

City of Stockton
APPLICATION CONTINUED
Page 2 of 5

Ned Leiba

APPLICANT NAME

Please state the reason you would like to be a member of this board/commission:

I have been concerned about the financial condition of Stockton and hope my efforts will help the Council and City Staff address effectively some of the causes of our fiscal problems. As a member of the oversight committee, I hope to provide some assurance to the voters that Measure A tax funds will be used as intended.

Have you served on an advisory group before? Yes If yes, please explain: Community Development Block Grant Committee; Magnolia Historic District Neighborhood Advisory Board

How did you hear about the position? Posting on City website, newspaper, other members of the community.

City Council Policy 100-2 states that board/commission members are required to attend meetings on a regular basis. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member?
Yes Yes No No

Do you or immediate family members have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes No No NO
If yes, please explain _____

SUPPLEMENTAL QUESTIONNAIRE: Please complete the following if applying for a vacancy on any of these listed boards/commissions/committees:

☐ **49-99 Cooperative Library System Advisory Board**

You must be a resident of San Joaquin County, but not the City of Lodi. Do you meet this requirement? ☐ Yes ☐ No

☐ **Stockton Arts Commission**

Outside of your profession indicate what type of Arts you are active in (circle any that apply): Music, Dance, Theater, Cinema, Photography, Architecture, Art Criticism, Textile Arts, Literary Arts, the Visual Arts, including (but not limited to) the Public or Environmental Arts, Graphic Arts, Design, Drawing, Painting, Printmaking, Sculpture, Ceramics, and Art History
Are you interested in or accomplished in fund-raising? ☐ Yes ☐ No

☐ **Building and Housing Board of Appeals/ Handicapped Access Board of Appeals**

Do you have experience in any of the following areas (circle any that apply): General Building Contractor, Plumbing Contractor, Electrical Contractor, or Mechanical Contractor; Engineer or Architect.
Are you a disabled citizen? ☐ Yes ☐ No

☐ **Central Parking District Advisory Board**

Do you own real property or are you an executive officer of a corporation that owns real property within the Central Parking District? ☐ Yes ☐ No Specify property address(es): _____

☐ **Climate Action Plan Advisory Committee**

Do you actively represent any of the following interest groups within the City of Stockton (circle any that apply): Environmental, Non-Profit Community Organization, Labor, Business, Developer

☐ **Cultural Heritage Board**

Are you knowledgeable have experience in, or extensive background in any of the following areas (circle any that apply): Historic or cultural traditions of the community, preservation, architecture, construction technology, real-estate, construction industry, California licensed Architect, Historian, Stockton resident for 40 years or longer.

☐ **Development Oversight Commission**

Do you work in Stockton or with a firm/organization that does business in Stockton? ☐ Yes ☐ No

State name/address of firm/organization: _____

Are you any of the following (check any that apply)

- ☐ Local architect/designer ☐ Local Civil Engineer ☐ Mixed Use Builder/Developer
☐ Local Representative from public at-large ☐ Local Non Profit Builder/Developer
☐ Port of Stockton Executive (CEO or Designee) ☐ San Joaquin Partnership Executive (CEO or Designee)
Building Industry Association ☐ Executive (CEO or Designee) or ☐ Mixed Use Builder/Developer
Builder's Exchange ☐ Executive (CEO or Designee) or ☐ General Contractor

☐ **Port Commission**

Do you reside within the Port District boundary or consistent with the City General Plan boundaries, plus a one-half mile strip on either side of the Stockton Ship Channel from the Port to Disappointment Slough, if so for how long? _____

☐ **Public Art Advisory Committee** (circle any that apply)

Employed as a professional visual artist, critic of contemporary visual art forums, or have knowledge of, or skill/experience in any of the following: activist for the visual environment, public art, regional/national individual artists work, understanding of ethnic/gender diversity, the Stockton Public Art Plan processes and implications for the City, decision-making ability, willingness to tolerate/promote change, new artistic & design expressions, community activities significant enough to confirm knowledge of issues and individuals within the community. Must be a resident of Stockton for at least 3 years.

☐ **San Joaquin Regional Transit District Board**

Have you resided within the Transit District boundaries for at least one year? ☐ Yes ☐ No

Are you a resident in the City of Stockton? ☐ Yes ☐ No

☐ **Sports Commission** (circle any that apply)

If you are not a resident of Stockton, do you own and/or operate a business within the City or are a sponsor or associated with a sports related event, name of business or event(s): _____

☐ **Stockton Measure W Oversight Committee**

Do you reside within Stockton's geographic boundary? ☐ Yes ☐ No Are you at least 28 years of age? ☐ Yes ☐ No

Are you an employee of any vendor, contractor or consultant doing business with the City of Stockton? ☐ Yes ☐ No

☐ **Council Water Advisory Group**

Do you reside within one of the Stockton Municipal Utilities' three utility service areas? ☐ Yes ☐ No If so, state which one: _____

☐ **Youth Advisory Committee** (fill in, circle, or check)

High school enrolled in: _____

Will you be a senior next committee term? ☐ Yes ☐ No

Are you a member of one of the following Community Centers? McKinley, Seifert and Seirra Vista, Stribley and Van Buskirk, other: _____

Which Council District do you reside in? District 1, 2, 3, 4, 5, or 6 (leave blank if you do not know).

BACKGROUND INFORMATION

WORK EXPERIENCE: List names, addresses, and dates of employers for the last five (5) years. Attach additional sheets of paper if needed.

FROM: MO. _____ DAY _____ YEAR 1975 **EMPLOYER NAME** Leiba & Bowers CPAs

TO: MO. _____ DAY _____ YEAR 2014 **ADDRESS** 305 N El Dorado, Stockton Ca

DUTIES: Staff accountant, CPA, Partner

FROM: MO. _____ DAY _____ YEAR _____ **EMPLOYER NAME** _____

TO: MO. _____ DAY _____ YEAR _____ **ADDRESS** _____

DUTIES: _____

BUSINESS ENTERPRISES: List business name, including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM: MO. _____ DAY _____ YEAR 1976 **BUSINESS NAME** Leiba & Bowers CPAs

TO: MO. _____ DAY _____ YEAR 2014 **ADDRESS** 305 N El Dorado, Stockton Ca

TYPE OF BUSINESS OR SERVICE RENDERED: CPA

A resume reflecting experience, community activities, or other qualifications not listed below may be attached in order to assist the Council in evaluating your application. (Optional)

EDUCATION: See my selected professional qualifications attached.

CONFLICT OF INTEREST: State and local law requires that you abstain from participation in decisions that may affect your financial interests, including sources of income and interest in real property or investments. In addition, if appointed you may be required to fill out a disclosure statement that identifies certain of your financial interests beginning with the immediate twelve-month period prior to your appointment. Based on your best judgment, does this board or commission make decisions that may affect any sources of income, interests in real property, or investments of you or your spouse?

Yes _____ No NO If yes, please explain. Identify any organizations, associations, corporations, or entities by which you are employed or associated that might be affected by decisions of this board or commission and the positions you hold. _____

INFORMATION REGARDING CONFLICT OF INTEREST AND FILING OF STATEMENTS OF ECONOMIC INTERESTS

In accordance with Government Code Sections 87300 – 87313 and the City of Stockton's Conflict of Interest Code, this Board/Commission/Committee may be designated to file Statements of Economic Interests.

If you are appointed to this Board/Commission/Committee, you may be required to file statements disclosing certain types of information so that the public can be made aware of potential conflicts of interest. The types of disclosure are:

Investments

Interests in Real Property (other than your residence)

Interests in Real Property Held by a Business Entity or Trust

Investments Held by a Business Entity or Trust

Income (other than loans and gifts)

Income – Travel Payments, Advances, Reimbursements

Income Gifts

Business Positions

Commission Income Received by Brokers, Agents, and Salespersons

Income and Loans to a Business Entity or Trust

Income from Rental Property

If you have any questions regarding disclosure requirements, please contact the City Clerk's Office at 937-8458.

CONVICTIONS: Conviction of a crime is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position; however, failure to list convictions may result in disqualification.

1. Have you ever been convicted of a felony? If yes, please explain:

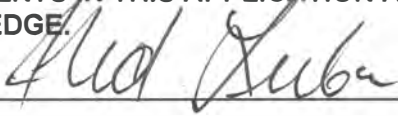
NO

2. List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

None

I DECLARE, UNDER PENALTY OF DISQUALIFICATION AND TERMINATION, THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Signature



Date January 29, 2014

Please identify any specialized accommodations needed for equal participation: None

The following information is voluntary and is gathered in accordance with State and Federal laws for the purpose of evaluating the effectiveness of our Affirmative Action and recruitment efforts. Please check: male Male Female

☒ White (Not Hispanic Origin) All persons having origins in any of the original peoples of Europe, North Africa or the Middle East

 Black (Not Hispanic Origin) All persons having origins in any of the Black racial groups of Africa.

 Hispanic All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.

 American Indian or Alaska Native All persons having origins in any of the original peoples of North America and who maintain cultural identifications through tribal affiliation or community recognition. Please identify your tribal affiliation.

 Filipino All persons having origins in the Philippine Islands.

 Asian

The Stockton City Council appoints members to the various City of Stockton Boards and Commissions. The Council also appoints representatives to serve on various other San Joaquin County agencies as well. Please check the boxes below if you are interested in serving on any other boards/commissions. To find out about what these boards/commissions do and if you qualify, please visit the City of Stockton website at www.stocktongov.com/commissions

- ☐ 49-99 Cooperative Library System Advisory Board
- ☐ Arts Commission
- ☐ Building and Housing Board of Appeals
- ☐ Central Parking District Advisory Board
- ☐ City Council Salary Setting Commission
- ☐ Civil Service and Equal Employment Commissions
- ☐ Climate Action Plan Advisory Committee
- ☐ Community Development Committee
- ☐ Cultural Heritage Board
- ☐ Development Oversight Commission
- ☐ Handicapped Access Board of Appeals
- ☐ Measure W Oversight Committee
- ☐ Parks and Recreation Commission

- ☐ Planning Commission
- ☐ Port Commission
- ☐ Public Art Advisory Committee
- ☐ Redevelopment Commission/Relocation Appeals Board
- ☐ San Joaquin County Commission on Aging
- ☐ San Joaquin County Mosquito And Vector Control District
- ☐ San Joaquin Regional Transit District Board
- ☐ Sports Commission
- ☐ Water Advisory Group
- ☐ Youth Advisory Commission (must be in high school to serve on this Commission)

Selected Professional Qualifications

Ned Leiba, CPA - Partner

Professional License:

Certified Public Accountant, California - 1976. Admitted to practice before the United States Tax Court - 2001.

Education:

University of California, Berkeley - MBA 1983 •• UC Berkeley - BS 1974, honors, Phi Beta Kappa, Football scholarship and letterman. •• AICPA CEA in Non-profit Accounting and Auditing - 1996.

Teaching:

Teaching assistant in accounting, tax and computer applications - University of California, Berkeley •• Guest lecturer - Haas School of Business, University of California, Berkeley; University of the Pacific, Stockton

Professional Memberships:

American Institute of Certified Public Accountants and Member of Tax Section•• California Society of CPAs - San Joaquin Chapter

Professional Experience:

Accounting, auditing and tax practice •• Commercial and manufacturing clients up to \$200,000,000 in sales •• Audits of nonprofit organizations including colleges and universities under A-133 and Department of Education Audit Guide •• Individual, corporate and partnership income tax planning and return preparation •• Representation before the Internal Revenue Service, Franchise Tax Board, State Board of Equalization, Employment Development Department and County Supervisors for property tax appeals •• U.S. Tax Court representation and litigation•• Estate and gift tax planning and return preparation •• Court approved expert witness in business valuation, partnership dissolution, probate and accounting matters

Community Service:

Former Member of the Executive Board of the College of Letters and Science, University of the California, Berkeley •• Former Chair Finance Committee Central United Methodist Church •• Former Member and VP Board Children's Home of Stockton •• Former Member Finance Committee Stockton Women's Center••Member of the Board Westview Services, Inc.

Please state the reason you would like to be a member of this board/commission:

As a member of the Measure A Oversight Committee I would like to continue the work of getting the City of Stockton out of Bankruptcy. It is important that we have citizens that are serious about overseeing the expenditure of hte revenues

generated from Measure A. As a Business Peson I have the aptitude for fiscal responsibility and leadership to ensure that this revenue is used in the manner voters approved of.

Have you served on an advisory group before? No If yes, please explain: _____

How did you hear about the position? City posting

City Council Policy 100-2 states that board/commission members are required to attend meetings on a regular basis. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member?

Yes x No _____

Do you or immediate family members have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes _____ No x

If yes, please explain _____

SUPPLEMENTAL QUESTIONNAIRE: Please complete the following if applying for a vacancy on any of these listed boards/commissions/committees:

☐ **49-99 Cooperative Library System Advisory Board**

You must be a resident of San Joaquin County, but not the City of Lodi. Do you meet this requirement? ☐ Yes ☐ No

☐ **Stockton Arts Commission**

Outside of your profession indicate what type of Arts you are active in (circle any that apply): Music, Dance, Theater, Cinema, Photography, Architecture, Art Criticism, Textile Arts, Literary Arts, the Visual Arts, including (but not limited to) the Public or Environmental Arts, Graphic Arts, Design, Drawing, Painting, Printmaking, Sculpture, Ceramics, and Art History

Are you interested in or accomplished in fund-raising? ☐ Yes ☐ No

☐ **Building and Housing Board of Appeals/ Handicapped Access Board of Appeals**

Do you have experience in any of the following areas (circle any that apply): General Building Contractor, Plumbing Contractor, Electrical Contractor, or Mechanical Contractor; Engineer or Architect.

Are you a disabled citizen? ☐ Yes ☐ No

☐ **Central Parking District Advisory Board**

Do you own real property or are you an executive officer of a corporation that owns real property within the Central Parking District? ☐ Yes ☐ No Specify property address(es): _____

☐ **Climate Action Plan Advisory Committee**

Do you actively represent any of the following interest groups within the City of Stockton (circle any that apply): Environmental, Non-Profit Community Organization, Labor, Business, Developer

☐ **Cultural Heritage Board**

Are you knowledgeable have experience in, or extensive background in any of the following areas (circle any that apply): Historic or cultural traditions of the community, preservation, architecture, construction technology, real-estate, construction industry, California licensed Architect, Historian, Stockton resident for 40 years or longer.

☐ **Development Oversight Commission**

Do you work in Stockton or with a firm/organization that does business in Stockton? ☐ Yes ☐ No

State name/address of firm/organization: _____

Are you any of the following (check any that apply)

☐ Local architect/designer ☐ Local Civil Engineer ☐ Mixed Use Builder/Developer
☐ Local Representative from public at-large ☐ Local Non Profit Builder/Developer
☐ Port of Stockton Executive (CEO or Designee) ☐ San Joaquin Partnership Executive (CEO or Designee)

Building Industry Association ☐ Executive (CEO or Designee) or ☐ Mixed Use Builder/Developer
Builder's Exchange ☐ Executive (CEO or Designee) or ☐ General Contractor

☐ **Port Commission**

Do you reside within the Port District boundary or consistent with the City General Plan boundaries, plus a one-half mile strip on either side of the Stockton Ship Channel from the Port to Disappointment Slough, if so for how long? _____

☐ **Public Art Advisory Committee** (circle any that apply)

Employed as a professional visual artist, critic of contemporary visual art forums, or have knowledge of, or skill/experience in any of the following: activist for the visual environment, public art, regional/national individual artists work, understanding of ethnic/gender diversity, the Stockton Public Art Plan processes and implications for the City, decision-making ability, willingness to tolerate/promote change, new artistic & design expressions, community activities significant enough to confirm knowledge of issues and individuals within the community. Must be a resident of Stockton for at least 3 years.

☐ **San Joaquin Regional Transit District Board**

Have you resided within the Transit District boundaries for at least one year? ☐ Yes ☐ No

Are you a resident in the City of Stockton? ☐ Yes ☐ No

☐ **Sports Commission** (circle any that apply)

If you are not a resident of Stockton, do you own and/or operate a business within the City or are a sponsor or associated with a sports related event, name of business or event(s): _____

☐ **Stockton Measure W Oversight Committee**

Do you reside within Stockton's geographic boundary? ☐ Yes ☐ No Are you at least 28 years of age? ☐ Yes ☐ No
Are you an employee of any vendor, contractor or consultant doing business with the City of Stockton? ☐ Yes ☐ No

☐ **Council Water Advisory Group**

Do you reside within one of the Stockton Municipal Utilities' three utility service areas? ☐ Yes ☐ No If so, state which one: _____

☐ **Youth Advisory Committee** (fill in, circle, or check)

High school enrolled in: _____ Will you be a senior next committee term? ☐ Yes ☐ No
Are you a member of one of the following Community Centers? McKinley, Seifert and Seirra Vista, Stribley and Van Buskirk, other: _____
Which Council District do you reside in? District 1, 2, 3, 4, 5, or 6 (leave blank if you do not know).

BACKGROUND INFORMATION

WORK EXPERIENCE: List names, addresses, and dates of employers for the last five (5) years. Attach additional sheets of paper if needed.

FROM: MO. 05 DAY 01 YEAR 2003 EMPLOYER NAME Self, State Farm Insurance Agent
TO: MO. 01 DAY _____ YEAR 2014 ADDRESS 3422 W Hammer Lane Ste G Stockton
DUTIES: Agent providing Insurance and Financial Services

FROM: MO. _____ DAY _____ YEAR _____ EMPLOYER NAME _____
TO: MO. _____ DAY _____ YEAR _____ ADDRESS _____
DUTIES: _____

BUSINESS ENTERPRISES: List business name, including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM: MO. 05 DAY 01 YEAR 2003 BUSINESS NAME Don Guzman State Farm Insurance Agency
TO: MO. 01 DAY _____ YEAR 2014 ADDRESS 3422 W Hammer Lane Ste G Stockton
Agent providing Insurance and Financial Services

TYPE OF BUSINESS OR SERVICE RENDERED: Insurance and Financial Services

A resume reflecting experience, community activities, or other qualifications not listed below may be attached in order to assist the Council in evaluating your application. (Optional)

EDUCATION:

CONFLICT OF INTEREST: State and local law requires that you abstain from participation in decisions that may affect your financial interests, including sources of income and interest in real property or investments. In addition, if appointed you may be required to fill out a disclosure statement that identifies certain of your financial interests beginning with the immediate twelve-month period prior to your appointment. Based on your best judgment, does this board or commission make decisions that may affect any sources of income, interests in real property, or investments of you or your spouse?

Yes _____ No X If yes, please explain. Identify any organizations, associations, corporations, or entities by which you are employed or associated that might be affected by decisions of this board or commission and the positions you hold.

INFORMATION REGARDING CONFLICT OF INTEREST AND FILING OF STATEMENTS OF ECONOMIC INTERESTS

In accordance with Government Code Sections 87300 – 87313 and the City of Stockton's Conflict of Interest Code, this Board/Commission/Committee may be designated to file Statements of Economic Interests.

If you are appointed to this Board/Commission/Committee, you may be required to file statements disclosing certain types of information so that the public can be made aware of potential conflicts of interest. The types of disclosure are:

Investments
Interests in Real Property (other than your residence)
Interests in Real Property Held by a Business Entity or Trust
Investments Held by a Business Entity or Trust
Income (other than loans and gifts)
Income – Travel Payments, Advances, Reimbursements
Income Gifts
Business Positions
Commission Income Received by Brokers, Agents, and Salespersons
Income and Loans to a Business Entity or Trust
Income from Rental Property

If you have any questions regarding disclosure requirements, please contact the City Clerk's Office at 937-8458.

CONVICTIONS: Conviction of a crime is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position; however, failure to list convictions may result in disqualification.

1. Have you ever been convicted of a felony? If yes, please explain:

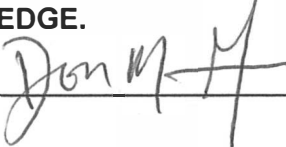
No

2. List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

N/A

I DECLARE, UNDER PENALTY OF DISQUALIFICATION AND TERMINATION, THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Signature



Date

1-10-2014

Please identify any specialized accommodations needed for equal participation:

N/A

The following information is voluntary and is gathered in accordance with State and Federal laws for the purpose of evaluating the effectiveness of our Affirmative Action and recruitment efforts. Please check: ☒ Male ☐ Female

☐ White (Not Hispanic Origin) All persons having origins in any of the original peoples of Europe, North Africa or the Middle East

☐ Black (Not Hispanic Origin) All persons having origins in any of the Black racial groups of Africa.

☐ Hispanic All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.

☐ American Indian or Alaska Native All persons having origins in any of the original peoples of North America and who maintain cultural identifications through tribal affiliation or community recognition. Please identify your tribal affiliation.

☐ Filipino All persons having origins in the Philippine Islands.

☐ Asian

The Stockton City Council appoints members to the various City of Stockton Boards and Commissions. The Council also appoints representatives to serve on various other San Joaquin County agencies as well. Please check the boxes below if you are interested in serving on any other boards/commissions. To find out about what these boards/commissions do and if you qualify, please visit the City of Stockton website at www.stocktongov.com/commissions

☐ 49-99 Cooperative Library System Advisory Board

☐ Arts Commission

☐ Building and Housing Board of Appeals

☐ Central Parking District Advisory Board

☐ City Council Salary Setting Commission

☐ Civil Service and Equal Employment Commissions

☐ Climate Action Plan Advisory Committee

☐ Community Development Committee

☐ Cultural Heritage Board

☐ Development Oversight Commission

☐ Handicapped Access Board of Appeals

☐ Measure W Oversight Committee

☐ Parks and Recreation Commission

☐ Planning Commission

☐ Port Commission

☐ Public Art Advisory Committee

☐ Redevelopment Commission/Relocation Appeals Board

☐ San Joaquin County Commission on Aging

☐ San Joaquin County Mosquito And Vector Control District

☐ San Joaquin Regional Transit District Board

☐ Sports Commission

☐ Water Advisory Group

☐ Youth Advisory Commission (must be in high school to serve on this Commission)

City of Stockton
APPLICATION CONTINUED
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DAVID L. RENISON

APPLICANT NAME

Please state the reason you would like to be a member of this board/commission:

TWO CITY COUNCIL MEMBERS ASKED ME TO APPLY - PLEASE SEE ATTACHED

Have you served on an advisory group before? YES If yes, please explain: PROP. MGMT TASK FORCE, SJC TAX ASSESSMENT APPEALS BOARD, SJC HOUSING AUTHORITY COMMISSION, SJC CIVIL GRAND JURIES (2007-08 & 2008-09)

How did you hear about the position? BALLOT MEASURE

City Council Policy 100-2 states that board/commission members are required to attend meetings on a regular basis. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member?
Yes x No

Do you or immediate family members have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes No x
If yes, please explain

SUPPLEMENTAL QUESTIONNAIRE: Please complete the following if applying for a vacancy on any of these listed boards/commissions/committees:

☐ **49-99 Cooperative Library System Advisory Board**

You must be a resident of San Joaquin County, but not the City of Lodi. Do you meet this requirement? ☐ Yes ☐ No

☐ **Stockton Arts Commission**

Outside of your profession indicate what type of Arts you are active in (circle any that apply): Music, Dance, Theater, Cinema, Photography, Architecture, Art Criticism, Textile Arts, Literary Arts, the Visual Arts, including (but not limited to) the Public or Environmental Arts, Graphic Arts, Design, Drawing, Painting, Printmaking, Sculpture, Ceramics, and Art History
Are you interested in or accomplished in fund-raising? ☐ Yes ☐ No

☐ **Building and Housing Board of Appeals/ Handicapped Access Board of Appeals**

Do you have experience in any of the following areas (circle any that apply): General Building Contractor, Plumbing Contractor, Electrical Contractor, or Mechanical Contractor; Engineer or Architect.
Are you a disabled citizen? ☐ Yes ☐ No

☐ **Central Parking District Advisory Board**

Do you own real property or are you an executive officer of a corporation that owns real property within the Central Parking District? ☐ Yes ☐ No Specify property address(es):

☐ **Climate Action Plan Advisory Committee**

Do you actively represent any of the following interest groups within the City of Stockton (circle any that apply): Environmental, Non-Profit Community Organization, Labor, Business, Developer

☐ **Cultural Heritage Board**

Are you knowledgeable have experience in, or extensive background in any of the following areas (circle any that apply): Historic or cultural traditions of the community, preservation, architecture, construction technology, real-estate, construction industry, California licensed Architect, Historian, Stockton resident for 40 years or longer.

☐ **Development Oversight Commission**

Do you work in Stockton or with a firm/organization that does business in Stockton? ☐ Yes ☐ No
State name/address of firm/organization:

Are you any of the following (check any that apply)

☐ Local architect/designer ☐ Local Civil Engineer ☐ Mixed Use Builder/Developer
☐ Local Representative from public at-large ☐ Local Non Profit Builder/Developer
☐ Port of Stockton Executive (CEO or Designee) ☐ San Joaquin Partnership Executive (CEO or Designee)
☐ Building Industry Association ☐ Executive (CEO or Designee) or ☐ Mixed Use Builder/Developer
☐ Builder's Exchange ☐ Executive (CEO or Designee) or ☐ General Contractor

☐ **Port Commission**

Do you reside within the Port District boundary or consistent with the City General Plan boundaries, plus a one-half mile strip on either side of the Stockton Ship Channel from the Port to Disappointment Slough, if so for how long? _____

☐ **Public Art Advisory Committee** (circle any that apply)

Employed as a professional visual artist, critic of contemporary visual art forums, or have knowledge of, or skill/experience in any of the following: activist for the visual environment, public art, regional/national individual artists work, understanding of ethnic/gender diversity, the Stockton Public Art Plan processes and implications for the City, decision-making ability, willingness to tolerate/promote change, new artistic & design expressions, community activities significant enough to confirm knowledge of issues and individuals within the community. Must be a resident of Stockton for at least 3 years.

☐ **San Joaquin Regional Transit District Board**

Have you resided within the Transit District boundaries for at least one year? ☐ Yes ☐ No
Are you a resident in the City of Stockton? ☐ Yes ☐ No

☐ **Sports Commission** (circle any that apply)

If you are not a resident of Stockton, do you own and/or operate a business within the City or are a sponsor or associated with a sports related event, name of business or event(s): _____

☐ **Stockton Measure W Oversight Committee**

Do you reside within Stockton's geographic boundary? ☐ Yes ☐ No Are you at least 28 years of age? ☐ Yes ☐ No
Are you an employee of any vendor, contractor or consultant doing business with the City of Stockton? ☐ Yes ☐ No

☐ **Council Water Advisory Group**

Do you reside within one of the Stockton Municipal Utilities' three utility service areas? ☐ Yes ☐ No If so, state which one: _____

☐ **Youth Advisory Committee** (fill in, circle, or check)

High school enrolled in: _____ Will you be a senior next committee term? ☐ Yes ☐ No
Are you a member of one of the following Community Centers? McKinley, Seifert and Seirra Vista, Stribley and Van Buskirk, other: _____
Which Council District do you reside in? District 1, 2, 3, 4, 5, or 6 (leave blank if you do not know).

BACKGROUND INFORMATION

WORK EXPERIENCE: List names, addresses, and dates of employers for the last five (5) years. Attach additional sheets of paper if needed.

FROM: MO. Jan DAY 30 YEAR 2009 EMPLOYER NAME Self-employed
TO: MO. Jan DAY 30 YEAR 2014 ADDRESS 1225 Hartwell Ave Stockton CA 95209
DUTIES: Real Estate Brokerage and Appraisal

FROM: MO. _____ DAY _____ YEAR _____ EMPLOYER NAME _____
TO: MO. _____ DAY _____ YEAR _____ ADDRESS _____
DUTIES: _____

BUSINESS ENTERPRISES: List business name, including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM: MO. May DAY 30 YEAR 1991 BUSINESS NAME American Realty
TO: MO. Jan DAY 30 YEAR 2014 ADDRESS 7273 Murray Dr Stockton CA 95210

TYPE OF BUSINESS OR SERVICE RENDERED: Multi-unit investment property brokerage and appraisal

A resume reflecting experience, community activities, or other qualifications not listed below may be attached in order to assist the Council in evaluating your application. (Optional)

EDUCATION: Stockton schools

CONFLICT OF INTEREST: State and local law requires that you abstain from participation in decisions that may affect your financial interests, including sources of income and interest in real property or investments. In addition, if appointed you may be required to fill out a disclosure statement that identifies certain of your financial interests beginning with the immediate twelve-month period prior to your appointment. Based on your best judgment, does this board or commission make decisions that may affect any sources of income, interests in real property, or investments of you or your spouse?

Yes _____ No X _____ If yes, please explain. Identify any organizations, associations, corporations, or entities by which you are employed or associated that might be affected by decisions of this board or commission and the positions you hold. _____

INFORMATION REGARDING CONFLICT OF INTEREST AND FILING OF STATEMENTS OF ECONOMIC INTERESTS

In accordance with Government Code Sections 87300 – 87313 and the City of Stockton's Conflict of Interest Code, this Board/Commission/Committee may be designated to file Statements of Economic Interests.

If you are appointed to this Board/Commission/Committee, you may be required to file statements disclosing certain types of information so that the public can be made aware of potential conflicts of interest. The types of disclosure are:

Investments
Interests in Real Property (other than your residence)
Interests in Real Property Held by a Business Entity or Trust
Investments Held by a Business Entity or Trust
Income (other than loans and gifts)
Income – Travel Payments, Advances, Reimbursements
Income Gifts
Business Positions
Commission Income Received by Brokers, Agents, and Salespersons
Income and Loans to a Business Entity or Trust
Income from Rental Property

If you have any questions regarding disclosure requirements, please contact the City Clerk's Office at 937-8458.

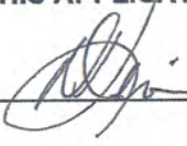
CONVICTIONS: Conviction of a crime is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position; however, failure to list convictions may result in disqualification.

1. Have you ever been convicted of a felony? If yes, please explain:

NO

2. List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

I DECLARE, UNDER PENALTY OF DISQUALIFICATION AND TERMINATION, THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Signature  Date 1-30-2014

Please identify any specialized accommodations needed for equal participation: _____

The following information is voluntary and is gathered in accordance with State and Federal laws for the purpose of evaluating the effectiveness of our Affirmative Action and recruitment efforts. Please check: _____ Male _____ Female

- ☐ White (Not Hispanic Origin) All persons having origins in any of the original peoples of Europe, North Africa or the Middle East
- ☐ Black (Not Hispanic Origin) All persons having origins in any of the Black racial groups of Africa.
- ☐ Hispanic All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.
- ☐ American Indian or Alaska Native All persons having origins in any of the original peoples of North America and who maintain cultural identifications through tribal affiliation or community recognition. Please identify your tribal affiliation.
- ☐ Filipino All persons having origins in the Philippine Islands.
- ☐ Asian

The Stockton City Council appoints members to the various City of Stockton Boards and Commissions. The Council also appoints representatives to serve on various other San Joaquin County agencies as well. Please check the boxes below if you are interested in serving on any other boards/commissions. To find out about what these boards/commissions do and if you qualify, please visit the City of Stockton website at www.stocktongov.com/commissions

- ☐ 49-99 Cooperative Library System Advisory Board
- ☐ Arts Commission
- ☐ Building and Housing Board of Appeals
- ☐ Central Parking District Advisory Board
- ☐ City Council Salary Setting Commission
- ☐ Civil Service and Equal Employment Commissions
- ☐ Climate Action Plan Advisory Committee
- ☐ Community Development Committee
- ☐ Cultural Heritage Board
- ☐ Development Oversight Commission
- ☐ Handicapped Access Board of Appeals
- ☐ Measure W Oversight Committee
- ☐ Parks and Recreation Commission

- ☐ Planning Commission
- ☐ Port Commission
- ☐ Public Art Advisory Committee
- ☐ Redevelopment Commission/Relocation Appeals Board
- ☐ San Joaquin County Commission on Aging
- ☐ San Joaquin County Mosquito And Vector Control District
- ☐ San Joaquin Regional Transit District Board
- ☐ Sports Commission
- ☐ Water Advisory Group
- ☐ Youth Advisory Commission (must be in high school to serve on this Commission)

Attachment
APPLICATION FOR APPOINTMENT
Measure A Citizens' Oversight Committee
David L. Renison

As a lifelong Stockton resident, I understand Stockton's culture and the circumstances surrounding Measures A and B. During my leadership of two San Joaquin County civil grand juries, I became familiar with governmental oversight. For example, one investigative report led to action by state legislators after we found that over \$10 million in proceeds had been spent on projects not identified in a local school bond measure.

As the San Joaquin Taxpayers Association president, I shared in civic debate on Measure A and advanced efforts to inform the public.

My views on this sales tax were first published in The Record on Feb. 12, 2013 and nearly half of citywide voters also supported a tax initiative that would have *required* the hiring of new police officers. Related promises were made by the City in the voter-approved Measure B and it's clear that all voters are united on this key element.

In the past, inadequate oversight of spending at City Hall contributed to an economic environment in Stockton wherein most of us have, or will have suffered, property foreclosures and other personal financial setbacks. But now, more residents are aware of the workings of city government and the need for fiscal responsibility.

There will be differences of opinion on how to achieve the objectives defined in Measure B and members of this committee must represent the collective voice of all voters. This is crucial for the success of Measure A.

The City needs capable and informed individuals, who will monitor expenditures, inform the public and make proactive recommendations on how the new tax revenues should be spent.

City of Stockton
APPLICATION CONTINUED
Page 2 of 5

JOSEPH W. JOHNSON
APPLICANT NAME

Please state the reason you would like to be a member of this board/commission:

I CARE ABOUT STOCKTON. I BELIEVE MY EDUCATION AND WORK EXPERIENCE MAKE ME QUALIFIED FOR THIS APPOINTMENT.

Have you served on an advisory group before? NO If yes, please explain: _____

How did you hear about the position? NEWSPAPER + WORD OF MOUTH

City Council Policy 100-2 states that board/commission members are required to attend meetings on a regular basis. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member?

Yes X No _____

Do you or immediate family members have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes _____ No X
If yes, please explain: _____

SUPPLEMENTAL QUESTIONNAIRE: Please complete the following if applying for a vacancy on any of these listed boards/commissions/committees:

☐ **49-99 Cooperative Library System Advisory Board**

You must be a resident of San Joaquin County, but not the City of Lodi. Do you meet this requirement? ☐ Yes ☐ No

☐ **Stockton Arts Commission**

Outside of your profession indicate what type of Arts you are active in (circle any that apply): Music, Dance, Theater, Cinema, Photography, Architecture, Art Criticism, Textile Arts, Literary Arts, the Visual Arts, including (but not limited to) the Public or Environmental Arts, Graphic Arts, Design, Drawing, Painting, Printmaking, Sculpture, Ceramics, and Art History

Are you interested in or accomplished in fund-raising? ☐ Yes ☐ No

☐ **Building and Housing Board of Appeals/ Handicapped Access Board of Appeals**

Do you have experience in any of the following areas (circle any that apply): General Building Contractor, Plumbing Contractor, Electrical Contractor, or Mechanical Contractor; Engineer or Architect.

Are you a disabled citizen? ☐ Yes ☐ No

☐ **Central Parking District Advisory Board**

Do you own real property or are you an executive officer of a corporation that owns real property within the Central Parking District? ☐ Yes ☐ No Specify property address(es): _____

☐ **Climate Action Plan Advisory Committee**

Do you actively represent any of the following interest groups within the City of Stockton (circle any that apply): Environmental, Non-Profit Community Organization, Labor, Business, Developer

☐ **Cultural Heritage Board**

Are you knowledgeable have experience in, or extensive background in any of the following areas (circle any that apply): Historic or cultural traditions of the community, preservation, architecture, construction technology, real-estate, construction industry, California licensed Architect, Historian, Stockton resident for 40 years or longer.

☐ **Development Oversight Commission**

Do you work in Stockton or with a firm/organization that does business in Stockton? ☐ Yes ☐ No

State name/address of firm/organization: _____

Are you any of the following (check any that apply)

- | | | |
|---|--|---|
| ☐ Local architect/designer | ☐ Local Civil Engineer | ☐ Mixed Use Builder/Developer |
| ☐ Local Representative from public at-large | | ☐ Local Non Profit Builder/Developer |
| ☐ Port of Stockton Executive (CEO or Designee) | ☐ San Joaquin Partnership Executive (CEO or Designee) | |
| Building Industry Association | ☐ Executive (CEO or Designee) <u>or</u> | ☐ Mixed Use Builder/Developer |
| Builder's Exchange | ☐ Executive (CEO or Designee) <u>or</u> | ☐ General Contractor |

JOSEPH H. JOHNSON
APPLICANT NAME

- ☐ **Port Commission**
Do you reside within the Port District boundary or consistent with the City General Plan boundaries, plus a one-half mile strip on either side of the Stockton Ship Channel from the Port to Disappointment Slough, if so for how long? _____
- ☐ **Public Art Advisory Committee** (circle any that apply)
Employed as a professional visual artist, critic of contemporary visual art forums, or have knowledge of, or skill/experience in any of the following: activist for the visual environment, public art, regional/national individual artists work, understanding of ethnic/gender diversity, the Stockton Public Art Plan processes and implications for the City, decision-making ability, willingness to tolerate/promote change, new artistic & design expressions, community activities significant enough to confirm knowledge of issues and individuals within the community. Must be a resident of Stockton for at least 3 years.
- ☐ **San Joaquin Regional Transit District Board**
Have you resided within the Transit District boundaries for at least one year? ☐ Yes ☐ No
Are you a resident in the City of Stockton? ☐ Yes ☐ No
- ☐ **Sports Commission** (circle any that apply)
If you are not a resident of Stockton, do you own and/or operate a business within the City or are a sponsor or associated with a sports related event, name of business or event(s): _____
- ☐ **Stockton Measure W Oversight Committee**
Do you reside within Stockton's geographic boundary? ☐ Yes ☐ No Are you at least 28 years of age? ☐ Yes ☐ No
Are you an employee of any vendor, contractor or consultant doing business with the City of Stockton? ☐ Yes ☐ No
- ☐ **Council Water Advisory Group**
Do you reside within one of the Stockton Municipal Utilities' three utility service areas? ☐ Yes ☐ No If so, state which one: _____
- ☐ **Youth Advisory Committee** (fill in, circle, or check)
High school enrolled in: _____ Will you be a senior next committee term? ☐ Yes ☐ No
Are you a member of one of the following Community Centers? McKinley, Seifert and Seirra Vista, Stribley and Van Buskirk, other: _____
Which Council District do you reside in? District 1, 2, 3, 4, 5, or 6 (leave blank if you do not know).

BACKGROUND INFORMATION

WORK EXPERIENCE: List names, addresses, and dates of employers for the last five (5) years. Attach additional sheets of paper if needed.

FROM: MO. 8 DAY 15 YEAR 2010 EMPLOYER NAME AMERICAN UNIVERSITY OF NIGERIA
TO: MO. 12 DAY 31 YEAR 2011 ADDRESS YOLA, NIGERIA
DUTIES: EVP; RESPONSIBLE FOR ALL NON-ACADEMIC FUNCTIONS

FROM: MO. 6 DAY 30 YEAR 1996 EMPLOYER NAME UNION SAFE DEPOSIT BANK
TO: MO. 1 DAY 31 YEAR 2005 ADDRESS STOCKTON, CA
DUTIES: PRESIDENT & CEO; RESPONSIBLE FOR TURNING AROUND A TROUBLED BANK.

BUSINESS ENTERPRISES: List business name, including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM: MO. 2 DAY 1 YEAR 2005 BUSINESS NAME JOHNSON CONSULTING
TO: MO. PRESENT YEAR _____ ADDRESS HOME ADDRESS
PROVIDE CONSULTING TO COMPANIES IN FINANCE, BANKING, CEO COACHING. ALSO TEACH AT UOP IN MBA PROGRAM.

City of Stockton
APPLICATION CONTINUED
Page 4 of 5

JOSEPH H. JOHNSON
APPLICANT NAME

TYPE OF BUSINESS OR SERVICE RENDERED: VARIOUS CONSULTING SERVICES

A resume reflecting experience, community activities, or other qualifications not listed below may be attached in order to assist the Council in evaluating your application. (Optional)

EDUCATION: BA, CLAREMONT MCKENNA COLLEGE; MBA IN FINANCE, USC

CONFLICT OF INTEREST: State and local law requires that you abstain from participation in decisions that may affect your financial interests, including sources of income and interest in real property or investments. In addition, if appointed you may be required to fill out a disclosure statement that identifies certain of your financial interests beginning with the immediate twelve-month period prior to your appointment. Based on your best judgment, does this board or commission make decisions that may affect any sources of income, interests in real property, or investments of you or your spouse?

Yes _____ No X If yes, please explain. Identify any organizations, associations, corporations, or entities by which you are employed or associated that might be affected by decisions of this board or commission and the positions you hold.

INFORMATION REGARDING CONFLICT OF INTEREST AND FILING OF STATEMENTS OF ECONOMIC INTERESTS

In accordance with Government Code Sections 87300 – 87313 and the City of Stockton's Conflict of Interest Code, this Board/Commission/Committee may be designated to file Statements of Economic Interests.

If you are appointed to this Board/Commission/Committee, you may be required to file statements disclosing certain types of information so that the public can be made aware of potential conflicts of interest. The types of disclosure are:

- Investments
- Interests in Real Property (other than your residence)
- Interests in Real Property Held by a Business Entity or Trust
- Investments Held by a Business Entity or Trust
- Income (other than loans and gifts)
- Income – Travel Payments, Advances, Reimbursements
- Income Gifts
- Business Positions
- Commission Income Received by Brokers, Agents, and Salespersons
- Income and Loans to a Business Entity or Trust
- Income from Rental Property

If you have any questions regarding disclosure requirements, please contact the City Clerk's Office at 937-8458.

CONVICTIONS: Conviction of a crime is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position; however, failure to list convictions may result in disqualification.

1. Have you ever been convicted of a felony? If yes, please explain:

NO

2. List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

NONE

JOSEPH H. JOHNSON
APPLICANT NAME

I DECLARE, UNDER PENALTY OF DISQUALIFICATION AND TERMINATION, THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Signature Joseph H. Johnson Date 1/29/2014

Please identify any specialized accommodations needed for equal participation: NONE

The following information is voluntary and is gathered in accordance with State and Federal laws for the purpose of evaluating the effectiveness of our Affirmative Action and recruitment efforts. Please check: ☐ Male ☐ Female

☐ White (Not Hispanic Origin) All persons having origins in any of the original peoples of Europe, North Africa or the Middle East

☐ Black (Not Hispanic Origin) All persons having origins in any of the Black racial groups of Africa.

☐ Hispanic All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.

☐ American Indian or Alaska Native All persons having origins in any of the original peoples of North America and who maintain cultural identifications through tribal affiliation or community recognition. Please identify your tribal affiliation.

☐ Filipino All persons having origins in the Philippine Islands.

☐ Asian

The Stockton City Council appoints members to the various City of Stockton Boards and Commissions. The Council also appoints representatives to serve on various other San Joaquin County agencies as well. Please check the boxes below if you are interested in serving on any other boards/commissions. To find out about what these boards/commissions do and if you qualify, please visit the City of Stockton website at www.stocktongov.com/commissions

- ☐ 49-99 Cooperative Library System Advisory Board
- ☐ Arts Commission
- ☐ Building and Housing Board of Appeals
- ☐ Central Parking District Advisory Board
- ☐ City Council Salary Setting Commission
- ☐ Civil Service and Equal Employment Commissions
- ☐ Climate Action Plan Advisory Committee
- ☐ Community Development Committee
- ☐ Cultural Heritage Board
- ☐ Development Oversight Commission
- ☐ Handicapped Access Board of Appeals
- ☐ Measure W Oversight Committee
- ☐ Parks and Recreation Commission

- ☐ Planning Commission
- ☐ Port Commission
- ☐ Public Art Advisory Committee
- ☐ Redevelopment Commission/Relocation Appeals Board
- ☐ San Joaquin County Commission on Aging
- ☐ San Joaquin County Mosquito And Vector Control District
- ☐ San Joaquin Regional Transit District Board
- ☐ Sports Commission
- ☐ Water Advisory Group
- ☐ Youth Advisory Commission (must be in high school to serve on this Commission)

JOSEPH H. JOHNSON

OBJECTIVE

Desire to work with community banks, nonprofit organizations, and small businesses in the areas of strategic planning, credit underwriting, problem credit resolution, management development, leadership training, entrepreneurship training, and CEO coaching.

SUMMARY

An experienced banking executive with a proven history of leading organizational units through change, creating and building sales and service cultures that produce significant results, and managing assets and expenses in a quality oriented manner.

Significant Assignments:

- Recreated a \$740 million community bank by rebuilding and refocusing its core strengths producing solid profitability and strong growth in loans and deposits.
- Rebuilt and restructured an \$800 million bank so as to remove a Formal Agreement in 18 months, and dramatically increase profitability.
- Turned around a failing \$1 billion bank and reached profitability within two years after five years of losses.
- Successfully managed a \$5 billion, 3,000 employee division of 175 branches.
- Organized and successfully led a region of 10 commercial loan centers with \$1.5 billion in commercial loans.

PROFESSIONAL EXPERIENCE AND ACCOMPLISHMENTS

Partner – Stockton, California
JOHNSON CONSULTING

2005 – present

I have worked with for profit and non-profit organizations in the areas of strategic planning, business planning, financial and balance sheet management, expense analysis, and compensation planning. I have taught a number of classes at UOP in the MBA Program, including Entrepreneurship and Organizational Behavior. I have also taught workshops in Social Entrepreneurship and Bank Management. I helped to organize the San Joaquin Angels.

Significant results:

- Helped a company significantly reduce its banking costs by switching its borrowing to letter of credit financing.
- Helped in drafting the initial business plan for the Global Center for Social Entrepreneurship at University of the Pacific.
- Designed and taught an intensive two week class in Entrepreneurship with an emphasis on business plan writing in Uganda and Rwanda for the University of Pacific's School of International Studies.

JOSEPH H. JOHNSON

Page 2

President and CEO – Stockton, California
UNION SAFE DEPOSIT BANK**1996- 2005**

The USDB is the second largest independent bank in San Joaquin County with over \$1 billion in assets. USDB is a full service bank with 19 offices, a significant retail market share, a significant business lending niche, and trust banking. I was brought in to turn around a bank with poor core earnings, significant credit problems, lack of modern systems, poor internal controls, and regulatory concerns.

Significant Results:

- Improved utilization of assets: 1996 ROA .56; 2003 ROA 1.16
- Improved utilization of equity: 1996 ROE 6.64; 2003 ROE 13.47
- Improved generation of noninterest income: 1996 NNI .71; 2003 NNI 1.63
- Grew loan portfolio from a low of \$272 million to \$641 million
- Grew core transaction deposits from \$262 million to \$452 million.
- Grew number of transaction accounts in our market against all banks that lost numbers of accounts.
- Reduced Oreo's from \$12 million to zero
- Reduced Non-accruals from \$10 million to \$270 thousand

President and CEO - St. Paul, Minnesota
AMERICAN BANK, N.A.**1992 - 1996**

The ABNA was the fourth largest bank in Minnesota with \$800 million in Assets. ABNA was a full service niche bank with five offices, a significant presence in correspondent banking, trust banking, and business lending. I was brought in to turn around a bank on an OCC Formal Agreement, Camel 4 rating, significant credit problems, and poor core earnings.

Significant Results:

- Improved utilization of assets: 1992 ROA .38; 1995 ROA 1.35
- Improved utilization of equity: 1992 ROE 5.14; 1995 ROE 17.43
- Improved operational effectiveness: 1992 Net Overhead Ratio 2.63; 1995 1.90
- Grew loan portfolio from \$230 million to \$424 million
- Reduced Criticized Loans from \$181 million to \$4 million
- Reduced Oreo's from \$8 million to zero
- Reduced Non-accruals from \$25 million to \$210 thousand

JOSEPH H. JOHNSON

Page 3

SECURITY PACIFIC CORPORATION**1969-1991**

In 1991 SPC was the fifth largest bank holding company in the United States with over \$85 billion in assets.

President and CEO - Portland, Oregon
Security Pacific Bank of Oregon

1987-1991

SPBO was a \$1.5 billion full-service banking organization consisting of 52 retail offices in Oregon and six in Southwest Washington.

Promoted to manage the integration and turnaround of a new acquisition that had lost money for six straight years.

Significant Results:

- Restructured and refocused organization to produce a \$2 million profit in 1988.
- Installed sales culture which caused branch loan origination's to grow from \$1 million a month to \$10 million per month.
- Created business to sell annuities through branches which produced \$40 million in sales the first year.
- Monitored expenses effectively so that while revenues grew by 60% from 1987 to 1990 direct expenses went down 5%.

Senior Vice President, Southern Division - Los Angeles, California
Security Pacific National Bank

1984-1987

Southern Division consisted of 175 branches and 3,000 employees covering Southern Los Angeles County, Orange County, and San Diego County. I was promoted to improve the quality of the consumer credit portfolio, while at the same time to install a comprehensive sales process.

EDUCATION

MBA - Finance, University of Southern California
MA - California State University Fullerton
BA - Claremont McKenna College

PROFESSIONAL AFFILIATIONS (Current and Past)

Pacific Coast Banking School, Instructor, Bank Management
California Independent Bankers, Board Member
Visiting Lecturer in Entrepreneurship, Eberhardt School of Business, University of the Pacific
Consultant and Lecturer in Social Entrepreneurship, School of International Studies, University of the Pacific
Chairman of the Screening Committee for the San Joaquin Angels
Visiting Lecturer in Entrepreneurship St Lawrence University, Uganda and School of Finance and Banking, Rwanda
Visiting Lecturer in Entrepreneurship, American University of Nigeria

CIVIC AFFILIATIONS (Current and Past)

Advisory Board Member, Global Center for Social Entrepreneurship, University of the Pacific
Board member San Joaquin Angels

Gary Ingraham
APPLICANT NAME

City of Stockton
APPLICATION CONTINUED
Page 2 of 5

Please state the reason you would like to be a member of this board/commission:

I was active in the campaign & would like to be involved in overseeing the implementation

Have you served on an advisory group before? _____ If yes, please explain: _____

How did you hear about the position? Listening to City Council meetings

City Council Policy 100-2 states that board/commission members are required to attend meetings on a regular basis. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member?

Yes ☒ No _____

Do you or immediate family members have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes _____ No ☒
If yes, please explain _____

SUPPLEMENTAL QUESTIONNAIRE: Please complete the following if applying for a vacancy on any of these listed boards/commissions/committees:

☐ **49-99 Cooperative Library System Advisory Board**

You must be a resident of San Joaquin County, but not the City of Lodi. Do you meet this requirement? ☐ Yes ☐ No

☐ **Stockton Arts Commission**

Outside of your profession indicate what type of Arts you are active in (circle any that apply): Music, Dance, Theater, Cinema, Photography, Architecture, Art Criticism, Textile Arts, Literary Arts, the Visual Arts, including (but not limited to) the Public or Environmental Arts, Graphic Arts, Design, Drawing, Painting, Printmaking, Sculpture, Ceramics, and Art History
Are you interested in or accomplished in fund-raising? ☐ Yes ☐ No

☐ **Building and Housing Board of Appeals/ Handicapped Access Board of Appeals**

Do you have experience in any of the following areas (circle any that apply): General Building Contractor, Plumbing Contractor, Electrical Contractor, or Mechanical Contractor; Engineer or Architect.
Are you a disabled citizen? ☐ Yes ☐ No

☐ **Central Parking District Advisory Board**

Do you own real property or are you an executive officer of a corporation that owns real property within the Central Parking District? ☐ Yes ☐ No Specify property address(es): _____

☐ **Climate Action Plan Advisory Committee**

Do you actively represent any of the following interest groups within the City of Stockton (circle any that apply): Environmental, Non-Profit Community Organization, Labor, Business, Developer

☐ **Cultural Heritage Board**

Are you knowledgeable have experience in, or extensive background in any of the following areas (circle any that apply): Historic or cultural traditions of the community, preservation, architecture, construction technology, real-estate, construction industry, California licensed Architect, Historian, Stockton resident for 40 years or longer.

☐ **Development Oversight Commission**

Do you work in Stockton or with a firm/organization that does business in Stockton? ☐ Yes ☐ No

State name/address of firm/organization: _____

Are you any of the following (check any that apply)

- ☐ Local architect/designer ☐ Local Civil Engineer ☐ Mixed Use Builder/Developer
☐ Local Representative from public at-large ☐ Local Non Profit Builder/Developer
☐ Port of Stockton Executive (CEO or Designee) ☐ San Joaquin Partnership Executive (CEO or Designee)
Building Industry Association ☐ Executive (CEO or Designee) **or** ☐ Mixed Use Builder/Developer
Builder's Exchange ☐ Executive (CEO or Designee) **or** ☐ General Contractor

Gary Ingraham
APPLICANT NAME

☐ **Port Commission**

Do you reside within the Port District boundary or consistent with the City General Plan boundaries, plus a one-half mile strip on either side of the Stockton Ship Channel from the Port to Disappointment Slough, if so for how long? _____

☐ **Public Art Advisory Committee** (circle any that apply)

Employed as a professional visual artist, critic of contemporary visual art forums, or have knowledge of, or skill/experience in any of the following: activist for the visual environment, public art, regional/national individual artists work, understanding of ethnic/gender diversity, the Stockton Public Art Plan processes and implications for the City, decision-making ability, willingness to tolerate/promote change, new artistic & design expressions, community activities significant enough to confirm knowledge of issues and individuals within the community. Must be a resident of Stockton for at least 3 years.

☐ **San Joaquin Regional Transit District Board**

Have you resided within the Transit District boundaries for at least one year? ☐ Yes ☐ No
Are you a resident in the City of Stockton? ☐ Yes ☐ No

☐ **Sports Commission** (circle any that apply)

If you are not a resident of Stockton, do you own and/or operate a business within the City or are a sponsor or associated with a sports related event, name of business or event(s): _____

☐ **Stockton Measure W Oversight Committee**

Do you reside within Stockton's geographic boundary? ☐ Yes ☐ No Are you at least 28 years of age? ☐ Yes ☐ No
Are you an employee of any vendor, contractor or consultant doing business with the City of Stockton? ☐ Yes ☐ No

☐ **Council Water Advisory Group**

Do you reside within one of the Stockton Municipal Utilities' three utility service areas? ☐ Yes ☐ No If so, state which one: _____

☐ **Youth Advisory Committee** (fill in, circle, or check)

High school enrolled in: _____ Will you be a senior next committee term? ☐ Yes ☐ No
Are you a member of one of the following Community Centers? McKinley, Seifert and Seirra Vista, Stribley and Van Buskirk, other: _____
Which Council District do you reside in? District 1, 2, 3, 4, 5, or 6 (leave blank if you do not know).

BACKGROUND INFORMATION

WORK EXPERIENCE: List names, addresses, and dates of employers for the last five (5) years. Attach additional sheets of paper if needed.

FROM: MO. 2 DAY _____ YEAR 2009 EMPLOYER NAME City of Stockton
TO: MO. 3 DAY _____ YEAR 2013 ADDRESS 425 No. El Dorado St, Stkn.
DUTIES: Part time project manager as assigned by
City Mngers. off. Fire Department + Econ Dev. / Asset mg

FROM: MO. _____ DAY _____ YEAR _____ EMPLOYER NAME _____
TO: MO. _____ DAY _____ YEAR _____ ADDRESS _____
DUTIES: _____

BUSINESS ENTERPRISES: List business name, including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM: MO. _____ DAY _____ YEAR _____ BUSINESS NAME _____
TO: MO. _____ DAY _____ YEAR _____ ADDRESS _____

Gary Ingraham
APPLICANT NAME

TYPE OF BUSINESS OR SERVICE RENDERED: _____

A resume reflecting experience, community activities, or other qualifications not listed below may be attached in order to assist the Council in evaluating your application. (Optional)

EDUCATION: See attached

CONFLICT OF INTEREST: State and local law requires that you abstain from participation in decisions that may affect your financial interests, including sources of income and interest in real property or investments. In addition, if appointed you may be required to fill out a disclosure statement that identifies certain of your financial interests beginning with the immediate twelve-month period prior to your appointment. Based on your best judgment, does this board or commission make decisions that may affect any sources of income, interests in real property, or investments of you or your spouse?

Yes _____ No ☒ If yes, please explain. Identify any organizations, associations, corporations, or entities by which you are employed or associated that might be affected by decisions of this board or commission and the positions you hold. _____

INFORMATION REGARDING CONFLICT OF INTEREST AND FILING OF STATEMENTS OF ECONOMIC INTERESTS

In accordance with Government Code Sections 87300 – 87313 and the City of Stockton's Conflict of Interest Code, this Board/Commission/Committee may be designated to file Statements of Economic Interests.

If you are appointed to this Board/Commission/Committee, you may be required to file statements disclosing certain types of information so that the public can be made aware of potential conflicts of interest. The types of disclosure are:

- Investments
- Interests in Real Property (other than your residence)
- Interests in Real Property Held by a Business Entity or Trust
- Investments Held by a Business Entity or Trust
- Income (other than loans and gifts)
- Income – Travel Payments, Advances, Reimbursements
- Income Gifts
- Business Positions
- Commission Income Received by Brokers, Agents, and Salespersons
- Income and Loans to a Business Entity or Trust
- Income from Rental Property

If you have any questions regarding disclosure requirements, please contact the City Clerk's Office at 937-8458.

CONVICTIONS: Conviction of a crime is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position; however, failure to list convictions may result in disqualification.

1. Have you ever been convicted of a felony? If yes, please explain:

N/A

2. List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

N/A

Gary Ingraham
APPLICANT NAME

I DECLARE, UNDER PENALTY OF DISQUALIFICATION AND TERMINATION, THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Signature Gary Ingraham Date Jan. 23, 2014

Please identify any specialized accommodations needed for equal participation: _____

The following information is voluntary and is gathered in accordance with State and Federal laws for the purpose of evaluating the effectiveness of our Affirmative Action and recruitment efforts. Please check: ☒ Male ☐ Female

☒ White (Not Hispanic Origin) All persons having origins in any of the original peoples of Europe, North Africa or the Middle East

☐ Black (Not Hispanic Origin) All persons having origins in any of the Black racial groups of Africa.

☐ Hispanic All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.

☐ American Indian or Alaska Native All persons having origins in any of the original peoples of North America and who maintain cultural identifications through tribal affiliation or community recognition. Please identify your tribal affiliation.

☐ Filipino All persons having origins in the Philippine Islands.

☐ Asian

The Stockton City Council appoints members to the various City of Stockton Boards and Commissions. The Council also appoints representatives to serve on various other San Joaquin County agencies as well. Please check the boxes below if you are interested in serving on any other boards/commissions. To find out about what these boards/commissions do and if you qualify, please visit the City of Stockton website at www.stocktongov.com/commissions

☐ 49-99 Cooperative Library System Advisory Board

☐ Arts Commission

☐ Building and Housing Board of Appeals

☐ Central Parking District Advisory Board

☐ City Council Salary Setting Commission

☐ Civil Service and Equal Employment Commissions

☐ Climate Action Plan Advisory Committee

☐ Community Development Committee

☐ Cultural Heritage Board

☐ Development Oversight Commission

☐ Handicapped Access Board of Appeals

☐ Measure W Oversight Committee

☐ Parks and Recreation Commission

☐ Planning Commission

☐ Port Commission

☐ Public Art Advisory Committee

☐ Redevelopment Commission/Relocation Appeals Board

☐ San Joaquin County Commission on Aging

☐ San Joaquin County Mosquito And Vector Control District

☐ San Joaquin Regional Transit District Board

☐ Sports Commission

☐ Water Advisory Group

☐ Youth Advisory Commission (must be in high school to serve on this Commission)

GARY C. INGRAHAM

OBJECTIVE: Project Management and Administration

SUMMARY OF QUALIFICATIONS:

- ♦ Over 35 years experience in managing various capital projects primarily for local government agencies with a major emphasis in Public Finance, Public Works, Municipal Utilities, Grant Administration, and Project Management.
- ♦ Project Director/Administrator for the City of Stockton over the past 7 years for various Capital Improvement Projects including the Downtown Events Center (baseball stadium and arena), fire station upgrades and remodeling, community center, communications tower and electrical equipment building, and claims analysis including dispute resolution.
- ♦ Excellent skills in maintaining positive working relationships with staff, state and local agencies, developers, and constituents.
- ♦ Experienced in obtaining project entitlements at the Federal, State, and various local levels of government.
- ♦ Currently hold State of California General Contractors License, No. 826460

RELEVANT SKILLS AND EXPERIENCE:**Project Management:**

Since retiring in early 2004, I have been a consultant and employed in the area of Capital Project Management/Administration for both public and private clients

City Management – 1986 – 2004

Interim City Manager, Assistant City Manager for the City of Stockton (full service city: 2,000 employees, total budget \$250 million). Implemented policy decisions of the City Council and City Manager. Presented the City Council, committees and community – based organizations with recommendations to facilitate the problem-solving process. Established City's Landscape and Maintenance Districts Community Facility Districts (Mello-Roos) to provide for infrastructure and maintenance of master-planned communities. Supervised issuance of Certificates of Participation in excess of \$100 million for city projects. Designed and implemented revenue programs to fund wastewater and water master plans (in excess of \$200 million). Implemented budget

GARY C. INGRAHAM

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reduction and balancing plan (1987, 1991). Converted city to self-funded health insurance, general liability, and worker's compensation programs. Supervised selection and replacement of City's main frame computer for financial and management applications.

Financial Management – 1967 – 1985

Served as Director of Finance (City of Stockton), City Controller, Senior Accountant, License Supervisor (City of Santa Rosa). Received state and national awards for excellence in financial reporting. Administered accounting, management information systems, self-insurance programs, city's investment portfolio, purchasing, and risk management.

EDUCATION:

Masters of Business Administration, Southern California University, 1994
Bachelor of Arts in Finance, Armstrong University, Berkeley, 1964

EMPLOYMENT HISTORY:

2004-2012	Consultant/Project Manager	
1987-1988, 1991	Interim City Manager	City of Stockton, CA
1986-2004	Assistant City Manager	City of Stockton, CA
1977-1985	Director of Finance	City of Stockton, CA
1967-1977	City Controller	City of Santa Rosa, CA
1966	Controller	Arthur B. Siri, Inc., Santa Rosa, CA
1965-1966	Auditor	E.F. Moran & Co., Oakland, CA
1964	Accountant	John Breuner Co., Oakland CA

COMMUNITY ACTIVITIES AND ACCOMPLISHMENTS:

Served as President / Chairman:

California Municipal Business Tax Association
Santa Rosa Public Employees Credit Union
Board of Directors, California State Municipal Finance Officers Association
Mountain Valley Chapter, California State Municipal Finance Officers Assoc.
Sebastopol Planning Commission
United Crusade, Santa Rosa Municipal Employees
Audit and Budget Committee for San Joaquin County United Way
Women's Center of San Joaquin County Fundraiser
Big Brothers / Big Sisters Fundraiser
New Directions Fundraiser
Yosemite Club, Stockton

GARY C. INGRAHAM

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Served as Vice President: Jaycees, Sebastopol, California

Served as Treasurer: Sonoma and Stockton Chapters of California Credit Union League

Served as Secretary – Treasurer: League of California Cities, Central Valley Division

Served as member: Environmental Quality Committee (League of California Cities)
Revenue Stabilization Committee (League of California Cities)
Board of Directors, California Financing Corporation
California Committee on Municipal Accounting
Santa Rosa Suburban Kiwanis Club
Board of Directors, Stockton Lions Club
Board of Directors, Big Brother/Big Sisters
Board of Directors, Red Cross
Member of County Solid Waste Board

REFERNCES AVAILABLE UPON REQUEST

Please state the reason you would like to be a member of this board/commission:

I supported the Measures. As a retired City employee and someone who is civically engaged I believe I can bring insight to help ensure the Measures are properly implemented.

Have you served on an advisory group before? No If yes, please explain: _____

How did you hear about the position? League of Women Voters and my Councilmember

City Council Policy 100-2 states that board/commission members are required to attend meetings on a regular basis. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member?
Yes X No _____

Do you or immediate family members have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes _____ No X
If yes, please explain _____

SUPPLEMENTAL QUESTIONNAIRE: Please complete the following if applying for a vacancy on any of these listed boards/commissions/committees:

☐ **49-99 Cooperative Library System Advisory Board**

You must be a resident of San Joaquin County, but not the City of Lodi. Do you meet this requirement? ☐ Yes ☐ No

☐ **Stockton Arts Commission**

Outside of your profession indicate what type of Arts you are active in (circle any that apply): Music, Dance, Theater, Cinema, Photography, Architecture, Art Criticism, Textile Arts, Literary Arts, the Visual Arts, including (but not limited to) the Public or Environmental Arts, Graphic Arts, Design, Drawing, Painting, Printmaking, Sculpture, Ceramics, and Art History
Are you interested in or accomplished in fund-raising? ☐ Yes ☐ No

☐ **Building and Housing Board of Appeals/ Handicapped Access Board of Appeals**

Do you have experience in any of the following areas (circle any that apply): General Building Contractor, Plumbing Contractor, Electrical Contractor, or Mechanical Contractor; Engineer or Architect.
Are you a disabled citizen? ☐ Yes ☐ No

☐ **Central Parking District Advisory Board**

Do you own real property or are you an executive officer of a corporation that owns real property within the Central Parking District? ☐ Yes ☐ No Specify property address(es): _____

☐ **Climate Action Plan Advisory Committee**

Do you actively represent any of the following interest groups within the City of Stockton (circle any that apply): Environmental, Non-Profit Community Organization, Labor, Business, Developer

☐ **Cultural Heritage Board**

Are you knowledgeable have experience in, or extensive background in any of the following areas (circle any that apply): Historic or cultural traditions of the community, preservation, architecture, construction technology, real-estate, construction industry, California licensed Architect, Historian, Stockton resident for 40 years or longer.

☐ **Development Oversight Commission**

Do you work in Stockton or with a firm/organization that does business in Stockton? ☐ Yes ☐ No

State name/address of firm/organization: _____

Are you any of the following (check any that apply)

- | | | |
|--|--|---|
| ☐ Local architect/designer | ☐ Local Civil Engineer | ☐ Mixed Use Builder/Developer |
| ☐ Local Representative from public at-large | | ☐ Local Non Profit Builder/Developer |
| ☐ Port of Stockton Executive (CEO or Designee) | ☐ San Joaquin Partnership Executive (CEO or Designee) | |
| Building Industry Association ☐ Executive (CEO or Designee) <u>or</u> ☐ Mixed Use Builder/Developer | | |
| Builder's Exchange ☐ Executive (CEO or Designee) <u>or</u> ☐ General Contractor | | |

☐ **Port Commission**

Do you reside within the Port District boundary or consistent with the City General Plan boundaries, plus a one-half mile strip on either side of the Stockton Ship Channel from the Port to Disappointment Slough, if so for how long? _____

☐ **Public Art Advisory Committee** (circle any that apply)

Employed as a professional visual artist, critic of contemporary visual art forums, or have knowledge of, or skill/experience in any of the following: activist for the visual environment, public art, regional/national individual artists work, understanding of ethnic/gender diversity, the Stockton Public Art Plan processes and implications for the City, decision-making ability, willingness to tolerate/promote change, new artistic & design expressions, community activities significant enough to confirm knowledge of issues and individuals within the community. Must be a resident of Stockton for at least 3 years.

☐ **San Joaquin Regional Transit District Board**

Have you resided within the Transit District boundaries for at least one year? ☐ Yes ☐ No
Are you a resident in the City of Stockton? ☐ Yes ☐ No

☐ **Sports Commission** (circle any that apply)

If you are not a resident of Stockton, do you own and/or operate a business within the City or are a sponsor or associated with a sports related event, name of business or event(s): _____

☐ **Stockton Measure W Oversight Committee**

Do you reside within Stockton's geographic boundary? ☐ Yes ☐ No Are you at least 28 years of age? ☐ Yes ☐ No
Are you an employee of any vendor, contractor or consultant doing business with the City of Stockton? ☐ Yes ☐ No

☐ **Council Water Advisory Group**

Do you reside within one of the Stockton Municipal Utilities' three utility service areas? ☐ Yes ☐ No If so, state which one: _____

☐ **Youth Advisory Committee** (fill in, circle, or check)

High school enrolled in: _____ Will you be a senior next committee term? ☐ Yes ☐ No
Are you a member of one of the following Community Centers? McKinley, Seifert and Seirra Vista, Stribley and Van Buskirk, other: _____
Which Council District do you reside in? District 1, 2, 3, 4, 5, or 6 (leave blank if you do not know).

BACKGROUND INFORMATION

WORK EXPERIENCE: List names, addresses, and dates of employers for the last five (5) years. Attach additional sheets of paper if needed.

FROM: MO. May DAY 16 YEAR 1995 EMPLOYER NAME City of Stockton
TO: MO. June DAY 30 YEAR 2011 ADDRESS 425 N. El Dorado St., Stockton, CA
DUTIES: Sr. Admin. Analyst, SJAFCA and MUD, Program Mgr. for Public Works

FROM: MO. Sept. DAY 1 YEAR 1989 EMPLOYER NAME City of Costa Mesa
TO: MO. May DAY 1 YEAR 1995 ADDRESS 77 Fair Dr., Costa Mesa, CA 92626
DUTIES: Admin. Analyst and Public Info. Officer for the Costa Mesa Fire Dept.

BUSINESS ENTERPRISES: List business name, including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM: MO. _____ DAY _____ YEAR _____ BUSINESS NAME _____
TO: MO. _____ DAY _____ YEAR _____ ADDRESS _____

TYPE OF BUSINESS OR SERVICE RENDERED: _____

A resume reflecting experience, community activities, or other qualifications not listed below may be attached in order to assist the Council in evaluating your application. (Optional)

EDUCATION: Bachelor of Arts degree, Journalism, CSU Long Beach

CONFLICT OF INTEREST: State and local law requires that you abstain from participation in decisions that may affect your financial interests, including sources of income and interest in real property or investments. In addition, if appointed you may be required to fill out a disclosure statement that identifies certain of your financial interests beginning with the immediate twelve-month period prior to your appointment. Based on your best judgment, does this board or commission make decisions that may affect any sources of income, interests in real property, or investments of you or your spouse?

Yes _____ No X If yes, please explain. Identify any organizations, associations, corporations, or entities by which you are employed or associated that might be affected by decisions of this board or commission and the positions you hold. _____

INFORMATION REGARDING CONFLICT OF INTEREST AND FILING OF STATEMENTS OF ECONOMIC INTERESTS

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If you are appointed to this Board/Commission/Committee, you may be required to file statements disclosing certain types of information so that the public can be made aware of potential conflicts of interest. The types of disclosure are:

Investments
Interests in Real Property (other than your residence)
Interests in Real Property Held by a Business Entity or Trust
Investments Held by a Business Entity or Trust
Income (other than loans and gifts)
Income – Travel Payments, Advances, Reimbursements
Income Gifts
Business Positions
Commission Income Received by Brokers, Agents, and Salespersons
Income and Loans to a Business Entity or Trust
Income from Rental Property

If you have any questions regarding disclosure requirements, please contact the City Clerk's Office at 937-8458.

CONVICTIONS: Conviction of a crime is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position; however, failure to list convictions may result in disqualification.

1. Have you ever been convicted of a felony? If yes, please explain:

No

2. List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

None

I DECLARE, UNDER PENALTY OF DISQUALIFICATION AND TERMINATION, THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Signature Susan Mora Loyko Date 1-31-2014

Please identify any specialized accommodations needed for equal participation: None

The following information is voluntary and is gathered in accordance with State and Federal laws for the purpose of evaluating the effectiveness of our Affirmative Action and recruitment efforts. Please check: Male X Female

X White (Not Hispanic Origin) All persons having origins in any of the original peoples of Europe, North Africa or the Middle East

 Black (Not Hispanic Origin) All persons having origins in any of the Black racial groups of Africa.

X Hispanic All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.

 American Indian or Alaska Native All persons having origins in any of the original peoples of North America and who maintain cultural identifications through tribal affiliation or community recognition. Please identify your tribal affiliation.

 Filipino All persons having origins in the Philippine Islands.

 Asian

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☐ 49-99 Cooperative Library System Advisory Board

☐ Arts Commission

☐ Building and Housing Board of Appeals

☐ Central Parking District Advisory Board

☐ City Council Salary Setting Commission

☐ Civil Service and Equal Employment Commissions

☐ Climate Action Plan Advisory Committee

☐ Community Development Committee

☐ Cultural Heritage Board

☐ Development Oversight Commission

☐ Handicapped Access Board of Appeals

☐ Measure W Oversight Committee

☐ Parks and Recreation Commission

☐ Planning Commission

☐ Port Commission

☐ Public Art Advisory Committee

☐ Redevelopment Commission/Relocation Appeals Board

☐ San Joaquin County Commission on Aging

☐ San Joaquin County Mosquito And Vector Control District

☐ San Joaquin Regional Transit District Board

☐ Sports Commission

☐ Water Advisory Group

☐ Youth Advisory Commission (must be in high school to serve on this Commission)

City of Stockton
APPLICATION CONTINUED
Page 2 of 5

Moses D. Elam

APPLICANT NAME

Please state the reason you would like to be a member of this board/commission:

I am concerned that the funds raised by Measure A will be correctly administered.

Have you served on an advisory group before? No If yes, please explain: _____

How did you hear about the position? word of mouth

City Council Policy 100-2 states that board/commission members are required to attend meetings on a regular basis. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member?
Yes X No _____

Do you or immediate family members have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes _____ No X
If yes, please explain _____

SUPPLEMENTAL QUESTIONNAIRE: Please complete the following if applying for a vacancy on any of these listed boards/commissions/committees:

- ☐ **49-99 Cooperative Library System Advisory Board**
You must be a resident of San Joaquin County, but not the City of Lodi. Do you meet this requirement? ☐ Yes ☐ No
- ☐ **Stockton Arts Commission**
Outside of your profession indicate what type of Arts you are active in (circle any that apply): Music, Dance, Theater, Cinema, Photography, Architecture, Art Criticism, Textile Arts, Literary Arts, the Visual Arts, including (but not limited to) the Public or Environmental Arts, Graphic Arts, Design, Drawing, Painting, Printmaking, Sculpture, Ceramics, and Art History
Are you interested in or accomplished in fund-raising? ☐ Yes ☐ No
- ☐ **Building and Housing Board of Appeals/ Handicapped Access Board of Appeals**
Do you have experience in any of the following areas (circle any that apply): General Building Contractor, Plumbing Contractor, Electrical Contractor, or Mechanical Contractor; Engineer or Architect.
Are you a disabled citizen? ☐ Yes ☐ No
- ☐ **Central Parking District Advisory Board**
Do you own real property or are you an executive officer of a corporation that owns real property within the Central Parking District? ☐ Yes ☐ No Specify property address(es): _____
- ☐ **Climate Action Plan Advisory Committee**
Do you actively represent any of the following interest groups within the City of Stockton (circle any that apply): Environmental, Non-Profit Community Organization, Labor, Business, Developer
- ☐ **Cultural Heritage Board**
Are you knowledgeable have experience in, or extensive background in any of the following areas (circle any that apply): Historic or cultural traditions of the community, preservation, architecture, construction technology, real-estate, construction industry, California licensed Architect, Historian, Stockton resident for 40 years or longer.
- ☐ **Development Oversight Commission**
Do you work in Stockton or with a firm/organization that does business in Stockton? ☐ Yes ☐ No
State name/address of firm/organization: _____
Are you any of the following (check any that apply)
☐ Local architect/designer ☐ Local Civil Engineer ☐ Mixed Use Builder/Developer
☐ Local Representative from public at-large ☐ Local Non Profit Builder/Developer
☐ Port of Stockton Executive (CEO or Designee) ☐ San Joaquin Partnership Executive (CEO or Designee)
☐ Building Industry Association ☐ Executive (CEO or Designee) or ☐ Mixed Use Builder/Developer
☐ Builder's Exchange ☐ Executive (CEO or Designee) or ☐ General Contractor

Moses D. Elam

City of Stockton
APPLICATION CONTINUED
Page 3 of 5

APPLICANT NAME

- ☐ **Port Commission**
 Do you reside within the Port District boundary or consistent with the City General Plan boundaries, plus a one-half mile strip on either side of the Stockton Ship Channel from the Port to Disappointment Slough, if so for how long? _____
- ☐ **Public Art Advisory Committee** (circle any that apply)
 Employed as a professional visual artist, critic of contemporary visual art forums, or have knowledge of, or skill/experience in any of the following: activist for the visual environment, public art, regional/national individual artists work, understanding of ethnic/gender diversity, the Stockton Public Art Plan processes and implications for the City, decision-making ability, willingness to tolerate/promote change, new artistic & design expressions, community activities significant enough to confirm knowledge of issues and individuals within the community. Must be a resident of Stockton for at least 3 years.
- ☐ **San Joaquin Regional Transit District Board**
 Have you resided within the Transit District boundaries for at least one year? ☐ Yes ☐ No
 Are you a resident in the City of Stockton? ☐ Yes ☐ No
- ☐ **Sports Commission** (circle any that apply)
 If you are not a resident of Stockton, do you own and/or operate a business within the City or are a sponsor or associated with a sports related event, name of business or event(s): _____
- ☐ **Stockton Measure W Oversight Committee**
 Do you reside within Stockton's geographic boundary? ☐ Yes ☐ No Are you at least 28 years of age? ☐ Yes ☐ No
 Are you an employee of any vendor, contractor or consultant doing business with the City of Stockton? ☐ Yes ☐ No
- ☐ **Council Water Advisory Group**
 Do you reside within one of the Stockton Municipal Utilities' three utility service areas? ☐ Yes ☐ No If so, state which one: _____
- ☐ **Youth Advisory Committee** (fill in, circle, or check)
 High school enrolled in: _____ Will you be a senior next committee term? ☐ Yes ☐ No
 Are you a member of one of the following Community Centers? McKinley, Seifert and Seirra Vista, Stribley and Van Buskirk, other: _____
 Which Council District do you reside in? District 1, 2, 3, 4, 5, or 6 (leave blank if you do not know).

BACKGROUND INFORMATION

WORK EXPERIENCE: List names, addresses, and dates of employers for the last five (5) years. Attach additional sheets of paper if needed.

FROM: MO. 01 DAY 10 YEAR 2000 **EMPLOYER NAME** The Permanente Medical Group
TO: MO. Present DAY _____ YEAR _____ **ADDRESS** 7373 West Lane, Stockton, CA 95210
DUTIES: Physician-in-Chief, Chief of Staff KFH Manteca/Modesto Hospitals (see attached bio)

FROM: MO. _____ DAY _____ YEAR _____ **EMPLOYER NAME** _____
TO: MO. _____ DAY _____ YEAR _____ **ADDRESS** _____
DUTIES: _____

BUSINESS ENTERPRISES: List business name, including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM: MO. _____ DAY _____ YEAR _____ **BUSINESS NAME** _____
TO: MO. _____ DAY _____ YEAR _____ **ADDRESS** _____

City of Stockton
APPLICATION CONTINUED
Page 4 of 5

Moses D. Elam

APPLICANT NAME

TYPE OF BUSINESS OR SERVICE RENDERED: Medical Care

A resume reflecting experience, community activities, or other qualifications not listed below may be attached in order to assist the Council in evaluating your application. (Optional)

EDUCATION: See attached bio

CONFLICT OF INTEREST: State and local law requires that you abstain from participation in decisions that may affect your financial interests, including sources of income and interest in real property or investments. In addition, if appointed you may be required to fill out a disclosure statement that identifies certain of your financial interests beginning with the immediate twelve-month period prior to your appointment. Based on your best judgment, does this board or commission make decisions that may affect any sources of income, interests in real property, or investments of you or your spouse?

Yes _____ No X If yes, please explain. Identify any organizations, associations, corporations, or entities by which you are employed or associated that might be affected by decisions of this board or commission and the positions you hold.

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- Investments Held by a Business Entity or Trust
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- Income – Travel Payments, Advances, Reimbursements
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- Business Positions
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CONVICTIONS: Conviction of a crime is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position; however, failure to list convictions may result in disqualification.

1. Have you ever been convicted of a felony? If yes, please explain:

No

2. List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

N/A

City of Stockton
APPLICATION CONTINUED
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Moses D. Elam

APPLICANT NAME

I DECLARE, UNDER PENALTY OF DISQUALIFICATION AND TERMINATION, THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Signature Moses D. Elam Date 1/30/12

Please identify any specialized accommodations needed for equal participation: None

The following information is voluntary and is gathered in accordance with State and Federal laws for the purpose of evaluating the effectiveness of our Affirmative Action and recruitment efforts. Please check: ☒ Male ☐ Female

☐ White (Not Hispanic Origin) All persons having origins in any of the original peoples of Europe, North Africa or the Middle East

☒ Black (Not Hispanic Origin) All persons having origins in any of the Black racial groups of Africa.

☐ Hispanic All persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race.

☐ American Indian or Alaska Native All persons having origins in any of the original peoples of North America and who maintain cultural identifications through tribal affiliation or community recognition. Please identify your tribal affiliation.

☐ Filipino All persons having origins in the Philippine Islands.

☐ Asian

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- ☐ Central Parking District Advisory Board
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- ☐ Civil Service and Equal Employment Commissions
- ☐ Climate Action Plan Advisory Committee
- ☐ Community Development Committee
- ☐ Cultural Heritage Board
- ☐ Development Oversight Commission
- ☐ Handicapped Access Board of Appeals
- ☐ Measure W Oversight Committee
- ☐ Parks and Recreation Commission

- ☐ Planning Commission
- ☐ Port Commission
- ☐ Public Art Advisory Committee
- ☐ Redevelopment Commission/Relocation Appeals Board
- ☐ San Joaquin County Commission on Aging
- ☐ San Joaquin County Mosquito And Vector Control District
- ☐ San Joaquin Regional Transit District Board
- ☐ Sports Commission
- ☐ Water Advisory Group
- ☐ Youth Advisory Commission (must be in high school to serve on this Commission)

Moses Dale Elam, M.D.

Physician-in-Chief

Kaiser Permanente Central Valley Service Area

Moses D. Elam, MD, serves as the Physician-in-Chief of The Permanente Medical Group/Central Valley and Chief-of-Staff for Kaiser Foundation Hospital located in Modesto and Manteca California. He is responsible for overseeing the medical group operations of more than 400 physicians and 1,600 employees providing medical care for greater than 255,000 members across six cities in two counties. Prior to joining The Permanente Medical Group, Dr. Elam worked as a senior Dermatologist with The Carolina Permanente Medical Group, 1990 – 2000, and prior to that, worked in fee-for-service dermatology practice for more than 10 years in the New Jersey and New York area.

Dr. Elam is a graduate of Howard University in Washington, D.C. and the University of Medicine and Dentistry of New Jersey at the New Jersey Medical School. He completed his internship at University Hospital in New Jersey and his residency training at Cornell Medical Center and Sloan Kettering Memorial Medical Center in New York. He is board certified in Dermatology by the American Board of Dermatology, and is a Fellow of the American Academy of Dermatology.

Dr. Elam boasts the largest multi-specialty medical group in the Central Valley; 8 outpatient facilities, 2 contracted hospitals and 2 Kaiser-owned hospitals. The new state-of-the-art Kaiser Hospital in Modesto opened in October 2008; the first to be opened in the community in 40 years.

Dr. Elam is a member of The Permanente Medical Group's Board of Directors and sits on the Board of numerous other community and private institutions including, the San Joaquin Medical Society, a not-for-profit economic business development corporation, California State University Stanislaus, Career Services Advisory Board, University of California Merced, Health Careers Academy Community Advisory Board, Stockton.

In addition to his leadership and physician duties, Dr. Elam serves as a clinical instructor in the Family Practice and Internal Medicine Residency Program at San Joaquin County General Hospital. He is also a Fellow at the University of California San Francisco's California Health Care Leadership Foundation (CHCF), and member of the American College of Physician Executives.

Dr. Elam is married to Marcia Elam and is the proud father to four very accomplished young women, Chelsea, Meghan, Morgan and Kelly Downing.