CITY OF STOCKTON CONFLICT OF INTEREST CODE
APPENDIX A: DEPARTMENTS AND OFFICES

ADMINISTRATIVE SERVICES DEPARTMENT

Designated Position	Disclosure Category
Accounting Manager	1
Assistant Chief Financial Officer	1
Budget Analyst I/II	1
Budget Officer	1
Chief Financial Officer	*
Grants Analyst	1
Materials Supervisor	5
Procurement Specialist I/II	3
Procurement Officer	3
Program Manager I/II	1
Program Manager III	5
Reprographics Supervisor	5
Revenue Officer	5
Senior Budget Analyst (Confidential)	1
Senior Procurement Specialist	3
Supervising Procurement Specialist	3

CITY ATTORNEY

Designated Position	Disclosure Category
Assistant City Attorney	1
City Attorney	*
Deputy City Attorney	1

CITY AUDITOR

Designated Position	Disclosure Category
Consultant	6

CITY CLERK

Designated Position	Disclosure Category
City Clerk	1
Assistant City Clerk	1
Senior Deputy City Clerk	5
Supervising Deputy City Clerk/Operations	3

CITY COUNCIL

Designated Position	Disclosure Category
City Councilmember	*

CITY MANAGER

Designated Position	Disclosure Category
Administrative Analyst I/II	1
City Manager	*
Community Relations Officer	1
Deputy City Manager	1
Director of Performance and Data Analytics	1
Diversity, Equity, Inclusion Officer	1
Environmental and Sustainability Officer	1
Management Assistant	1
Office of Violence Prevention Director	1
Office of Violence Prevention Manager	1
Program Manager I	1
Program Manager II	1
Program Manager III	1
Senior Management Assistant	1
Consultants	1

COMMUNITY DEVELOPMENT DEPARTMENT

Designated Position	Disclosure Category
Administrative Analyst I/II	4
Assistant Director of Community Development	1
Assistant Planner	4
Associate Civil Engineer	4
Associate Engineer	4

COMMUNITY DEVELOPMENT DEPARTMENT (continued)	
Designated Position	**Disclosure Category**
Associate Planner	4
Combination Inspector I/II	4
Community Development Technician	4
Deputy Building Official	4
Deputy Director/Building	1
Deputy Director/Planning and Engineering Services	1
Director of Community Development	1
Engineering Technician I/II	4
Junior Engineer	4
Plan Check Engineer	4
Plan Checker I/II	4
Planning Manager	4
Principal Civil Engineer	3
Program Manager I/II	4
Program Manager III	4
Senior Building Inspector	5
Senior Civil Engineer	4
Senior Community Development Technician	4
Senior Engineering Technician	4
Senior Plan Checker	4
Senior Planner	4
Supervising Combination Inspector	4
Supervising Plan Check/Structural Engineer	4

COMMUNITY SERVICES DEPARTMENT

Designated Position	Disclosure Category
Administrative Analyst I/II	5
Director of Community Services	1
Department Finance Officer	1
Deputy Director of Community Services-City Librarian	1
Deputy Director of Community Services/Recreation	1
Library Manager	5
Program Manager II	5

COMMUNITY SERVICES DEPARTMENT (continued)	
Designated Position	**Disclosure Category**
Program Manager III	5
Project Manager	5
Recreation Superintendent	5
Recreation Manager	5
Senior Administrative Analyst	5

ECONOMIC DEVELOPMENT DEPARTMENT

Designated Position	Disclosure Category
Administrative Analyst I/II	4
Department Finance Officer	4
Deputy Redevelopment Officer	1
Economic Development Analyst	4
Economic Development Director	1
Events Coordinator	4
Events Manager	4
Grants Analyst	4
Parking Enforcement Supervisor	4
Program Manager I/II - Administration	4
Program Manager III – Business Incentive Manager	4
Program Manager III – Housing Manager	4
Program Manager III – Homelessness Program Manager	4
Program Manager III – Parking Authority and Venues	4
Real Property Agent I/II	4
Senior Administrative Analyst	4
Senior Economic Development Analyst	4
Senior Housing Rehabilitation Counselor	4
Consultants	Consultants

FIRE DEPARTMENT

Designated Position	Disclosure Category
Assistant Fire Marshall	5
Deputy Fire Chief I/II	5
Deputy Fire Marshal	5
Division Chief	5
ECD Captain	5
Emergency Manager	5

FIRE DEPARTMENT (continued)	
Designated Position	**Disclosure Category**
EMS Captain/Division of Training	5
Fire Battalion Chief	5
Fire Chief	1
Fire Marshall (Fire and Prevention)	5
Fire Prevention Inspector I/II	5
Plan Checker I/II	5
Program Manager I/II/III	5
Project Manager I/II/III	5
Supervising Fire Prevention Inspector	5
Suppression Captain/Division of Training	5

HUMAN RESOURCES DEPARTMENT

Designated Position	Disclosure Category
Assistant Director of Human Resources	1
Deputy Director of Human Resources	1
Director of Human Resources	1
Program Manager III	1
Risk Manager	1
Senior Risk Analyst	1
Supervising Human Resources Analyst	1

INFORMATION TECHNOLOGY DEPARTMENT

Designated Position	Disclosure Category
Deputy Information Technology Director	1
Director of Information Technology	1
Information Technology Supervisor	5
Information Technology Officer	5
Network Support Analyst II	5
Program Manager I/II/III	5
Project Manager II	5
Senior Systems Analyst	5
Consultants	6

MAYOR

Designated Position	Disclosure Category
Executive Assistant to the Mayor	1
Mayor's Senior Policy Advisor	1
Public Information Officer I/II	1
Program Manager III	1
Consultants	6

MUNICIPAL UTILITIES DEPARTMENT

Designated Position	Disclosure Category
Assistant Director of Municipal Utilities	5
Assistant Engineer	5
Associate Civil Engineer	5
Chief Plant Operator – Wastewater	5
Chief Plant Operator – Water	5
Chief Water Systems Operator	5
Deputy MUD Director/Maintenance & Collection Systems	5
Deputy MUD Director/Wastewater	5
Deputy MUD Director/Water Resources	5
Director of Municipal Utilities	1
Engineering Services Manager Municipal Utilities	5
Environmental Control Officer	5
MUD Financial Officer	3
Principal Civil Engineer	5
Program Manager I/II/III	5
Public Works Inspector	5
Senior Civil Engineer	5
Senior Environmental Control Officer	5
Technical Services Supervisor	5

POLICE DEPARTMENT

Designated Position	Disclosure Category
Chief of Police	5
Department Finance Officer	3
Deputy Chief of Police I/II	5
Police Captain	5
Police Fiscal Affairs/Planning Manager	3
Police Services Administrator	5
Police Services Manager	5
Program Manager I/II/III	5

PUBLIC WORKS DEPARTMENT

Designated Position	Disclosure Category
Administrative Analyst	5
Assessment District Program Coordinator	5
Assistant City Traffic Engineer	5
Assistant Civil Engineer	5
Assistant Engineer	5
Assistant Solid Waste Manager	3
Associate Civil Engineer	5
Associate Engineer	5
Associate Traffic Engineer	5
City Traffic Engineer	5
Construction Services Manager	5
Department Finance Officer	3
Deputy Public Works Director/City Engineer	5
Deputy Public Works Director	5
Engineering Services Manager	5
Engineering Technician I/II	5
Facilities Manager	5
Fleet Manager	3
Junior Engineer	5

Parks Facility Planner/Landscape Architect	4
Parks Manager	5
PUBLIC WORKS DEPARTMENT (continued)	
Designated Position	**Disclosure Category**
Parks Supervisor	5
Principal Administrative Analyst	5
Program Manager I/II/III	5
Project Manager I/II/III	5
Public Works Director	1
Public Works Inspector	4
Public Works Safety/Training Officer	5
Public Works Supervisor	5
Public Works Supervisor/Electrical	5
Senior Administrative Analyst	5
Senior Civil Engineer	5
Senior Engineering Technician	5
Supervising Public Works Inspector	4
Senior Public Works Supervisor Electrical (Traffic)	5
Senior Public Works Supervisor/Streets	5
Solid Waste Manager	3
Supervising Mechanic	5
Consultants	6

***Required to file a statement of economic interest pursuant to Government Code Section 87200**

CITY OF STOCKTON CONFLICT OF INTEREST CODE
APPENDIX B: DESIGNATED BOARDS, COMMISSIONS, COMMITTEES

BOARDS, COMMISSIONS, COMMITTEES

Name	Designated Position	Disclosure Category
Arts Commission	All Commissioners	1
Building Board of Appeals	All Commissioners	1
Central Parking District Advisory Board	All Commissioners	2
City Council Salary Setting Commission	All Commissioners	1
Civil Service Commission	All Commissioners	1
Community Development Committee	All Commissioners	1
Handicapped Access Board of Appeals	All Commissioners	1
Measure A Citizens' Advisory Committee	All Commissioners	4
Measure W Oversight Committee	All Commissioners	4
Parks and Recreation Commission	All Commissioners	1
Planning Commissioners	All Commissioners	*
Public Financing Authority (meeting concurrently as City Council)	Chairperson, Executive Director, Treasurer, Legal Advisor	*
Public Financing Authority	Consultants	6
Redistricting Advisory Commission	All Commissioners	5
Strong Communities Advisory Committee	All Commissioners	4
Water Advisory Group	All Commissioners	1

CITY OF STOCKTON CONFLICT OF INTEREST CODE
APPENDIX C: DISCLOSURE CATEGORIES

DISCLOSURE CATEGORY 1

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

a. Investments and business positions in any business entity located in, doing business or planning to do business in the City of Stockton. (See FPPC Form 700 Schedules A-1 and A-2 Instructions)

b. Income, including loans, gifts, and travel payments from all sources, regardless of the jurisdiction. (See FPPC Form 700 Schedules C, D, and E Instructions)

c. Financial interests in real property (See FPPC Form 700 Schedule B Instructions):
    1) within the boundaries of the City of Stockton, or
    2) within a two-mile radius of any property owned or used by the City of Stockton; or
    3) subject to the jurisdiction of the City of Stockton.

DISCLOSURE CATEGORY 2 - CENTRAL PARKING DISTRICT

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

a. Investments and business positions in any business entity located in, doing business or planning to do business in the Central Parking District. (See FPPC Form 700 Schedules A-1 and A-2 Instructions)

b. Income, including loans, gifts, and travel payments from sources, within the Central Parking District. (See FPPC Form 700 Schedules D and E Instructions)

c. Financial interests in real property (See FPPC Form 700 Schedule B Instructions):
    1) within the boundaries of the Central Parking District, or
    2) within a two-mile radius of any property owned or used by the Central Parking District; or
    3) subject to the jurisdiction of the Central Parking District.

DISCLOSURE CATEGORY 3

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

a. All investments within the boundaries of the City of Stockton or within a two-mile radius of the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);

b. All investments in business entities which, within the last two years, have contracted, or in the future may contract with the City of Stockton to provide services, supplies, materials, machinery or equipment (See FPPC Form 700 Schedules A-1 and A-2 Instructions);

c. All investments in business entities in the construction or building industry within the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);

d. All interests in real property within the City of Stockton (See FPPC Form 700 Schedule B Instructions);

e. All sources of personal income (See FPPC Form 700 Schedule C Instructions);

f. The name of each business entity not specified above in which he or she is a director, officer, partner, trustee, employee, or is holding any position of management. (See FPPC Form 700 Schedule A-2 Instructions)

g. Income, including loans, gifts, and travel payments from all sources, regardless of the jurisdiction. (See FPPC Form 700 Schedules C, D and E Instructions).

DISCLOSURE CATEGORY 4

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

a. All investments, income or real property interest (See FPPC Form 700 Schedules A-1 and A-2 Instructions):
    1) within the boundaries of the City of Stockton;

2) within a two-mile radius of the City of Stockton;
3) in business entities which, within the last two years, have contracted, or in the future may contract with the City of Stockton to provide services, supplies, materials, machinery or equipment;
4) in business entities in the construction or building industry within the City of Stockton;
5) from business entities engaged in building construction or design including contractors and subcontractors located or doing business in the City of Stockton;

b. The name of each business entity not specified above in which he or she is a director, officer, partner, trustee, employee, or is holding any position of management (See FPPC Form 700 Schedule A-2 Instructions);

c. Investments in or income from persons or business entities engaged in the acquisition or disposal of real property within the City of Stockton (See FPPC Form 700 Schedule B Instructions);

d. Income, including loans, gifts, and travel payments from all sources, regardless of the jurisdiction. (See FPPC Form 700 Schedules C, D and E Instructions).

DISCLOSURE CATEGORY 5

a. Investments and business positions in any business entity that manufacture or sell supplies, books, machinery or equipment of the type utilized by the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);

b. Investments in or income from business entities that are contractors or subcontractors and are, or have been, within the previous two year period, doing business in the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);

c. Income, including loans, gifts, and travel payments from sources that:

1) manufacture, sell, or provide goods, equipment, vehicles, machinery or services, including training or consulting services;
2) provide leased facilities to the City of Stockton;
3) are subject to the regulatory, permit, or licensing authority of, or have an application for a license or permit pending before the City of Stockton; and
4) engage in land development, construction or the acquisition or sale of real property.

(See FPPC Form 700 Schedules C, D and E)

DISCLOSURE CATEGORY 6: CONSULTANTS

Consultants (the individual representing the firm) shall be included in the listing of designated employees and shall disclose pursuant to Disclosure Category 1, subject to the following limitation: The City Manager may determine, in writing, that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and, thus, is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties, and based upon that description, a statement of the extent of disclosure requirements. The City Manager's determination is a public record, and shall be retained for public inspection in the same manner and location as this conflict of interest code.

Public Officials (Specified in Government Code Section 87200):

The positions listed below shall file a statement of economic interests pursuant to Government Code Section 87200. These positions are listed for informational purposes only.

1. Stockton City Councilmembers
2. City Manager
3. City Attorney
4. Chief Financial Officer
5. Stockton Planning Commissioners

An individual holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code section 87200.