



# City of Stockton

Council Audit Committee

## Meeting Agenda - Final Council Audit Committee

*Susan Lenz, Chair*  
*Daniel R. Wright, Vice Chair*  
*Christina Fugazi, Member*  
*Elbert H. Holman, Jr., Alternate Member*

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Monday, February 5, 2018

4:00 PM

Council Chamber - City Hall, 425 N. El Dorado  
Street, Stockton CA

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**\*\* SPECIAL \*\***

1. **CALL TO ORDER/ROLL CALL**

2. **PUBLIC COMMENT\***

3. **ITEM(S) FOR DISCUSSION**

3.1 [17-4240](#) **ACCEPT BY MOTION THE INDEPENDENT AUDIT OF THE  
COMPREHENSIVE ANNUAL FINANCIAL REPORT FOR FISCAL  
YEAR ENDED JUNE 30, 2017**

**Recommended Action:** RECOMMENDATION

It is recommended the Council Audit Committee accept by motion the independent audit of the Comprehensive Annual Financial Report for the year ended June 30, 2017.

**Department:** Administrative Services

**Attachments:** [Attachment A - CAFR for fiscal year ended June 30, 2017](#)

3.2 [17-4241](#) **ACCEPT BY MOTION PROPOSITION 111 APPROPRIATION LIMIT  
(GANN LIMIT), CORE REVENUES AUDIT, MEASURE M STRONG  
COMMUNITIES TAX FUND AUDIT, AND MEASURE W PUBLIC  
SAFETY TAX FUND AUDIT FOR THE YEAR ENDED JUNE 30, 2017**

**Recommended Action:** RECOMMENDATION

It is recommended that the Audit Committee accept by motion the Proposition 111 Appropriation Limit (GANN Limit), Agreed-Upon Procedures Applied to Core Revenues, Measure M Strong Communities, and Measure W Public Safety Tax Fund Audit for the year ended June 30, 2017 for submission to the City Council.

**Department:** Administrative Services

**Attachments:** [Attachment A - 2017 Proposition 111 Appropriation Limit - GANN](#)  
[Attachment B - 2017 Independent Accountants Report of AUP Core Revenues](#)  
[Attachment C - 2017 Measure M Strong Communities Tax Fund Audit](#)  
[Attachment D - 2017 Measure W Public Safety Tax Fund Audit](#)

**3.3**      [17-4242](#)      **ACCEPT BY MOTION THE INDEPENDENT ACCOUNTANT'S  
REPORT ON APPLYING AGREED-UPON PROCEDURES OF  
MEASURES A AND B REVENUES AND EXPENDITURES FOR YEAR  
ENDED JUNE 30, 2017**

**Recommended Action:**      RECOMMENDATION

It is recommended that the Audit Committee accept by motion the Independent Accountant's Report on applying agreed-upon procedures of Measures A and B Revenues and Expenditures for Year Ended June 30, 2017 (Attachment A).

**Department:**      Administrative Services

**Attachments:**      [Attachment A - Independent Accountant's Report FYE June 30, 2017](#)

**3.4**      [18-4359](#)      **INTERNAL AUDIT STATUS REPORT**

**Recommended Action:**      RECOMMENDATION

Approve the City of Stockton's Internal Audit Status Report, dated February 5, 2018

**Department:**      City Auditor

**Attachments:**      [Attachment A - Internal Audit Status Report, dated 02-05-18](#)

**3.5**      [18-4360](#)      **AUDIT FINDING TRACKING REPORT**

**Recommended Action:**      RECOMMENDATION

Approve the City of Stockton Audit Finding Tracking Report, dated January 17, 2018

**Department:**      City Auditor

**Attachments:**      [Attachment A - Audit Findings Tracking Report, dated 01-17-18](#)

**3.6**      [18-4361](#)      **PURCHASING, PROCUREMENT, AND ACCOUNTS PAYABLE  
INTERNAL CONTROLS TESTING FINAL REPORT**

**Recommended Action:**      RECOMMENDATION

Approve the City of Stockton's Purchasing, Procurement, and Accounts Payable Internal Controls Final Report, dated November 29, 2017 and refer to the City Council.

**Department:**      City Auditor

**Attachments:**      [Attachment A - Purchasing, Procurement, and AP Final Report, dated 11-29-17](#)

**3.7**      [18-4363](#)      **QUARTERLY POLICY PROJECT STATUS REPORT**

**Recommended Action:** RECOMMENDATION

Approve the City of Stockton's Quarterly Policy Project Status Report, dated January 31, 2018

**Department:** City Auditor

**Attachments:** [Attachment A - Quarterly Policy Status Report, dated 01-31-18](#)

**3.8**     [17-4001](#)     **APPROVAL OF MINUTES****Recommended Action:** RECOMMENDATION

Approve the minutes from the Council Audit Committee meeting of October 18, 2017

**Department:** Council Audit Committee

**Attachments:** [2017-10-18 Minutes for Approval](#)

**4. FUTURE ITEMS/COMMITTEE MEMBER COMMENTS****5. ADJOURNMENT****CERTIFICATE OF POSTING**

**I declare, under penalty of perjury, that I am employed by the City of Stockton and that I caused this agenda to be posted in the City Hall notice case on February 1, 2018, in compliance with the Brown Act.**

**Bret Hunter, CMC  
City Clerk**

**By:** \_\_\_\_\_

**Deputy**

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