Commitment: <input type="checkbox"/>	
	Name:	Letter of Commitment: <input type="checkbox"/>	
<b>H. TYPE OF PROJECT:</b>		<input type="checkbox"/> NEW <input checked="" type="checkbox"/> ENHANCEMENT <input type="checkbox"/> EXPANSION	
<b>I. GRANT FUNDS REQUESTED:</b>		<b>J. MATCH FUNDS:</b>	
\$ \$1,499,917		\$1,500,000	
<b>K. CITY APPLICANTS ONLY – PASS-THROUGH AMOUNT:</b>		\$ 1,150,617 <span style="float: right;">77%</span>	
<b>L. PROJECT DIRECTOR:</b>			
NAME Daniel Muhammad	TITLE Office of Violence Prevention Director	TELEPHONE NUMBER (direct line) 209.937.5614	
STREET ADDRESS 425 N. El Dorado St.		CITY Stockton	



STATE Ca	ZIP CODE 95202	EMAIL ADDRESS daniel.muhammad@stocktonca.gov
-------------	-------------------	---

**M. FINANCIAL OFFICER:**

NAME Lindsay Brown	TITLE Management Assistant	TELEPHONE NUMBER (direct line) 209.937.7013
STREET ADDRESS 425 N. El Dorado St.		CITY Stockton
STATE Ca	ZIP CODE 95202	EMAIL ADDRESS lindsay.brown@stocktonca.gov
PAYMENT MAILING ADDRESS (if different)	CITY	STATE ZIP CODE

**N. DAY-TO-DAY PROGRAM CONTACT:**

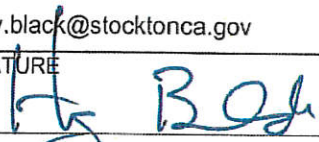
NAME Keiland Henderson	TITLE Community Engagement Coordinator	TELEPHONE NUMBER (direct line) 209.937.5416
STREET ADDRESS 425 N. El Dorado St.		CITY Stockton
STATE Ca	ZIP CODE 95202	EMAIL ADDRESS keiland.henderson@stocktonca.gov

**O. DAY-TO-DAY FISCAL CONTACT:**

NAME Lindsay Brown	TITLE Management Assistant	TELEPHONE NUMBER (direct line) 209.937.7013
STREET ADDRESS 425 N. El Dorado St.		CITY Stockton
STATE Ca	ZIP CODE 95202	EMAIL ADDRESS lindsay.brown@stocktonca.gov

**P. AUTHORIZED SIGNATURE\*:**

By signing this application, I hereby certify I am vested by the Applicant with the authority to enter into contract with the BSCC, and the grantee and any subcontractors will abide by the laws, policies, and procedures governing this funding.

NAME OF AUTHORIZED OFFICER Harry Black	TITLE City Manager	TELEPHONE NUMBER 209.937.8294
STREET ADDRESS 425 N. El Dorado St.	CITY Stockton	STATE ZIP CODE Ca 95202
EMAIL ADDRESS harry.black@stocktonca.gov		
SIGNATURE 		DATE 6/4/20

\* Authorized Signature: Must be a representative with the authority to sign documents and obligate the applicant.

STOCKTON CALVIP PROPOSAL

**CALVIP PROPOSAL NARRATIVE**

**1. Description of Community Need**

Stockton has a long history of tough social and economic conditions. For much of the past 50 years, its homicide rate has been triple that of state and national rates. As the recession deepened in 2007 and 2008, Stockton led the nation in foreclosures, the city's finances deteriorated, and in 2012 it became the largest U.S. city to declare bankruptcy. The bankruptcy resulted in unprecedented reductions in city services and left residents concerned about their future in a city that felt so close to the edge. Though Stockton has recovered from bankruptcy, it's still a challenging community in which to live. A recent study by Brandeis University described it as one of the five worst cities for families in the country (Arango, 2019).

**Stockton employs a multipronged strategy to understand and address community need – and to identify the target population.** First, every three years it completes a comprehensive analysis of local violence. This analysis (a problem analysis or PA) blends quantitative data with the insights of knowledgeable field staff. It focuses on homicides because these incidents provide the most extensive and reliable data available on local violence. The 2018 PA reviewed 120 homicides that involved about 250 very-high-risk individuals. It included: (a) the characteristics and circumstances of each incident; (b) the demographics and criminal justice system experience of the people involved; (c) groups/networks at high risk of involvement in violence, including their size, relationships, and activities; and (d) the concentration of violence throughout the city. Second, the City employs weekly shooting reviews (see below) that use a version of this methodology to guide day-to-day implementation. Consistent with research findings, these reviews focus on the small number of individuals at risk of driving and/or becoming the victims of violence (Green, 2017). Third, the city continuously collects data on the service needs and priorities of young people at highest risk of violence. The City's Office of Violence Prevention (OVP) assembles this data into a dashboard that informs program design and management. Finally, OVP regularly facilitates listening sessions with young people at highest risk of

## STOCKTON CALVIP PROPOSAL

violence that provide them the opportunity to share their day-to-day lives, efforts to avoid violence, and experience of the police and the criminal justice system. It has also developed a leadership council (LC) of former clients. Among other things, the LC functions as a standing advisory council on the experience and perspective of young people at highest risk of violence.

**Who is at very highest risk of violence in Stockton?** The above analysis indicates that: (1) Young men of color are at highest risk of involvement in violence. Hispanic, black and Asian men are involved in about 90 percent of all homicides. (2) The average age of individuals directly involved in violence is 30, significantly higher than informal estimates – only 10% are juveniles and almost two-thirds are 18-34. (3) They are “over-policed” but under-protected; about 79% have been involved in the criminal justice system and they’re arrested about every 18 months. Of these almost 70% have felony convictions, 80% have been incarcerated, and almost 80% have been on parole or probation supervision. (4) Groups (gangs, crews, sets, etc.) are associated with at least two-thirds of the homicides in Stockton, mostly due to a variety of group or personal disputes. (5) At any one time, a very small percentage of the active members in these groups – *as few as 200 individuals* – are at highest risk of involvement in violence (Acevedo, 2018). (6) Like many cities, Stockton has neighborhoods that experience disproportionately high rates of shootings but very few are due to a conflict over turf. (7) The typical OVP client is in their late 20’s, has not graduated from high school, is justice involved, and has been shot or shot at (that is, is a victim of violence). They show signs of trauma and report that they are constantly worried about staying safe, are unemployed, in serious debt, “unbanked,” have poor credit, unstable housing, and experience hunger on a daily basis.

**Summary.** Based on the above, OVP’s strategy is tailored to two aspects of community need: first, the individuals OVP proposes to focus on are at enormous risk of violence and incarceration, have a personal history deeply marked by trauma, and struggle daily with poverty; and, second, the city’s long history of serious violence suggests that a robust, relentless response is needed to provide young people at highest risk of violence with credible pathways to safety and opportunity.

## STOCKTON CALVIP PROPOSAL

**2. Project Description**

Given these challenges, OVP seeks to reduce violence citywide while also minimizing incarceration and promoting opportunity for young people at highest risk of violence – and to do both while strengthening community-police relations, particularly with the residents at disproportionately high risk of violence. The city's strategy employs evidence-based, focused-deterrence (Braga, 2018). But, equally important, the strategy incorporates a strong commitment to violence intervention services and supports. Both the focused-deterrence and the intervention components are coupled to a nationally recognized community-police trust building initiative (Lawrence, 2019) – which is important because the population to be served "desperately needs the protection of the criminal justice system the most but trusts them the least." As is described below, the city's strategy is rooted in evidence of effectiveness (respectively, Engel, 2018; Lynch, 2018; and Tyler, 2017). OVP plays a central role in this strategy. Over the last two years, OVP has helped reduce homicides by approximately 34 percent and non-fatal injury shootings by approximately 30 percent. Even so, gun violence is still more than double state and national rates, serious shootings are a daily occurrence in many neighborhoods, and the risk of violence for young men of color is unacceptably high. Therefore, OVP proposes a three-step plan:

**Step 1: Reduce homicides and non-fatal injury shootings citywide. Strategically engage key community-based organizations in more quickly and effectively interrupting cycles of violence.** Over the past 2.5 years, OVP has developed an intensive partnership-based management cycle that: (a) reviews every serious shooting to quickly identify young people at highest risk of violence; (b) generates an action plan specifically tailored to reduce the risk to them and the community; (c) successfully engages them in services; and (d) monitors follow-through for quality and timeliness. This process draws on the expertise and experience of the outreach team to tailor interventions to individuals at very highest risk of violence. Engagement typically begins with a strong emphasis on building trusting relationships that, in turn, serve as the foundation for successfully engaging them in interventions such as relocating individuals or families in danger or employing mediation and/or conflict resolution. These action

STOCKTON CALVIP PROPOSAL

steps are assembled into written staff "deliverables," — distributed to partners within a few hours — that ensure that the supports and services essential to the safety of those at most risk are quickly mobilized. This process is designed to accelerate and maximize the use of Intervention services while reducing the reliance on enforcement strategies and tactics that tend to sweep young people at lower risk into the criminal justice system with little public safety benefit (and, therefore, addresses over-incarceration).

**OVP will expand capacity to systematically and strategically engage key community-based and public social service agencies in this real-time management/planning cycle.** The process has two steps. First, OVP will work with two local community-based agencies with extensive experience and expertise in violence intervention, Advance Peace and Friends Outside. The goal following a shooting or signs of a developing conflict will be to rapidly and strategically mobilize a wide range of relationship-building and intervention resources to reduce the probability of continued and/or escalating violence. OVP will increase the capacity of the partnership-based management cycle to fully engage these two important partners through a combination of semi-weekly meetings and weekly conference-call check-ins that blend strategy formulation and performance management (which will, in turn, be supported through joint trainings and the common program core described below). Second, once this expansion is piloted/refined, OVP will, step-by-step, include introduce additional partners such as county behavioral health services, probation and CBO's.

**OVP will strengthen the Ceasefire evidence-based communication component to effectively transition those at highest risk of violence to supports and services.**

The direct, respectful communication of an evidence-based "risk and opportunity" message is central to focused-deterrence (Engel, 2018). Extensive evidence (Wood, 2019) shows this messaging is highly effective in deterring violence — and OVP has worked intensively with community leaders, service providers and outreach workers to: (a) shape messaging to be consistent with the principles of procedural justice; that is, to be respectful, to give voice, be based on data and not on bias, and to reflect the city's commitment to participants' well-being (Tyler, 2017); and (b) construct an inviting,



STOCKTON CALVIP PROPOSAL

seamless transition to services. As a result, Stockton's service engagement rate has steadily increased over the past 2.5 years.

OVP will continue to strengthen this process by increasing its use of "credible messengers" – specially trained adults with relevant life experiences who share their background (Lynch, 2018). OVP will stipend members of the LC and employ two community leaders (half-time) to assist with each phase of the communication of the risk and opportunity message (invitation, messaging, service intake, etc.), increasing the credibility and effectiveness of these notifications as an entryway to services.

**Step 2. Improve outcomes for young people at very highest risk of violence: strengthen key program activities while doubling overall program capacity.** OVP is steadily building a supportive pathway that: (a) begins with an intensive focus on safety and relationship building; (b) evolves into a blend of life coaching and intensive case management; (c) transitions to participation in a cognitive-behavioral theory-based (CBT) life skills curriculum (Baldwin, 2017) that draws on a structured community of support; and, (d) provides ongoing support and opportunities such as volunteer activities, recreational and cultural events, and participation in the LC described below.

**a. Building strong client relationships through consistent and frequent high-quality contacts and activities each.** OVP staff have small caseloads to enable them to provide intensive, high-quality services. In addition, two weekly meetings help ensure quality casework. First, case reviews provide life coaches with the assistance and supervision they need to maintain quality case work, including factors such as the frequency and intensity of support, the caseload risk-profile (Is the focus on the very highest risk?), and reduction of violence-related risk factors. Second, case conferences blend problem-solving, group learning, and training in skills essential to intervention and life coaching. Both meetings are rooted in CBT as it is incorporated into the life skills curriculum described below and are supplemented by an OVP performance management dashboard that maps where clients are in terms of housing, financial, employment and family life progress.

## STOCKTON CALVIP PROPOSAL

**b. Enhancing and expanding the core life-skills curriculum.** Life coaching and case management will be supplemented by an active and richly programmed community of support built on the 14-session life skills curriculum. The curriculum, known as Healthy, Wealthy and Wise (HWW), focuses on: **(1)** making informed and constructive decisions related to: (i) the risk of violence and incarceration; and (ii) longer-term goals such as education and employment; **(2)** developing a new sense of identity and purpose compatible with the young men's roles as valued community members; **(3)** understanding and overcoming trauma and pain; and **(4)** financial literacy as a foundation for self-sufficiency and personal efficacy. The curriculum, developed by the National Institute for Criminal Justice Reform (NICJR) and drawing on research evidence showing the effectiveness of CBT (Baldwin, 2017) and transformative mentoring (Lynch, 2018), employs scenario-based exercises, guest speakers and group discussions and is trauma-informed and culturally relevant (Muhammad, 2020). OVP will increase the number of times the full curriculum is offered to four cycles a year. In addition, it will increase the number of staff that actively assist in delivering/facilitating the curriculum to get as close as is possible to a 1-4 ratio of credible messengers to participants with the goal of ensuring that sessions are experienced as an engaging and supportive activity. The meeting budget will be increased so that participation is incentivized and course completion is recognized through a formal graduation ceremony.

**c. Building a community of support: expanding the menu of: (i) life-skills curriculum modules, (ii) complementary cultural, recreational and volunteer service activities and opportunities: and (3) participant-driven convenings.** Employing the life-skills curriculum as a foundation and drawing on best practice research (Matthay, 2019), OVP will build a robust community of support that includes: (a) a mix of recreational and cultural activities; (b) volunteer service opportunities; (c) two new program modules – a leadership development module and a financial self-sufficiency module that focuses on employment soft skills, financial literacy, and asset development (Sherraden, 2015); and (d) client-driven meetings that draw on the format of HWW sessions but focus on topics that may, for example respond to unusual challenges (for example, the Covid pandemic) or expand on existing curriculum topics

## STOCKTON CALVIP PROPOSAL

that participants may find particularly challenging or interesting (note that OVP has been a state leader in responding to the broad needs of very highest risk young people during the Covid pandemic). These activities are organized to generate: (i) a wide variety of opportunities and supports for life skills development; (ii) multiple modes of support that can be tailored to individual needs and priorities; (iii) a variety of entry (and re-entry) points geared to personal needs and interests, and (iv) increased program engagement and retention (in part, through financial incentives and stipends). The product is a program design based on best practice and evaluation research that supports progress toward important life goals (Baldwin, 2017; Duran, 2015).

This expansion is made possible by: (i) a dedicated program coordinator (crucial to making this annual calendar of well over 70 meetings and activities come together); (ii) a budget for financial incentives sufficient for up to 60 program participants; (iii) stipends for two HWW fellows drawn from the Leadership Council; and (iv) a dedicated program analyst to track client engagement and progress.

**Step 3: Strengthening the role and voice of people at very highest risk of violence in program and policy – and, specifically in strengthening community-police relations.** Over the past four years, OVP has made very significant progress developing a leadership council made up of clients that are at highest risk of being involved in violence. Members of the LC play an increasingly important and prominent role in local policy and practice. They have, for example, participated in police department trainings, worked with police leaders to revise police practice and policy, and taken paid positions as violence interrupters and case managers in local programs. This project positions the LC to play a role in strengthening community-police relations through three specific measures: formalizing support to the LC, creating leadership roles that can meaningfully influence local police practice and policy, and making a system commitment to their change goals.

**Formalizing support to the LC.** OVP will further strengthen the LC by developing: (i) a set of structured practice opportunities, including intervention, mentoring and facilitative leadership opportunities at OVP, Friends Outside, Advance Peace and other mission-aligned private and public agencies; (ii) a set of policy and advocacy opportunities

## STOCKTON CALVIP PROPOSAL

including, for example, standing membership on the police department's community advisory board and/or the CalVIP Coordinating & Advisory Council, or (for example) an "Internship" with local organizing and advocacy groups such as Californians for Safety and Justice; (iii) position descriptions, roles and responsibilities for each of these opportunities; (iv) corresponding leadership training and support, including a new HWW "leadership development" module; (v) dedicated OVP staff support for the LC; and (vi) a substantial monthly stipend designed to provide much needed financial support to the LC members that take these positions.

**Making a system commitment to the LC's change goals.** Generally, this measure consists of fostering the development of service/practice and leadership positions for young people formerly at highest risk of violence that offer financial compensation, relevant training and skill development, and meaningful access to career paths and organizational leadership opportunities, including those that emphasize justice system reform. Specifically, this measure targets police practices and policies that the LC views as deeply damaging to the relationship between young people at very highest risk of violence and police. Policy and practice related to police searches and stops are the most prominent examples. The related action step is to place these developing leaders in positions and processes in which they have a real influence on and play a meaningful role in policy change – and assembling key partners – SPD, OVP and CPSC – as a steering group to work with the LC (and other stakeholders) on the continued change and refining of police practice and policy – that is, to support the LC, to engineer relevant policy change, and maintain system reform as a priority.

**3. Organizational Capacity and Coordination (Percent of Total Value: 20%)**

OVP is designed, staffed and funded explicitly to support the implementation of evidence- and partnership-based violence reduction strategies. OVP's leadership and staff have extensive experience collaborating with a broad range of public and private criminal justice and social service agencies, including SPD. The office is directed by Daniel Muhammad, a field leader in performance- and evidence-based violence reduction program design and management. OVP has 14 staff, all city employees. Four

## STOCKTON CALVIP PROPOSAL

employees form the management team (the director, a senior program lead, a senior data analyst and an administrative assistant) and ten are violence intervention staff. OVP's program strategy includes violence intervention, life coaching and intensive case management functions, all of which draw on best practice – including trauma-informed cognitive behavioral therapy and are tailored specifically to young people at very highest risk of violence. Almost all staff have backgrounds relevant to the work they do in the community and are informal but widely-recognized community leaders.

**The project enhances the coordination of existing violence prevention and intervention programs and minimizes the duplication of services in several ways.** First, OVP, as noted, employs an inclusive partnership-based approach to management through the weekly shooting reviews, coordination meetings, group strategy sessions, and performance reviews. These meetings are designed specifically to provide opportunities for the full range of stakeholders to have a voice and active role in day-to-day operations as well as in planning and oversight. **Second**, the project makes a significant investment in using quantitative and qualitative data collection and analysis to direct resources where they will be most effective in reducing violence; that is, to coordinate and align the efforts of the partners and stakeholders. The problem analysis, weekly shooting reviews and coordination meetings build on each other to collect, analyze and bring to bear data instrumental in maintaining the strategic focus of diverse partners (with differing perspectives and life experiences) on young people at highest risk of violence. Also note that each of these tools and processes is designed to incorporate the perspectives of key partners (young people at highest risk of violence, community members directly affected by violence, frontline practitioners, etc.). **Third**, the distinctive service approach of each partner is combined with the evidence-based common program core, which, as described in Section 2, is a major focus of this project. **Finally**, the partners embrace shared values and guiding principles that promote coordination and help bind them together for the long-term work needed to significantly reduce violence. The principles of procedural justice will continue to be employed as design principles for shaping programs and interventions. Relevant research indicates that police departments that incorporate the principles into departmental design,



STOCKTON CALVIP PROPOSAL

practice and policy are able to secure the public support and cooperation needed to sustain partnership-based violence reduction initiatives (Tyler, 2017).

**Involving formerly and/or currently system-involved individuals in project design and implementation.** The LC was founded by an initial cohort of young men formerly involved in violence but making sustained progress toward safety and opportunity and seeking to make a contribution to community life. The planning process began with a series of discussions to get to know each other, listening sessions with SPD leadership to share their experience with police, and meetings with city and faith leaders to learn more about leadership in action. And they participated in sessions previewing leadership development curricula with experts. Even though many came from groups that had, at times, been in conflict, their discussions revealed strong commonalities that outweighed past tensions. This process generated a strong core group that has since grown into a larger and formalized leadership council. As described in Section 2 above, this proposal not only elevates the role they play in OVP management, governance and operations but also incorporates well-defined plans for strengthening the relationship between the SPD and young people at very highest risk of violence.

**The California Partnership for Safe Communities (CPSC) has extensive experience successfully supporting similar violence-reduction efforts in California and nationally and will be the lead technical assistance provider.** CPSC is one of a handful of organizations nationwide that the National Network for Safe Communities (NNSC) – the national community of practice for focused-deterrence strategies – identifies as capable of leading a focused-deterrence project from design to implementation. A recent evaluation by Northeastern University found that the Oakland Ceasefire strategy, for which CPSC was the lead technical assistance provider, significantly reduced homicides while OPD experienced a 70% reduction in formal complaints by citizens and made 60% fewer arrests per year than in the late 2000s. CPSC's leadership has extensive relevant expertise and experience – and has also developed procedural justice training and trust-building strategies in multiple California cities and played a central role in the California DOJ's *Principled Policing Initiative*.

## STOCKTON CALVIP PROPOSAL

**4. Project Evaluation and Monitoring**

(1) As a foundation for **monitoring** overall quality implementation, OVP and its partners will establish a continuous and intensive focus on monitoring and responding to violent incidents and conflicts through a "partnership-based management cycle." Three weekly meetings – each with a primary focus on understanding and responding to violence – play a central role in partnership management. This management cycle helps maintain a continuous focus on violence; the meetings closely follow each other, happening at most just a few days apart. The focus has to be this continuous and intensive: A dangerous violent incident takes place almost every day and rapidly spurs retaliatory shootings. And research demonstrates that most retaliatory shootings take place in the weeks immediately following a violent incident and tend to "cascade," quickly involving more victims and shooters (Green, 2017). (2) In addition, the partners will continue to develop **performance reviews** as a vehicle for holding themselves accountable for quality implementation and results. Performance reviews have a three-part agenda: First, partners assess progress toward the violence-reduction goals – for example, reductions of at least 5 percent per year in homicides and nonfatal injury shootings, fully realizing that no amount of violence is acceptable but that the impact of violence on young men of color requires concrete commitments and hard choices generated by a public commitment to measurable results. Second, they evaluate the quality of implementation based on indicators drawn from the main operational components of OVP. For each component, indicators are linked to *focus* ("Are we sustaining our focus on the small proportion of individuals actually driving violence?"), *scale* ("Are we working at a scale that promises citywide results?"), and *fidelity to the model* ("Are we carrying out this activity in a way that is consistent with evaluation findings on effectiveness?"). The data on outcome and activity indicators (objectives) is assembled into a single **worksheet** that quickly conveys the information to concerned stakeholders. The third element is a running conversation about the measures needed to strengthen implementation, including reallocating funding, modifying program modifications, and resetting priorities. The meetings end with a summary of these commitments that are quickly incorporated into agency and staff workplans.

## STOCKTON CALVIP PROPOSAL

**Evaluation.** A nationally-recognized evaluation team from the Center on Crime and Community Resilience at Northeastern University will collaborate with OVP, SPD, CPSC and local community, social service, and criminal justice partners to implement rigorous process and impact evaluations of the local partnership's efforts. The **process evaluation** will use focus groups and individual interviews with "implementers" and stakeholders to document program activities, successes, and challenges. Existing official records will also be accessed and analyzed to document relevant program activities such as social service engagement and outcomes; the delivery of the "risk and opportunity" anti-violence message; enforcement dynamics, including effectively focusing on those individuals that remain a danger to themselves and the community; and relevant aspects of trust-building. The **impact evaluation** will involve varying evaluation approaches to triangulate Ceasefire effects on Stockton violence. **First**, the evaluation team will use a cross-city quasi-experimental design to compare violence trends in Stockton to gun homicide trends in comparison California cities. **Second**, "within-city quasi-experimental designs" will be used to compare shooting trends for directly-treated groups and networks to shooting trends for comparison groups that did not directly receive the treatment. **Third**, the evaluation team will conduct an individual impact evaluation is designed to assess the extent to which OVP's service strategy is associated with reductions in violent recidivism and violent victimization for those individuals who were part of the initiative relative to untreated comparison individuals. **Fourth**, qualitative research methods, such as focus groups and structure interviews, will be used with a wide range of community stakeholders to determine whether this strategy improved police community relations.

**Summary note on concrete results.** Citywide: the initiative partners and stakeholders are seeking a minimum reduction in shootings (as measured by homicides and non-fatal injury shootings) of five percent each year of the initiative. Individual-level: The partners seek measureable reductions in violent recidivism and victimization and will set the baselines and indicators with the assistance of the evaluation team above. Community-police relations. Qualitative measures developed through systematic engagement with the key stakeholders such as the LC.

### CALVIP PROJECT WORKPLAN

<b>(1) Goal:</b>	Reduce violence citywide as measured by homicides and non-fatal injury shootings (Step 1 in project desc. describes the strategy for this goal) (Eval section describes annual reduction goals)		
<b>Objectives (A., B., C...):</b>	A. Systematically/strategically engage key community-based and public agencies (with intervention capacity/focus) in real-time partnership-based management cycle. B. Refine communication efforts to more effectively generate longer-term service engagement supportive of violence reduction. C. Increase community/LC participation in communications (as per Credible Messenger research)		
<b>Project activities that support the identified goal and objectives:</b>	<b>Responsible staff/partners</b>	<b>Timeline</b>	
		<b>Start Date</b>	<b>End Date</b>
1. Complete new hires & related internal capacity building	OVP mgmt. team, CPSC, Advance Peace, Friends Outside.	1. 1st quarter.	1. 3 <sup>rd</sup> quar.
2. Organize goal-specific workplan with leads & partners		2. 2 <sup>nd</sup> quarter	2. 3 <sup>th</sup> quar.
3. Incorporate new partners into partnership-based mgmt. cycle.		3. 2 <sup>nd</sup> quarter	3. Continuing
4. Review and problem-solve (as necessary) overall "expanded" process		4. 3 <sup>rd</sup> quarter	4. Continuing
5. As appropriate, add additional partners.		5. 9 <sup>th</sup> quarter	5. Continuing
<b>(2) Goal:</b>	Improve individual level outcomes as measured by reductions in recidivism for violent crime, reduced victimization, and evidence of financial self-sufficiency (Step 2 describes the primary strategy for this goal) (Eval team sets baselines and measurable outcomes)		
<b>Objectives (A., B., C...):</b>	A. Organize case management & life coaching, life-skills curriculum, and related activities into a cohesive pathway to safety and opportunity. B. Expand calendar of activities, life coaching partnerships w/key partners, and delivery of CBT program to accommodate increased caseloads		
<b>Project activities that support the identified goal and objectives:</b>	<b>Responsible staff/partners</b>	<b>Timeline</b>	
		<b>Start Date</b>	<b>End Date</b>
1. Complete new hires and develop internal capacity to support/manage expansion.	OVP mgmt. team, CPSC, leads from partnering agencies (AP and Friends Outside) and relevant substance experts.	1. 1st quarter.	1. 2 <sup>nd</sup> quarter
2. Develop goal-specific workplan with partners and key stakeholders (partner roles and responsibilities, design of "financial self-sufficiency" program strategy, financial self-sufficiency life-skills curriculum module, activity and outcome indicators, etc.)		2. 2 <sup>nd</sup> quarter	2. 3 <sup>rd</sup> quarter
3. Implement plan (step by step)		3. 3 <sup>rd</sup> quarter	3. Continuing
4. Institute quarterly performance management/program oversight process to ensure quality implementation		4. 4th quarter	4. Continuing
<b>(3) Goal:</b>	Strengthen community-police relations, specifically as relevant to people at very highest risk of violence. (Project Desc-Step 3 describes the strategy related to this goal.)		
<b>Objectives (A., B., C...):</b>	A. LC is a fully developed program with a program budget, guidelines, well-defined roles and responsibilities. B. LC members are systematically incorporated into local policy and practice. C. A qualitative evaluation of the program is conducted.		
<b>Project activities that support the identified goal and objectives:</b>	<b>Responsible staff/partners</b>	<b>Timeline</b>	
		<b>Start Date</b>	<b>End Date</b>
1. Complete relevant new hires, fully develop new internal capacity to support this phase of LC.	OVP mgmt. team, LC members, CPSC, leads from partnering agencies (AP and Friends Outside) and relevant substance experts.	1. 1 <sup>st</sup> quarter.	1. 2 <sup>nd</sup> quarter
2. Collaborate w/LC on leadership development component of life skills curriculum & related program support for LC roles/responsibilities.		2. 2 <sup>nd</sup> quarter	2. 4 <sup>th</sup> quarter
3. Draft goal-specific roll-out and related planning		3. 4 <sup>th</sup> quarter	3. 6 <sup>th</sup> quarter
4. Design and carry out qualitative evaluation		4. 4 <sup>th</sup> quarter	4. Continuing as planned

## CALIFORNIA PARTNERSHIP FOR SAFE COMMUNITIES

To: Board of State and Community Corrections

Re: California Violence Intervention & Prevention (CalVIP) Grant

Date: May 26, 2020


This letter is being submitted to document that the California Partnership for Safe Communities agrees to partner on the CalVIP grant proposal being submitted by the City of Stockton.

As a part of this grant, the California Partnership for Safe Communities (CPSC) agrees to provide comprehensive and in-depth technical assistance, training, evaluation and analysis, and related support to the City's Office of Violence Prevention and its community partners – including, in particular, OVP's leadership council – as they work together to implement evidence-based models and practices designed to reduce violence citywide, improve outcomes for young people at greatest risk of violence and build community-police trust.

CPSC will provide intensive and engaged support throughout each of the project's three stages. CPSC brings extensive expertise and hands-on experience in building, expanding and enhancing each of the operational capacities laid out in the project description and the proposal narrative, overall.

Central to this effort is the commitment of the partners – CPSC, the City and its departments, the community based agencies, the OVP leadership council, the City's political leadership and the Center for Crime and Community Resilience at Northeastern University – to sustain and institutionalize this initiative as a civic priority fully integrated into local policy and practice.

Signed by,

  
Stewart Wakeling  
Executive Director

Address

Phone

Web

825 Washington Street, Suite 200, Oakland, CA 94607

510.433.0225

[www.theCApartnership.org](http://www.theCApartnership.org)





To: Board of State and Community Corrections

Re: California Violence Intervention & Prevention (CalVIP) Grant

Date: May 15, 2020

This letter is being submitted to document that Friends Outside agrees to partner on the CalVIP grant proposal being submitted by the City of Stockton

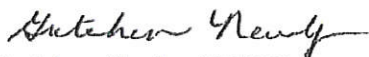
As a part of this grant, Friends Outside agrees to actively participate in and support the City's plan to do the following:

1. Respond more quickly and effectively to violent incidents – among other things, through participation in regular "group strategy" and performance review meetings.
2. Continue to strengthen the Ceasefire communication efforts – specifically call-ins and custom notifications, but also alternative communication measures crafted as a response to the Covid pandemic.
3. The City's Office of Violence Prevention (OVP) expansion and enhancement of its evidence-based service component, including specifically the Healthy, Wealthy and Wise life-skills curriculum, related activities and the weekly case reviews and case conference meetings (as indicated by OVP).
4. Continue the ongoing development and refinement of the OVP Leadership Council.
5. Evaluate the effectiveness of these efforts in partnership with Northeastern University.

Friends Outside's commitment extends beyond the specific functions bulleted above to full support of the City's "triple bottom line" goal to reduce violence citywide, promote opportunity for young people at highest risk of homicide and strengthen community-police relations, particularly as these trust-building efforts focus on young people at very highest risk of involvement in violence.

Please feel free to reach out to me if you have any questions about our commitment and role in this initiative.

Warm Regards,



Gretchen Newby, MFT MA  
Executive Director  
gnewby@friendsoutside.org



# Northeastern University

## College of Social Sciences and Humanities

To: Board of State and Community Corrections

Re: California Violence Intervention & Prevention (CalVIP) Grant

Date: May 27, 2020

This letter is being submitted to document that the Center on Crime and Community Resilience in the School of Criminology and Criminal Justice at Northeastern University agrees to partner on the CalVIP grant proposal being submitted by the City of Stockton.

As a part of this grant, the Center on Crime and Community Resilience agrees to work in close partnership with the City of Stockton to conduct:

- A process evaluation that will, among other things, employ focus groups and individual interviews with Ceasefire implementers to document key program activities, successes, and challenges. The process evaluation will also access and analyze official records to document relevant program activities such as social services delivered, call-ins and custom notifications, and enforcement actions taken. In particular, this component will focus on the Stockton initiative's procedural justice and legitimacy program components.
- An impact evaluation employing the following analyses. First, a within-city quasi-experimental design will be used to compare shooting trends for directly-treated networks and census block groups to shooting trends for comparison networks and block groups that did not directly receive the treatment. Second, we will conduct an individual impact evaluation designed to assess the extent to which the "outreach and support" intervention is associated with reductions in violent recidivism and violent victimization for those individuals who were part of the initiative relative to untreated comparison individuals. Third, qualitative research methods, such as focus groups and structured interviews, will be used with a wide range of community stakeholders to determine whether this strategy improved police community relations.

Finally, working with the local partners, the Center on Crime and Community Resilience will complete a comprehensive final report that incorporates the results of the analytic products above and speaks to the institutionalization and sustainability of the initiative.

Sincerely,

A handwritten signature in black ink, appearing to read "Anthony A. Braga".

Anthony A. Braga, Ph.D.

Elmer V.H. and Eileen M. Brooks Distinguished Professor  
Director, Center on Crime and Community Resilience

School of  
Criminology and  
Criminal Justice

204 Churchill Hall  
360 Huntington Ave  
Boston, MA 02115

617.373.3327  
fax 617.373.8723

[northeastern.edu/scj](http://northeastern.edu/scj)



To: Board of State and Community Corrections

Re: California Violence Intervention & Prevention (CalVIP) Grant

Date: May 15, 2020

This letter is being submitted to document that Advance Peace agrees to partner on the CalVIP grant proposal being submitted by the City of Stockton.

As a part of this grant, Advance Peace agrees to actively participate in and support the City's plan to do the following:

1. Respond more quickly and effectively to violent incidents – among other things, through participation in regular "group strategy" and performance review meetings.
2. The City's Office of Violence Prevention (OVP) expansion and enhancement of its evidence-based service component, including specifically the Healthy, Wealthy and Wise life-skills curriculum, related activities and the weekly case reviews and case conference meetings (as indicated by OVP).
3. Continue the ongoing development and refinement of the OVP Leadership Council.
4. Evaluate the effectiveness of these efforts in partnership with Northeastern University.

Advance Peace's commitment extends beyond the specific functions bulleted above to full support of the City's "triple bottom line" goal to reduce violence citywide, promote opportunity for young people at highest risk of homicide and strengthen community-police relations, particularly as these trust-building efforts focus on young people at very highest risk of involvement in violence.

Please feel free to reach out to me if you have any questions about our commitment and role in this initiative.

Sincerely,



DeVone Boggan, CEO





## 2019 California Violence Intervention &amp; Prevention (CalVIP) Grant - Project Budget and Budget Narrative

Name of Applicant: Stockton

Contract Term: July 1, 2020 October 1, 2020- December 31, 2023

Note: The top table will auto-populate based on the information entered in the sections below.

Budget Line Item	Grant Funds	Match Funds	Total
1. Salaries and Benefits	\$384,498	\$899,000	\$1,283,498
2. Services and Supplies	\$0	\$0	\$0
3. Professional Services or Public Agency Subcontracts	\$324,000	\$51,000	\$375,000
4. Non-Governmental Organization (NGO) Subcontracts	\$765,669	\$0	\$765,669
5. Equipment/Fixed Assets	\$0	\$0	\$0
6. Project Evaluation	\$0	\$550,000	\$550,000
7. Financial Audit	\$25,000	\$0	\$25,000
8. Other (Travel, Training, etc.)	\$750	\$0	\$750
9. Indirect Costs	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$1,499,917</b>	<b>\$1,500,000</b>	<b>\$2,999,917</b>

Required match: 100%: no less than: **\$1,499,917**

**1a. Salaries and Benefits**

Name and Title	(Show as either % FTE or Hourly Rate) & Benefits	Grant Funds	Match Funds	Total
OVP: Admin/Data Analyst	1.0 FTE Salary & Benefits at \$101,985 per for year for 31ms.	\$263,121	\$0	\$263,121
OVP: Program Coordinator	.5 FTE Salary & Benefits at \$97,191 per for year for 31 ms.	\$121,377	\$0	\$121,377
OVP: Community Engagement Coordinator	.66 FTE Salary & Benefits at \$125,648 per for year for 33 months	\$0	\$228,000	\$228,000
OVP: Director	.4 FTE Salary & Benefits at \$261,918 per for year for 33 months	\$0	\$257,500	\$257,500
SPD: Ceasefire Lieutenant	.5 FTE Salary and Benefits at \$204,079 for 33 months	\$0	\$280,100	\$280,100
OVP: Program Supervisor	.3 FTE Salary & Benefits at \$97,191 per year for 33 months	\$0	\$75,000	\$75,000
OVP: Peacekeeper	.3 FTE Salary & Benefits at \$70,859 per year for 33 months	\$0	\$58,400	\$58,400
<b>TOTAL</b>		<b>\$384,498</b>	<b>\$899,000</b>	<b>\$1,283,498</b>

**1b. Salaries and Benefits Narrative:**

**NOTES ON REQUESTED GRANT FUNDING.** This project represents a major increase in evidence-based service capacity for young people at very highest risk of violence in Stockton (the goal is to double overall service capacity). Therefore, OVP will expand its management capacity in two ways. First, it seeks two new positions crucial to this expansion. A program coordinator that can assist with the increase in the Healthy, Wealthy & Wise program cycles (and the related meetings outlined in the project description) and the continued development of the Leadership Council. Second, it will add a full-time data analyst to closely track clients' progress and to ensure quality case management/life coaching. **NOTES ON MATCH.** OVP will redirect the efforts of several existing staff members to this increase in capacity, integrating this work into core operations. Elements of this are detailed to reflect the fact that OVP lead staff will be highly focused on increasing program capacity over this period. However, in a real way, the full OVP budget (approximately \$950,000 annually) can serve as a match for this program. In addition, Stockton PD has several dedicated Ceasefire staff (a lieutenant, a full specialized unit, a crime analyst, and, on a half-time basis, a deputy chief) but is only including the Ceasefire lieutenant as match. **NOTE ALSO THAT OVP IS A VIOLENCE INTERVENTION AND SERVICE FOCUSED DIVISION AT THE CITY AND THE GRANT FUNDED POSITIONS ABOVE ARE THEREFORE INCLUDED IN THE "PASS THROUGH" TOTAL.**

**2a. Services and Supplies**

Description of Services or Supplies	Calculation for Expenditure	Grant Funds	Match Funds	Total
N/A		\$0	\$0	\$0
		\$0	\$0	\$0
<b>TOTAL</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>



**2b. Services and Supplies Narrative:**

N/A

**3a. Professional Services**

Description of Professional Service(s)	Calculation for Expenditure	Grant Funds	Match Funds	Total
California Partnership for Safe Communities: Program Development & Project/Performance Management	Approx 2-3 days per week for \$125,000 per year (over 33 months) of staff & partner consultant time (see narrative below)	\$324,000	\$51,000	\$375,000
		\$0	\$0	\$0
<b>TOTAL</b>		<b>\$324,000</b>	<b>\$51,000</b>	<b>\$375,000</b>

**3b. Professional Services Narrative**

**NOTES ON REQUESTED GRANT FUNDING.** As the lead technical assistance provider, CPSC will work in close partnership with OVP leads on several key program components and will provide project management support. CPSC has worked with the city as the lead in (a) designing and building OVP capacity and as (b) the overall lead on the development and implementation of its overall violence reduction efforts.

**PROGRAM WORK:** First, CPSC will focus on the development of the legitimacy, trust-building and procedural justice facets of the project, most specifically that work related to the continued development of the LC. Second, CPSC will provide intensive support for institutionalizing each component of the project at the city level, ensuring the sustainability of the initiative. Third, CPSC will continue to build out the partnership-based management and oversight of the initiative to ensure it is inclusive and transparent. Fourth, it will collaborate with the OVP leads on the financial self-sufficiency components of the overall program. The above will be detailed in a contract and scope of work to be developed in the context of the overall project workplan.

CPSC's executive director will be the lead on this project but will collaborate with senior staff and consultants, as needed, particularly with regard to the leadership and financial self-sufficiency components. The budgeted grant amount totals the equivalent of a .33FTE spread across these three partners. CPSC has the capacity to provide extensive billing detail for financial admin and oversight purposes.

**NOTES ON MATCH:** Note that the City will provide a one-to-one match for time and related expenses. In total, this is equivalent to approximately .66FTE on this project.

**4a. Non-Governmental Organizations (NGO) Subcontracts**

Description of Subcontract	Calculation for Expenditure	Grant Funds	Match Funds	Total
Friends Outside (FO): Support for Healthy, Wealthy and Wise and Leadership Council: Community Fellows	Two Community Fellows at .5-.6 FTE at \$28.00 hour & 14% benefits for 31 ms.	\$190,201	\$0	\$190,201
FO: HWW & Leadership Council: Meeting Support	Four HWW program cycles per year & 20 related mtgs (inc. LC) for 20 participant = approx. 250 mtgs at \$300 per meeting	\$82,500	\$0	\$82,500
FO: HWW: Participation incentives	Approx. 80 meetings per year(over 2.75 yrs) x 20 participants x \$25 per mtg	\$110,000	\$0	\$110,000
FO: Leadership Council stipends	\$500 per month for 8 Leadership Fellows for 33 ms	\$132,000	\$0	\$132,000
FO: Program Administrator/Coordinator	.25 FTE at \$42 per hour & 14% benefits for 33 ms.	\$68,468	\$0	\$68,468
Advance Peace (AP): Support for integrated program activities: Community Fellows	1-2 Community Fellows at .25-.5 FTE at \$25.00 hourly & 14% benefits for 31 ms.	\$100,000	\$0	\$100,000
AP: Integrated Activities: Participation incentives and meeting support	Meeting support, related participant support, stipends (33 ms)	\$82,500	\$0	\$82,500
<b>TOTALS</b>		<b>\$765,669</b>	<b>\$0</b>	<b>\$765,669</b>



**4b. Non-Governmental Organizations (NGO) Subcontracts Narrative**

**GRANT FUNDING NOTES:** OVP will work closely with existing community-based partners to increase the evidence-based service capacity, including Friends Outside and Advance Peace. This investment not only generates a evidence-based program strategy but helps set it in the context of a vibrant community of support -- and, as noted in the project narrative -- recognizes and responds to the fact that most clients struggle not only to survive violence but also with poverty.

**COMMUNITY FELLOWS.** These are part-time -- but living wage -- positions for formal and informal community leaders that are "credible messengers." We are seeking funding for 3 such positions (two at FO and one at AP) to support the increase in programming we propose. There are two objectives: (1) provide support that ensures quality implementation; and (2) continue to strategically align and integrate these programs to reduce duplication and better serve this high-need population.

**MEETING SUPPORT AND PARTICIPATION INCENTIVES:** As noted, the very highest risk population being served are largely living at or below the poverty line and, therefore, meeting support (meals and transportation) are critical in and of themselves as well as playing a role in the relationship building necessary for successful service engagement.

**STIPENDS:** These stipends -- distinct from the meeting incentives above -- are designed to: (a) continue to legitimize the role of members of the leadership council; and (b) support their participation in positions of significant power, authority, and responsibility in terms of local policy and practice. The positions themselves are also a step toward paid positions at OVP and its partner CBO's. Also, note that stipends and participation incentives will be integrated into the financial self-sufficiency program components to assist with/facilitate asset development, improved credit, etc.

**5a. Equipment/Fixed Assets**

Description of Equipment/Fixed Asset	Calculation for Expense	Grant Funds	Match Funds	Total
N/A		\$0	\$0	\$0
		\$0	\$0	\$0
<b>TOTALS</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**5b. Equipment/Fixed Assets Narrative**

N/A

**6a. Project Evaluation**

Description	Grant Funds	Match Funds	Total
Northeastern University Center for Crime and Community Resilience Impact and Process Evaluation	\$0	\$550,000	\$550,000
	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$0</b>	<b>\$550,000</b>	<b>\$550,000</b>

**6b. Project Evaluation Narrative:**

**EVALUATION ACTIVITIES:** The evaluation -- to be conducted by a nationally-recognized research partnership -- has three major parts. First, a within-city quasi-experimental design to compare shooting trends for directly-treated groups to shooting trends for comparison groups that did not directly receive the treatment. Second, an individual impact evaluation designed to assess the extent to which the service component is associated with reductions in violent recidivism and violent victimization for those individuals who were part of the initiative relative to untreated comparison individuals. Third, qualitative research methods, such as focus groups and structured interviews, which will be conducted with a wide range of community stakeholders to determine whether this strategy improved police community relations. The goal is to institutionalizing and sustaining a greater and long-term investment in safety and opportunity for young people at highest risk of violence.

**EVALUATION COSTS & MATCH:** The NE budget match for \$550,000 breaks down as follows: \$340,000 for staff costs and the remainder for travel, subcontracts and indirect costs

**7a. Financial Audit**

Description	Calculation for Expense	Grant Funds	Match Funds	Total
		\$25,000	\$0	\$25,000
<b>TOTAL (may not exceed \$25,000 in Grant Funds)</b>		<b>\$25,000</b>	<b>\$0</b>	<b>\$25,000</b>

**7b. Financial Audit Narrative:**

The City will work closely with the CBO's on the complicated tracking and recordkeeping involved in employing participation incentives and program stipends. It readily commits to working with auditors on these and related program expenditures.

8a. Other (Travel, Training, etc.)				
Description	Calculation for Expense	Grant Funds	Match Funds	Total
Travel for mandatory trainings and orientation	Hotel, mileage and per diem as per state guidelines	\$750	\$0	\$750
		\$0	\$0	\$0
<b>TOTAL</b>		<b>\$750</b>	<b>\$0</b>	<b>\$750</b>

8b. Other (Travel, Training, etc.) Narrative:				

9a. Indirect Costs			
Indirect costs may be charged to grant funds by choosing <u>either</u> Option 1) or 2) listed below:	Grant Funds	Match Funds	Total
1) Indirect costs will be charged as 10% of total direct salaries and wages:	\$0	\$0	\$0
<i>If using Option 1) grant funds allocated to Indirect Costs may not exceed:</i>	\$38,450		
2) Indirect costs will be charged as 5% of direct total direct project costs (excluding equipment):	\$0	\$0	\$0
<i>If using Option 2) grant funds allocated to Indirect Costs may not exceed:</i>	\$73,746		
<i>Regardless of which option is chosen, if the amount entered in the Grant Funds column turns red, adjust it to not exceed the maximum noted just below it: <b>TOTAL</b></i>	\$0	\$0	\$0

9b. Indirect Costs Narrative:	
N/A	



**APPENDIX A: CalVIP EXECUTIVE STEERING COMMITTEE ROSTER**

CalVIP Executive Steering Committee - Grant Cycle from October 1, 2020 to December 31, 2023

	<b>Name</b>	<b>Title &amp; Organizational Affiliation</b>	<b>From</b>
1	Chief Andy Mills, Chair	Chief of Police, City of Santa Cruz & Board Member, BSCC	Santa Cruz
2	Amanda Benson	Chief Assistant Public Defender Sacramento County Public Defender's Office	Sacramento
3	Norchelle Brown	Policy Assistant U.S. House of Representatives	Los Angeles
4	Michelle Scray Brown	Chief Probation Officer San Bernardino County	San Bernardino
5	Rev. Dr. Charles Dorsey	The Dorsey Group, LLC	Long Beach
6	Erinn Herberman, PhD	Research Director San Diego County Probation Department	San Diego
7	Stephen Lindley	Brady: United Against Gun Violence	San Diego
8	DeAngelo Mack	Director of State Policy Public Health Advocates	Sacramento
9	Julio Marcial	Director, Youth Justice Liberty Hill Foundation	Los Angeles
10	Leannra Martinez	Intake Specialist First Place for Youth	Oakland
11	Mike McLively	Senior Staff Attorney Giffords Law Center to Prevent Gun Violence	San Francisco
12	Stacy Alamo Mixson	Chief, Safe and Active Communities Branch California Department of Public Health	Sacramento
13	Daniel J. Orth	Program Officer, Kroc Institute for Peace & Justice University of San Diego	San Diego
14	Phal Sok	Youth Justice Coalition	Los Angeles
15	Steve Stavropoulos	Assistant Chief Deputy Sacramento County Probation Department	Sacramento

## APPENDIX B to the Grant Agreement: Criteria for Non-Governmental Organizations Receiving BSCC Funds

The 2019-2020 CalVIP Request for Proposals (RFP) includes requirements that apply to non-governmental, community-based organizations. Grantees are responsible for ensuring that all contracted third parties continually meet these requirements as a condition of receiving any CalVIP funds. The RFP describes these requirements as follows:

A non-governmental organization (as either a direct grantee or subgrantee or subcontractor) must meet the following criteria:

- Have been duly organized, in existence, and in good standing at least six months before entering into a fiscal agreement with the BSCC or with the CalVIP grantee;
- In either instance (applicant or subgrantee), non-governmental entities that have recently reorganized or have merged with other qualified non-governmental entities that were in existence prior to the six-month date are also eligible, provided all necessary agreements have been executed and filed with the California Secretary of State prior to the start date of the grant agreement or subcontractor
- Be registered with the California Secretary of State's Office, if applicable;
- Have a valid business license, Employer Identification Number (EIN), or Taxpayer ID (if sole proprietorship);
- Have any other state or local licenses or certifications necessary to provide the services requested (e.g., facility licensing by the Department of Health Care Services), if applicable; and
- Have a physical address.

Non-Governmental Organizations (NGOs) include: community-based organizations (CBOs), faith-based organizations (FBOs), non-profit organizations/501(c)(3)s, evaluators (except government institutions such as universities), grant management companies and any other non-governmental agency or individual. Note: These criteria do not apply to government organizations (e.g. counties, cities, school districts).

Provide your agency name and in the table list information for all contracted parties.

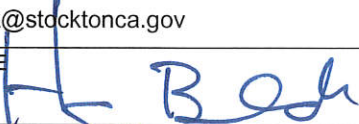
**Grantee:** City of Stockton

Name of Contracted Party	Address	Email / Phone	Meets All Requirements
California Partnership for Safe Communities (CPSC)	825 Washington Street, Suite 200, Oakland CA, 94607	<a href="mailto:stewart@thecapartnership.org">stewart@thecapartnership.org</a> 510.433.0228	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Friends Outside	7272 Murray Drive, Stockton CA, 95210	<a href="mailto:gnewby@friendsoutside.org">gnewby@friendsoutside.org</a> 209.955.0710	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Northeastern University – School of Criminology and Criminal Justice	204 Churchill Hall, 360 Huntington Avenue, Boston MA, 02115	<a href="mailto:a.braga@northeasternu.edu">a.braga@northeasternu.edu</a> 617.373.3327	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Advance Peace Stockton	343 East Main St. #512 Stockton CA, 95202	<a href="mailto:brian@advancepeace.org">brian@advancepeace.org</a> 209.244.0946	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>

Grantees are required to update this list and submit it to BSCC any time a new third-party contract is executed after the initial assurance date. Grantees shall retain (on-site) applicable source documentation for each contracted party that verifies compliance with the requirements listed in the CalVIP RFP. These records will be subject to the records and retention language found in Appendices A and C of the Standard Agreement.

The BSCC will not reimburse for costs incurred by any third party that does not meet the requirements listed above and for which the BSCC does not have a signed grantee assurance on file.

**A signature below is an assurance that all requirements listed above have been met.**

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)			
NAME OF AUTHORIZED OFFICER Harry Black	TITLE City Manager		TELEPHONE NUMBER 209.937.8294
STREET ADDRESS 425 N. El Dorado St.	CITY Stockton	STATE Ca	ZIP CODE 95202
EMAIL ADDRESS harry.black@stocktonca.gov			
SIGNATURE X 		DATE 