

**CITY OF STOCKTON CONFLICT OF INTEREST CODE**  
**APPENDIX A: DEPARTMENTS**

**ADMINISTRATIVE SERVICES DEPARTMENT**

| <b>Designated Position</b>           | <b>Disclosure Category</b> |
|--------------------------------------|----------------------------|
| Accounting Manager                   | 5                          |
| Administrative Analyst I/II          | 1                          |
| Assistant Chief Financial Officer    | 5                          |
| Budget Officer                       | 1                          |
| Buyer I/II                           | 3                          |
| Materials Supervisor                 | 5                          |
| Purchasing Agent                     | 3                          |
| Program Manager I/II                 | 1                          |
| Program Manager III                  | 5                          |
| Reprographics Supervisor             | 5                          |
| Revenue Officer                      | 5                          |
| Senior Administrative Analyst        | 1                          |
| Senior Budget Analyst (Confidential) | 1                          |
| Senior Buyer                         | 3                          |
| Consultants - Debt / F.A.            | Consultants                |

**CITY ATTORNEY**

| <b>Designated Position</b> | <b>Disclosure Category</b> |
|----------------------------|----------------------------|
| Assistant City Attorney    | 1                          |
| Deputy City Attorney       | 1                          |

**CITY AUDITOR**

| <b>Designated Position</b>    | <b>Disclosure Category</b> |
|-------------------------------|----------------------------|
| Administrative Analyst I/II   | 1                          |
| Assistant City Auditor        | 1                          |
| City Auditor                  | 1                          |
| Deputy City Auditor I/II      | 1                          |
| Senior Administrative Analyst | 1                          |
| Senior Deputy City Auditor    | 1                          |

**CITY CLERK**

| <b>Designated Position</b> | <b>Disclosure Category</b> |
|----------------------------|----------------------------|
| City Clerk                 | 1                          |
| Assistant City Clerk I/II  | 1                          |
| Senior Deputy City Clerk   | 5                          |

**CITY MANAGER**

| <b>Designated Position</b>            | <b>Disclosure Category</b> |
|---------------------------------------|----------------------------|
| Administrative Analyst I/II           | 1                          |
| Assistant to the City Manager         | 1                          |
| Deputy City Manager                   | 1                          |
| Office of Violence Prevention Manager | 1                          |
| Program Manager III                   | 1                          |
| Senior Administrative Analyst         | 1                          |
| Consultants                           | 1                          |

**COMMUNITY DEVELOPMENT DEPARTMENT**

| <b>Designated Position</b>                        | <b>Disclosure Category</b> |
|---------------------------------------------------|----------------------------|
| Assistant Planner                                 | 4                          |
| Associate Civil Engineer                          | 4                          |
| Associate Engineer                                | 4                          |
| Associate Planner                                 | 4                          |
| Combination Inspector I/II                        | 4                          |
| Community Development Technician                  | 4                          |
| Deputy Director/Building                          | 1                          |
| Deputy Director/Planning and Engineering Services | 1                          |
| Director of Community Development                 | 1                          |
| Engineering Technician I/II/                      | 4                          |
| Junior Engineer                                   | 4                          |
| Plan Check Engineer                               | 4                          |
| Plan Checker I/II                                 | 4                          |
| Planning Manager                                  | 4                          |
| Program Manager III                               | 4                          |
| Senior Civil Engineer                             | 4                          |
| Senior Community Development Technician           | 4                          |

| <b>COMMUNITY DEVELOPMENT DEPARTMENT (continued)</b> |                            |
|-----------------------------------------------------|----------------------------|
| <b>Designated Position</b>                          | <b>Disclosure Category</b> |
| Senior Engineering Technician                       | 4                          |
| Senior Plan Checker                                 | 4                          |
| Senior Planner                                      | 4                          |
| Supervising Combination Inspector                   | 4                          |
| Supervising Plan Check/Structural Engineer          | 4                          |

**COMMUNITY SERVICES DEPARTMENT**

| <b>Designated Position</b>                           | <b>Disclosure Category</b> |
|------------------------------------------------------|----------------------------|
| Administrative Analyst I/II                          | 5                          |
| Director of Community Service                        | 1                          |
| Deputy Director of Community Services-City Librarian | 1                          |
| Deputy Director of Community Services/Recreation     | 1                          |
| Executive Assistant                                  | 5                          |
| Program Manager III                                  | 5                          |
| Recreation Supervisor                                | 5                          |
| Senior Administrative Analyst                        | 5                          |
| Supervising Librarian                                | 5                          |

**ECONOMIC DEVELOPMENT DEPARTMENT**

| <b>Designated Position</b>                              | <b>Disclosure Category</b> |
|---------------------------------------------------------|----------------------------|
| Administrative Analyst I/II                             | 4                          |
| Economic Development Analyst                            | 4                          |
| Economic Development Deputy Director                    | 1                          |
| Economic Development Director                           | 1                          |
| Housing Deputy Director                                 | 1                          |
| Program Manager I/II - Administration                   | 4                          |
| Program Manager III – Parking Authority and Venues      | 4                          |
| Real Property Agent I/II                                | 4                          |
| Project Manager I/II/III – Housing and Asset Management | 4                          |
| Senior Administrative Analyst                           | 4                          |
| Senior Economic Development Analyst                     | 4                          |
| Senior Housing Rehabilitation Counselor                 | 4                          |

## EXHIBIT 1

| <b>ECONOMIC DEVELOPMENT DEPARTMENT (continued)</b> |                            |
|----------------------------------------------------|----------------------------|
| <b>Designated Position</b>                         | <b>Disclosure Category</b> |
| Senior Real Property Agent                         | 4                          |
| Supervising Real Property Agent                    | 4                          |
| Consultants                                        | Consultants                |

**FIRE DEPARTMENT**

| <b>Designated Position</b>               | <b>Disclosure Category</b> |
|------------------------------------------|----------------------------|
| Captain/Fire Inspector                   | 5                          |
| Deputy Fire Chief I/II                   | 5                          |
| Deputy Fire Marshal                      | 5                          |
| Division Chief                           | 5                          |
| EMS Captain/Division of Training         | 5                          |
| Fire Battalion Chief                     | 5                          |
| Fire Chief                               | 1                          |
| Fire Marshall (Fire and Prevention)      | 5                          |
| Firefighter Engineer                     | 5                          |
| Fire Prevention Inspector I/II           | 5                          |
| Fire Protection Specialist               | 5                          |
| Plan Checker I/II                        | 5                          |
| Program Manager I/II/III                 | 5                          |
| Project Manager I/II/III                 | 5                          |
| Suppression Captain/Division of Training | 5                          |

**HUMAN RESOURCES DEPARTMENT**

| <b>Designated Position</b>              | <b>Disclosure Category</b> |
|-----------------------------------------|----------------------------|
| Assistant Director of Human Resources   | 1                          |
| Deputy Director of Human Resources      | 1                          |
| Director of Human Resources             | 1                          |
| Human Resources Manager/ Safety Officer | 1                          |
| Liability Claims Investigator I/II      | 1                          |
| Risk Manager                            | 1                          |
| Consultants                             | Consultants                |

**INFORMATION TECHNOLOGY DEPARTMENT**

| <b>Designated Position</b>             | <b>Disclosure Category</b> |
|----------------------------------------|----------------------------|
| Deputy Information Technology Director | 1                          |
| Director of Information Technology     | 1                          |
| Information Technology Supervisor      | 5                          |
| Information Technology Officer         | 5                          |
| Network Support Analyst II             | 5                          |
| Program Manager I/II/III               | 5                          |
| Project Manager II                     | 5                          |
| Senior Systems Analyst                 | 5                          |
| Consultants                            | Consultants                |

**MUNICIPAL UTILITIES DEPARTMENT**

| <b>Designated Position</b>                           | <b>Disclosure Category</b> |
|------------------------------------------------------|----------------------------|
| Assistant Director of Municipal Utilities            | 5                          |
| Assistant Engineer                                   | 5                          |
| Associate Civil Engineer                             | 5                          |
| Chief Plant Operator – Wastewater                    | 5                          |
| Chief Plant Operator – Water                         | 5                          |
| Deputy MUD Director/Maintenance & Collection Systems | 5                          |
| Deputy MUD Director/Wastewater                       | 5                          |
| Deputy MUD Director/Water Resources Planning         | 5                          |
| Director of Municipal Utilities                      | 1                          |
| Engineering Services Manager Municipal Utilities     | 5                          |
| Environmental Control Officer                        | 5                          |
| MUD Chief Financial Officer                          | 5                          |
| Principal Civil Engineer                             | 5                          |
| Program Manager I/II/III                             | 5                          |
| Public Works Inspector                               | 5                          |
| Regulatory Compliance Officer                        | 5                          |
| Senior Buyer                                         | 5                          |
| Senior Civil Engineer                                | 5                          |
| Senior Environmental Control Officer                 | 5                          |
| Technical Services Supervisor                        | 5                          |

**POLICE DEPARTMENT**

| <b>Designated Position</b>             | <b>Disclosure Category</b> |
|----------------------------------------|----------------------------|
| Chief of Police                        | 5                          |
| Deputy Chief of Police I               | 5                          |
| Police Captain                         | 5                          |
| Police Fiscal Affairs/Planning Manager | 5                          |
| Police Services Manager                | 5                          |
| Program Manager I/II/III               | 5                          |

**PUBLIC WORKS DEPARTMENT**

| <b>Designated Position</b>                 | <b>Disclosure Category</b> |
|--------------------------------------------|----------------------------|
| Administrative Analyst                     | 5                          |
| Assessment District Program Coordinator    | 5                          |
| Assistant City Traffic Engineer            | 5                          |
| Assistant Civil Engineer                   | 5                          |
| Assistant Engineer                         | 5                          |
| Assistant Solid Waste Manager              | 5                          |
| Associate Civil Engineer                   | 5                          |
| Associate Engineer                         | 5                          |
| Associate Traffic Engineer                 | 5                          |
| City Traffic Engineer                      | 5                          |
| Deputy Public Works Director/City Engineer | 5                          |
| Deputy Public Works Director               | 5                          |
| Engineering Services Manager               | 5                          |
| Engineering Technician I/II                | 5                          |
| Fleet Manager                              | 5                          |
| Junior Engineer                            | 5                          |
| Parks Facility Planner/Landscape Architect | 4                          |
| Parks Manager                              | 5                          |
| Parks Supervisor                           | 5                          |
| Principal Administrative Analyst           | 5                          |
| Program Manager I/II/III                   | 5                          |
| Project Manager I/II/III                   | 5                          |
| Public Works Director                      | 1                          |
| Public Works Inspector                     | 4                          |

## EXHIBIT 1

| <b>PUBLIC WORKS DEPARTMENT (continued)</b>          |                            |
|-----------------------------------------------------|----------------------------|
| <b>Designated Position</b>                          | <b>Disclosure Category</b> |
| Public Works Safety/Training Officer                | 5                          |
| Public Works Supervisor                             | 5                          |
| Public Works Supervisor/Electrical                  | 5                          |
| Senior Administrative Analyst                       | 5                          |
| Senior Civil Engineer                               | 5                          |
| Senior Engineering Technician                       | 5                          |
| Supervising Public Works Inspector                  | 4                          |
| Senior Public Works Supervisor Electrical (Traffic) | 5                          |
| Senior Public Works Supervisor/Streets              | 5                          |
| Solid Waste Manager                                 | 5                          |
| Supervising Mechanic                                | 5                          |
| Consultants                                         | Consultants                |

**CITY OF STOCKTON CONFLICT OF INTEREST CODE**  
**APPENDIX B: DESIGNATED BOARDS, COMMISSIONS, COMMITTEES**

**BOARDS, COMMISSIONS, COMMITTEES**

| <b>Name</b>                             | <b>Designated Position</b>                                | <b>Disclosure Category</b> |
|-----------------------------------------|-----------------------------------------------------------|----------------------------|
| Arts Commission                         | All Commissioners                                         | 1                          |
| Building/Housing Board of Appeals       | All Commissioners                                         | 1                          |
| Central Parking District Advisory Board | All Commissioners                                         | 2                          |
| Charter Review Advisory Commission      | All Commissioners                                         | 4                          |
| City Council Salary Setting Commission  | All Commissioners                                         | 1                          |
| Civil Service Commission                | All Commissioners                                         | 1                          |
| Climate Action Plan Advisory Committee  | All Commissioners                                         | 1                          |
| Community Development Committee         | All Commissioners                                         | 1                          |
| Development Oversight Commission        | All Commissioners                                         | 1                          |
| Handicapped Access Board of Appeals     | All Commissioners                                         | 1                          |
| Measure A Citizens' Advisory Committee  | All Commissioners                                         | 4                          |
| Measure W Oversight Committee           | All Commissioners                                         | 4                          |
| Parks and Recreation Commission         | All Commissioners                                         | 1                          |
| Public Art Advisory Committee           | All Commissioners                                         | 1                          |
| Public Financing Authority              | Chairperson, Executive Director, Treasurer, Legal Advisor | All Category<br>4          |
| Public Financing Authority              | Consultants                                               | Consultants                |
| Successor Agency Oversight Board        | All Commissioners                                         | 1                          |
| Water Advisory Group                    | All Commissioners                                         | 1                          |



**CITY OF STOCKTON CONFLICT OF INTEREST CODE**  
**APPENDIX C: DISCLOSURE CATEGORIES**

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

**DISCLOSURE CATEGORY 1**

- a. Investments and business positions in any business entity located in, doing business or planning to do business in the City of Stockton. (See FPPC Form 700 Schedules A-1 and A-2 Instructions)
- b. Income, including loans, gifts, and travel payments from all sources, regardless of the jurisdiction. (See FPPC Form 700 Schedules C and D Instructions)
- c. Financial interests in real property (See FPPC Form 700 Schedule B Instructions):
  - 1) within the boundaries of the City of Stockton, or
  - 2) within a two mile radius of any property owned or used by the City of Stockton; or
  - 3) subject to the jurisdiction of the City of Stockton.
- d. Income, including loans, gifts, and travel payments from all sources, regardless of the jurisdiction. (See FPPC Form 700 Schedules C, D and E Instructions).

**DISCLOSURE CATEGORY 2 - CENTRAL PARKING DISTRICT**

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

- a. Investments and business positions in any business entity located in, doing business or planning to do business in the Central Parking District. (See FPPC Form 700 Schedules A-1 and A-2 Instructions)
- b. Income, including loans, gifts, and travel payments from sources, within the Central Parking District. (See FPPC Form 700 Schedules D and E Instructions)
- c. Financial interests in real property (See FPPC Form 700 Schedule B Instructions):
  - 1) within the boundaries of the Central Parking District, or
  - 2) within a two mile radius of any property owned or used by the Central Parking District; or
  - 3) subject to the jurisdiction of the Central Parking District.

### **DISCLOSURE CATEGORY 3**

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

- a. All investments within the boundaries of the City of Stockton or within a two mile radius of the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);
- b. All investments in business entities which, within the last two years, have contracted, or in the future may contract with the City of Stockton to provide

services, supplies, materials, machinery or equipment (See FPPC Form 700 Schedules A-1 and A-2 Instructions);

- c. All investments in business entities in the construction or building industry within the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);
- d. All interests in real property within the City of Stockton (See FPPC Form 700 Schedule B Instructions);
- e. All sources of personal income (See FPPC Form 700 Schedule C Instructions);
- f. The name of each business entity not specified above in which he or she is a director, officer, partner, trustee, employee, or is holding any position of management. (See FPPC Form 700 Schedule A-2 Instructions)
- g. Income, including loans, gifts, and travel payments from all sources, regardless of the jurisdiction. (See FPPC Form 700 Schedules C, D and E Instructions).

#### **DISCLOSURE CATEGORY 4**

An investment, income or real property interest is reportable if it may be affected materially by any decision made or participated in by the designated employee by virtue of the employee's position.

- a. All investments, income or real property interest (See FPPC Form 700 Schedules A-1 and A-2 Instructions):
  - 1) within the boundaries of the City of Stockton;
  - 2) within a two mile radius of the City of Stockton;

- 3) in business entities which, within the last two years, have contracted, or in the future may contract with the City of Stockton to provide services, supplies, materials, machinery or equipment;
  - 4) in business entities in the construction or building industry within the City of Stockton;
  - 5) from business entities engaged in building construction or design including contractors and subcontractors located or doing business in the City of Stockton;
- b. The name of each business entity not specified above in which he or she is a director, officer, partner, trustee, employee, or is holding any position of management (See FPPC Form 700 Schedule A-2 Instructions);
- c. Investments in or income from persons or business entities engaged in the acquisition or disposal of real property within the City of Stockton (See FPPC Form 700 Schedule B Instructions);
- d. Income, including loans, gifts, and travel payments from all sources, regardless of the jurisdiction. (See FPPC Form 700 Schedules C, D and E Instructions).

**DISCLOSURE CATEGORY 5**

- a. Investments and business positions in any business entity that manufacture or sell supplies, books, machinery or equipment of the type utilized by the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);
- b. Investments in or income from business entities that are contractors or subcontractors and are, or have been, within the previous two year period, doing business in the City of Stockton (See FPPC Form 700 Schedules A-1 and A-2 Instructions);

- c. Income, including loans, gifts, and travel payments from sources that:
- 1) manufacture, sell, or provide goods, equipment, vehicles, machinery or services, including training or consulting services;
  - 2) provide leased facilities to the City of Stockton;
  - 3) are subject to the regulatory, permit, or licensing authority of, or have an application for a license or permit pending before the City of Stockton; and
  - 4) engage in land development, construction or the acquisition or sale of real property.

(See FPPC Form 700 Schedules C, D and E)

**DISCLOSURE CATEGORY: CONSULTANTS**

**Consultants** (the individual representing the firm) shall be included in the listing of designated employees and shall disclose pursuant to Disclosure Category 1, subject to the following limitation: The City Manager may determine, in writing, that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and, thus, is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties, and based upon that description, a statement of the extent of disclosure requirements. The City Manager's determination is a public record, and shall be retained for public inspection in the same manner and location as this conflict of interest code.

DATE: \_\_\_\_\_

TO: CITY CLERK, CITY OF STOCKTON

FROM: \_\_\_\_\_ DEPARTMENT: \_\_\_\_\_

SUBJECT: CONFLICT OF INTEREST DISCLOSURE CATEGORIES FOR NEW  
CONSULTANT POSITION

The following Consultant, \_\_\_\_\_ was hired on \_\_\_\_/\_\_\_\_/\_\_\_\_  
and will be performing the following duties:

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The following Disclosure Categories apply to this position:

|                |                |
|----------------|----------------|
| ___ Category 1 | ___ Category 4 |
| ___ Category 2 | ___ Category 5 |
| ___ Category 3 |                |

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Chair/Director/Department Head

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Date