

PROFESSIONAL SERVICES CONTRACT

THIS CONTRACT is entered into this ____ day of _____ 2023, between the CITY OF STOCKTON, a municipal corporation ("City"), and **CALLANDER ASSOCIATES** whose address is **12150 Tributary Point Drive, Suite 140, Gold River, CA 95670** ("Consultant") for the **COLUMBUS PARK RENOVATIONS, Project No. WP22041**, hereinafter referred to as "Project".

RECITALS

- A. Consultant represents that it is licensed in the State of California and is qualified to provide the services proposed in the SCOPE OF WORK section of this Contract.
- B. City finds it necessary and advisable to use the services of the Consultant for the purposes provided in this Contract.

NOW THEREFORE, in consideration of the mutual promises, covenants, and conditions in this Contract, City and Consultant agree as follows:

1. SCOPE OF SERVICES. Subject to the terms and conditions set forth in this Contract, Consultant shall undertake and complete the services described in **EXHIBIT A**. Consultant shall provide said services at the time, place, and in the manner specified in **EXHIBIT A** and compatible with the standards of the profession. Consultant agrees that it shall produce a fully complete project that is acceptable to the City.

2. COMPENSATION. City shall pay Consultant for services outlined in **EXHIBIT A** according to the fee not to exceed the schedule detailed in **EXHIBIT B**, which is attached to this Contract and incorporated by this reference. Consultant agrees this fee is for full remuneration for performing all services and furnishing all staffing and materials called for in the scope of services. The payments shall be made on a monthly basis upon receipt and approval of Consultant's invoice. Total compensation for services and reimbursement for costs shall not exceed **\$352,064.00** or as otherwise mutually agreed to in a Contract Amendment.

3. INSURANCE. During the term of this Contract, Consultant shall maintain in full force and effect at its own cost and expense the insurance coverage as set forth in the attached **EXHIBIT C** and shall otherwise comply with the other provisions of **EXHIBIT C**.

4. INDEMNITY AND HOLD HARMLESS. With the exception that this section shall in no event be construed to require indemnification by Consultant to a greater extent than permitted under the public policy of the State of California, Consultant shall indemnify, and hold harmless City, its Mayor, Council, officials, and employees from and against any and all claims and causes of action which result in liabilities, judgments, awards, losses, damages, expenses, and costs (including reasonable attorneys' fees, expert and consultant fees, and other expenses of litigation) including, but not limited to, death or injury to persons, or damage to property, which arise out of any violation of federal, state, or municipal law or ordinance, to the

extent damages are caused by the Consultant's negligent services provided under this Agreement, or are in any way caused by the negligent performance of work by the Consultant or Consultant's officers, agents, employees, or subcontractors. Consultant shall not be obligated to indemnify or defend City for claims finally determined by a court of law or arbitrator to arise from the negligence or willful misconduct of the City. It is the intent of the Parties that this indemnity obligation is at least as broad as is permitted under California law. To the extent California Civil Code sections 2782, et seq., limit the defense or indemnity obligations of Consultant to City, the intent hereunder is to provide the maximum defense and indemnity obligations allowed by Consultant under the law. The indemnity set forth in this section shall not be limited by insurance requirements or by any other provision of this Agreement.

With the exception that this section shall in no event be construed to require indemnification by Consultant to a greater extent than permitted under the public policy of the State of California, and in addition to the other indemnity obligations in this Agreement, Consultant shall indemnify, defend, and hold harmless City, its Mayor, Council, officials, representatives, and employees from and against claims, losses, expenses, and costs including, but not limited to, reasonable attorneys' fees, arising out of any claim brought against the City by an employee of Consultant, regardless of whether such claim may be covered by any applicable workers compensation insurance. Consultant's indemnification obligation is not limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for the Consultant under workers' compensation acts, disability acts, or other employee benefit acts.

5. SCHEDULE AND TERM. Consultant shall perform the scope of work as described in **EXHIBIT A** according to the schedule detailed in **EXHIBIT A** which is attached to this Contract and incorporated by this reference. This Contract shall commence on the date written above and shall expire on **DECEMBER 31, 2028**, unless extended by mutual agreement through the issuance of a Contract Amendment.

- a. Invoices submitted by Consultant to City must contain a brief description of work performed, time used, and include the City project number. Payment shall be made within thirty (30) days of approval of invoice by City.
- b. Upon completion of work and acceptance by City, Consultant shall have sixty (60) days in which to submit final invoicing for payment. An extension may be granted by City upon receiving a written request thirty (30) days in advance of said time limitation. City shall have no obligation or liability to pay any invoice for work performed which Consultant fails or neglects to submit within sixty (60) days, or any extension thereof granted by the City, after work is accepted by City.

6. CONFORMANCE TO APPLICABLE LAWS. Consultant shall comply with all applicable federal, State, and Municipal laws, rules, and ordinances. Consultant shall not discriminate in the employment of persons or in the provision of services under this Contract on the basis of any legally protected classification, including race, color, national origin, ancestry, sex or religion of such person.

a. TITLE VI

Title VI of the Civil Rights Act of 1964 requires that “no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.” (42 USC Section 2000d). <http://www.dol.gov/oasam/regs/statutes/titlevi.htm>.

The City of Stockton requires compliance with the requirements of Title VI in all of its programs and activities regardless of funding source.

b. DISCRIMINATION AND HARASSMENT POLICY

The City of Stockton has a Discrimination and Harassment Policy (**EXHIBIT D**). The purpose of this policy is to reaffirm the City’s commitment to demonstrating respect for all individuals by strictly prohibiting discrimination and harassment, including sexual harassment in the workplace, to define the types of behavior and conduct prohibited by this policy, and to set forth a procedure for reporting, investigating, and resolving complaints of discrimination and harassment in the workplace.

c. LABOR STANDARDS PROVISIONS/CALIFORNIA LABOR CODE

The bidder shall understand that conditions set forth in Chapter 1, Part 7, Division 2 of the California Labor Code shall be considered part of the contract agreement.

https://leginfo.legislature.ca.gov/faces/codes_displayText.xhtml?lawCode=LAB&division=2.&title=&part=7.&chapter=1.&article=2.

d. PREVAILING WAGE RATES

Consultant and any subcontractor shall pay each employee engaged in the trade or occupation not less than the prevailing hourly wage rate. In accordance with the provisions of Section 1770 of the Labor Code, the Director of Department of Industrial Relations of the State of California has determined the general prevailing rates of wages and employer payments for health and welfare, pension, vacation, travel time, and subsistence pay as provided for in Section 1773.1, apprenticeship or other training programs authorized by Section 3093 and similar purposes applicable to the work to be done. Consultant performing the work under this contract shall obtain a copy of the wage rate determination and shall distribute copies to each subcontractor. As the wage determination for each craft reflects an expiration date, it shall be the prime Consultant and each subcontractor's responsibility to ensure that the prevailing wage rates of concern is current and paid to the employee.

- i. The Consultant performing the work shall be responsible for obtaining a copy of the State wage rate determination. State wage rates may be obtained at

<http://www.dir.ca.gov/OPRL/pwd/Determinations/Northern/Northern.pdf>.

The Consultant shall be responsible for posting said wage rates at a prominent location at the work site and shall maintain same in a good readable condition for the duration of the work.

- ii. Should the Consultant choose to work on a Saturday, Sunday or on a holiday recognized by the Labor Unions, the Consultant shall reimburse the City the actual cost of engineering, inspection, superintendence, and or other overhead expenses which are directly chargeable to the contract. Should such work be undertaken at the request of the City, reimbursement will not be required. To conform strictly with the provisions of Division 2, Part 7, Chapter 1, Article 2, of the Labor Code of the State of California. To forfeit as a penalty to City the sum of TWENTY-FIVE AND NO/100 DOLLARS (\$25.00) for each laborer, worker, or mechanic employed by CONTRACTOR, or by any subcontractor under Consultant, in the execution of this contract, for each calendar day during which any laborer, worker, or mechanic is required or permitted to work more than eight (8) hours and who is not paid the general prevailing rate of per diem wages for holiday and overtime work in violation of the provisions of Sections 1770 to 1781 of the Labor Code of the State of California. That all sums forfeited under the provisions of the foregoing sections shall be deducted from the payments to be made under the terms of this contract.
- iii. PAYROLL RECORDS - The Consultant to whom the contract is awarded shall ensure that the prime and each subcontractor will, in accordance with Section 1776 of the Labor Code, maintain certified payroll records. A copy of said records shall be provided with each invoice to the Public Works Department, Attention: Contract Compliance Officer. It shall be the Consultant's responsibility to obtain copies of the current prevailing wage rate determination for all subcontractors. Additionally, certified payroll records must be uploaded to the DIR website as required by labor code.
- iv. APPRENTICESHIP STANDARDS - The Consultant shall comply with the provisions established in Section 1777.5 of the Labor Code concerning the 1) certified approval by local joint apprenticeship committees for the employment and training of apprentices, and 2) contribution of funds to administer and conduct apprenticeship programs, if applicable to the job.

e. SANCTIONS

Deliverables must conform with all applicable federal, state, and local laws. Such conformity includes compliance with federal sanctions, and Contractor certifies that it has not and will not engage in prohibited transactions with sanctioned persons or entities.

7. **RIGHTS AND DUTIES OF CITY.** City shall make available to Consultant all data and information in the possession of City which both parties deem necessary to complete the work, and City shall actively aid and assist Consultant in obtaining such information as may be deemed necessary from other agencies and individuals.

8. **OBLIGATIONS OF CONSULTANT.** Throughout the term of this Contract, Consultant represents and warrants that it has or will have at the time this Contract is executed, all licenses, permits, qualifications, insurance, and approvals of whatsoever nature which are legally required for the Consultant to practice its professions, and Consultant shall, at its own cost and expense, keep in effect during the life of this Contract all such licenses, permits, qualifications, insurance, and approvals. Consultant shall meet with the Public Works Director or other personnel of City or third parties as necessary on all matters connected with the carrying out of Consultant's services. Such meetings shall be held at the request of either party hereto. Consultant further warrants that it will follow the best current, generally accepted and professional practices to make findings, render opinions, prepare factual presentations, and provide professional advice and recommendations regarding this project.

9. **OWNERSHIP OF WORK.** All reports, drawings, designs, plan review comments, work product, and all other documents completed or partially completed by Consultant in the performance of this Contract shall become and remain the property of the City. Any and all copyrightable subject matter in all materials is hereby assigned to the City and the Consultant agrees to execute any additional documents that may be necessary to evidence such assignment. All materials shall be delivered to the City upon completion or termination of the work under this Contract. If any materials are lost, damaged, or destroyed before final delivery to the City, the Consultant shall replace them at its own expense. Consultant shall keep materials confidential. Materials shall not be used for purposes other than performance of services under this Contract and shall not be disclosed to anyone not connected with these services unless the City expressly provides prior written consent.

10. **CONTRACT AMENDMENTS.** City reserves the right to make such alterations as may be deemed necessary or advisable and to require such extra work as may be required for the proper completion of the work contemplated by Consultant. Any such changes will be set forth in a Contract Amendment which will specify, in addition to the work done in connection with the change made, adjustment of contract time, if any, and the basis of compensation for such work. A Contract Amendment will not become effective until approved by the authorized City official.

11. **TERMINATION.** The City may terminate this Contract at any time by mailing a notice in writing to Consultant. The Contract shall then be deemed terminated and no further work shall be performed by Consultant. If the Contract is so terminated, the Consultant shall be paid for that percentage of work actually completed at the time the notice of termination is received.

12. **CONSULTANT STATUS.** In performing the obligations set forth in this Contract, Consultant shall have the status of an independent contractor and Consultant shall not be considered to be an employee of the City for any purpose. All persons working for or under the direction of Consultant are its agents and employees, and are not agents of the City.

Subcontractors shall not be recognized as having any direct or contractual relationship with the City. The persons engaged in the work, including employees of subcontractors and suppliers, will be considered employees of Consultant. The Consultant shall be responsible for the work of subcontractors, which shall be subject to the provisions of this Contract. The Consultant is responsible to the City for the acts and omissions of its subcontractors and persons directly or indirectly employed by them.

- a. If in the performance of this Contract any third persons are employed by Consultant, such persons shall be entirely and exclusively under the direction, supervision, and control of Consultant. All terms of employment including hours, wages, working conditions, discipline, hiring, and discharging or any other term of employment or requirement of law shall be determined by Consultant.
- i. It is further understood and agreed that Consultant must issue W-2 forms or other forms as required by law for income and employment tax purposes for all of Consultant's personnel.
- ii. As an independent contractor, Consultant hereby indemnifies and holds City harmless from any and all claims that may be made against the City based upon any contention by any third party that employer-employee relationship exists by reason of this Contract.

13. ASSIGNMENT. Consultant shall not assign, sublet, or transfer this Contract or any interest or obligation in the Contract without the prior written consent of the City, and then only upon such terms and conditions as City may set forth in writing. Consultant shall be solely responsible for reimbursing subcontractors.

14. HEADINGS NOT CONTROLLING. Headings used in the Contract are for reference purposes only and shall not be considered in construing this Contract.

15. NOTICES. Any and all notices herein required shall be in writing and shall be sent by certified or registered mail, postage prepaid, addressed as follows:

TO CONSULTANT:

Callander Associates
12150 Tributary Point Drive, Suite 140
Gold River, CA 95670

TO CITY:

Public Works Director
City of Stockton
22 E. Weber Ave., Rm. 301
Stockton, CA 95202

16. LICENSES, CERTIFICATIONS, AND PERMITS. Prior to the City's execution of this Contract and prior to the Consultant's engaging in any operation or activity set forth in this Contract, Consultant shall obtain a City of Stockton business license, which must be kept in effect during the term of this Contract. Consultant covenants that it has obtained all certificates, licenses, permits and the like required to perform the services under this Contract.

17. RECORDS AND AUDITS. City reserves the right to periodically audit all charges made by Consultant to City for services under this Contract. Upon request, Consultant agrees to furnish City, or a designated representative, with necessary information and assistance.

Consultant agrees that City or its delegate shall have the right to review, obtain, and copy all records pertaining to performance of the Contract. Consultant agrees to provide City or its delegate with any relevant information requested and shall permit City or its delegate access to its premises, upon reasonable notice, during normal business hours for the purpose of interviewing employees and inspecting and copying such books, records, accounts, and other material that may be relevant to a matter under investigation for the purposes of determining compliance with this Contract. Consultant agrees to maintain such records for a period of three years from the date that final payment is made.

18. CONFIDENTIALITY. Consultant shall exercise reasonable precautions to prevent the unauthorized disclosure and use of City reports, information, or conclusions.

19. CONFLICTS OF INTEREST. Consultant covenants that other than this Contract, Consultant has no financial interest with any official, employee, or other representative of the City. Consultant and its principals do not have any financial interest in real property, sources of income or investment that would be affected in any manner or degree by the performance of Consultant's services under this Contract. If such an interest arises, Consultant will immediately notify City.

20. WAIVER. In the event either City or Consultant at any time waive any breach of this Contract by the other, such waiver shall not constitute a waiver of any other or succeeding breach of this Contract, whether of the same or of any other covenant, condition, or obligation.

21. GOVERNING LAW. California law shall govern any legal action pursuant to this Agreement with venue for all claims in the Superior Court of the County of San Joaquin, Stockton Branch or, where applicable, in the federal District Court of California, Eastern District, Sacramento Division.

22. NO PERSONAL LIABILITY. No official or employee of City shall be personally liable to Consultant in the event of any default or breach by City or for any amount due Consultant.

23. INTEGRATION AND MODIFICATION. The response by Consultant to the Request for Proposals and the Request for Proposals on file with the City Clerk are hereby incorporated herein by reference to the extent that such documents do not differ from the provisions and terms of this Contract that shall supersede such response to Request for Proposals. This Contract represents the entire integrated agreement between Consultant and City, supersedes all prior negotiations, representations, or agreements, either written or oral, between the parties, and may be amended only by written instrument signed by Consultant and City. All exhibits and this contract are intended to be construed as a single document. Should any inconsistency occur between the specific terms of this Contract and the attached exhibits, the terms of this Contract will prevail.

24. SEVERABILITY. The provisions of this Contract are severable to the extent that should any of its provisions or terms be declared void in whole or in part by operation of law or agreement of the parties, the remainder of the provisions or terms not expressly declared void shall remain enforceable and in full effect.

25. THIRD PARTY RIGHTS. Nothing in this Contract shall be construed to give any rights or benefits to anyone other than City and Consultant.

26. AUTHORITY. The undersigned hereby represent and warrant that they are authorized by the parties to execute this Contract.

IN WITNESS WHEREOF: the parties have executed this Contract the day and year first hereinabove written.

CITY OF STOCKTON

CALLANDER ASSOCIATES

By: _____
HARRY BLACK
CITY MANAGER

By: Benjamin W. Woodside
Signature

ATTEST:

Benjamin W. Woodside, #4590
Print Name

BY: _____
ELIZA R. GARZA, CMC
CITY CLERK

Title: Vice President/Principal

APPROVED AS TO FORM:

BY: _____
DEPUTY CITY ATTORNEY

WORK PLAN

The following scope is based on the RFP and has been modified with our approach. Includes services to complete the improvements as listed in the RFP and as shown on the Concept plan for Columbus Park Renovation. The items shown in ***bold-face italics*** are documents that we would prepare as part of our tasks.

4.1 BACKGROUND RESEARCH

- 4.1.1 **Document Research** - Research and review existing topographic mapping, right-of-way maps, "as-built" plans, record maps, surveys, assessor maps, City standards, community input, improvements plans, grant application and other relevant information provided.
- 4.1.2 **Topographic Survey** – Provide services of surveyor to prepare a completed site topographic survey for the entire park site. Locate and reference survey monumentation. Include tree sizes taken from arborist report and underground utility research findings.
- 4.1.3 **Base Map** - Use above information to prepare a base map showing existing information to facilitate the design of all the necessary improvements. The base map limits shall be sufficient to cover all necessary improvements within the project area.
- 4.1.4 **Site Reconnaissance** - Conduct site reconnaissance and walk the site to visually review apparent features; document findings. photograph site and general vicinity for use in reviewing. Take soil samples on site and send to lab for horticultural suitability testing and recommendations for amendment and long-term maintenance.
- 4.1.5 **Arborist report and recommendations** - In-House Certified arborist to conduct a walk through to visually review, number and tag trees. Prepare an arborist's report to include tree inventory spreadsheet with the tree numbering system, tree genus and species, common name, height and spread, DBH, condition, suitability for future preservation, construction guidelines and any additional observations.
- 4.1.6 **Geotechnical Investigation**. Geotechnical Engineer to conduct a site visit to verify existing site conditions and limitations. Research available documents, complete a drilling program, perform laboratory testing and soil analysis, and prepare a full geotechnical investigation report to support the design.
- 4.1.7 **Permit Support** - Identify required permits to construct the project, and assist City with the permit application preparation and the negotiation of permit conditions.

4.2 ENVIRONMENTAL SERVICES – NA

- 4.3 **UTILITY COORDINATION**: Perform investigations and coordination with utility owners throughout the project development process. Be present at all necessary meeting with each utility owner as needed. Prepare project limits map and request utility information from each utility owner within the area of work.

EXHIBIT 1 EXHIBIT A

- 4.3.1 **Utility Letter "A" and "B"** - During preliminary design phase, send "A" letter and the results to be shown on base map. Provide copy to the City and the originals will be filed. Prepare Utility Letter "B" during 50% design phase to the affected utility owners for City to review and approve. Two sets of half-sized 50% plans will be provided as an attachment to the letter. Request utility owners verify utility conflicts with proposed improvements and indicate if future utilities are proposed in the area. Results obtained from the "B" letter will determine potential utility conflicts and resolve the conflicts identified. Provide copy to the City and file original.
- 4.3.2 **Utility Letter "C"** - During 100% design phase, send letter "C" to the affected utility owners for City to review and approve. Two sets of half-sized 100% plans will be provided as an attachment to the letter. The letter will indicate to the utility owners whether any changes have been made to the project plans since the Utility Letter "B" and will request written confirmation of utility relocations and utility relocation schedule. Provide copy to the City and file originals.
- 4.3.3 **Utility Company Meetings** - Consultant shall meet with utility companies as needed to ensure that conflicts are identified, and relocations performed if necessary.



CRESTVIEW PARK RENOVATION, SAN CARLOS

4.4 PLANS, SPECIFICATIONS & ESTIMATES

- 4.4.1 **Program Verification** – Based on the Concept Plan and site topographic survey/base sheet, and program review meeting with City, proceed to prepare a refined plan and exhibits that responds to the site analysis findings, verifies the design program, available project budget and looks at alternatives for pool and playground design.
- Site Plan – for the entire site showing any proposed modifications to playground, courts, amenities, sports fields, paths, trees to be protected and parking lots. Plan will be black and white for use in internal discussion with City Staff.
 - Playground – Prepare up to two (2) idea image boards of proposed of play equipment features and components.
 - Preliminary Cost Estimate – Prepare the preliminary estimate of construction costs and plan for logical development and strategies to meet budget.

PLANS, SPECIFICATIONS & ESTIMATES (PS&E'S)

Based on comments received during the design development proceed to prepare complete PS&E documents, which include design improvement plans, specifications, and Engineer's estimate. The PS&E documents shall be prepared according to current City's standards and state and county codes. Conform the design to comply with Americans with Disability Act (ADA). Sheets to be set up to City standards at an appropriate scale.

Plans are anticipated to include the following sheets:

- Title sheet
- Existing conditions and demolition plan
- Tree disposition, removal and protection plan
- Accessibility Plan
- Site construction plan – staking, finishes, signage and site furnishings
- Enlargement plans – sports courts, picnic shelter, playground,
- Civil Plans
 - Underground utilities plan
 - Grading and drainage plan
 - Erosion control and storm water management plan
- Prefabricated shade shelter plans and details
- Irrigation plan including MWELO water use calcs and documentation
- Landscape and planting plan
- Lighting and Electrical plan and details
- Construction details
- Structural details for shade shelter(as provided by shelter manufacturer)
- Technical specifications in City standard format, City provides boilerplate specifications.
- Estimate of probable construction costs
- Comment matrix and written responses

Submit electronic submittals only (pdf format) consisting of Plans, Specifications and Estimate to City via Bluebeam at the 65%, 95%, and 100% design phases for review and comments. Return Bluebeam (PDF) redlined comments. Final submittal shall incorporate all previous review comments, survey information, technical requirements, right-of-way information, utility verification/protection, minimum contractor qualifications, regulatory agency permits and mitigation monitoring requirements, any applicable encroachment permit requirements, and City requirements.

- 4.4.2 **65% PS&E Submittal** - Based on comments received in design development review, proceed to prepare construction documents and submit at 65% completion construction documents to include plans, specifications and engineers estimate. Plans will be prepared to allow for deductive and additive alternates if necessary. Submit and allow time for review.
- 4.4.3 **95% PS&E Submittal** - Based on comments received/direction in 65% submittal review, proceed to prepare construction documents and submit at 95% completion construction documents to include plans, specifications, engineers estimate and comment matrix. Plans will be prepared to allow for deductive and additive alternates if necessary. Submit and allow time for review.
- 4.4.4 **100% PS&E Submittal** - Based on comments received in 95% submittal review, proceed to prepare construction documents and submit at 100% complete construction documents to include plans, specifications, engineers estimate and comment matrix. Plans will be prepared to allow for deductive and additive alternates if necessary. Submit and allow time for review. The Consultant shall provide one electronic (pdf format) copy at 100% for final review prior to plotting mylars and documents. Additional revision may be required after the printed mylars.
- 4.4.5 **Bid Document Final PS&E Submittal** - Based on comments received in 100% submittal review, proceed to prepare final construction documents and submit Bid ready complete construction documents. Include plans, specifications, engineers estimate and comment matrix. Plans will be prepared to allow for deductive and additive alternates if necessary.

The final submittal shall include:

- One complete plan set of reproducible mylars (24"x36")
- Printed, stamped and signed specifications (word and pdf format)
- Printed, stamped and signed Engineer's cost estimate (excel and pdf format)
- Drawing files in AutoCAD and pdf format on a CD
- Resident Engineer file on a CD – including all review comments and responses, horizontal and vertical survey control information, utility conflict resolution communications, permits, City standards and requirements, design criteria and standards, pertinent calculations, and design team contact information.



- 4.5 COORDINATION MEETINGS:** Establish clear and consistent communication with the City to discuss progress of design.
- 4.5.1 **Kick-Off Meeting:** Attend a Kick-Off Meeting with City Staff and key project stakeholders. Review and refine the project scope and goals. Define the lines of communication between the design Team, City staff and project stakeholders, Review project standards, procedures and formats; Review the project schedule and budget. Obtain available as-built documents and other pertinent existing background information related to the project.
- 4.5.2 **Project Management:** Initiate project management protocols including:
- Invoicing: Submit draft invoice for approval of format, and ensure subconsultant invoicing follows a similar format for ease of processing.
 - Project Schedule – Maintain project schedule throughout the project.
- 4.5.3 **Design Review Meetings:** Attend design review meetings at each project milestone and after City has reviewed the submitted plans. Meetings are anticipated at the following:
- Program Validation Review Meeting - Completion of site analysis and site studies
 - 65%, 95% and 100% PS&E Submittal Review Meetings
- Submit meeting summaries within five (5) days of meetings with clear identification of action items, responsible parties and due dates.
- 4.5.4 **Field Meetings:** Attend up to two (2) field meetings with the City to review proposed project improvements and construction processes.
- 4.5.5 **Agency Meetings/Permits:** Coordinate and meet with San Joaquin County, and any other agencies as needed for the project to resolve all project issues. Coordinate and obtain permits from the City's Building & Life Safety Division, San Joaquin County agencies (ie. Health and Air pollution Control District), California Regional Water Quality Control Board, and other agencies necessary to construct the project.



- 4.6 DESIGN SUPPORT DURING CONSTRUCTION:** Support the City and City Project Manager during the bidding and construction phases of the project as follows:
- 4.6.1 **Prepare Letters of Clarification During Bidding:** During the bidding phase of the project, be available and respond to questions concerning the plans, specifications and estimates prior to bid opening and prepare contract addenda and letters of clarification. Provide supplemental project drawings as needed.
 - 4.6.2 **Attend Pre-Construction Meeting:** Attend pre-construction meeting with the City and contractor prior to the commencement of construction.
 - 4.6.3 **Review Submittals/Shop Drawings:** Review/comment on the contractor's submittals and shop drawings for conformance with the contract documents. Prepare submittal log.
 - 4.6.4 **Prepare RFI Responses:** Review and respond to Requests for Information (RFI) submitted by the contractor during construction.
 - 4.6.5 **Prepare Clarifications to Plans/Specifications:** Prepare clarification sketches and minor modifications to the project plans to address changes required as a result of differing field conditions.
 - 4.6.6 **Field Observations:** Visit the site during the course of construction to review the progress of construction for conformance with the contract documents (maximum of 10 field observations). Prepare construction review reports.
- 4.7 AS-BUILT DRAWINGS:** Receive contractor red-lined prepared as-builts and convert to as built record drawings in AutoCAD format. Markup original mylar sheets with revisions made during construction. As-built record drawings shall reflect all changes to improvements constructed on mylars and submit new sheets annotated/clouded as appropriate. Electronic files of the as-built drawings (in standard pdf format) and AutoCAD (dwg) shall be provided in addition to the original as-built mylars.

CONSTRUCTION SUPPORT

CALA is one of the few Northern California landscape architectural firms to have a full-time, in-house construction manager, arborist and irrigation auditor on staff. We are pleased to offer Shawn's services for construction administration, arborist, irrigation auditor services. Shawn brings to each project over 20 years of practical landscape construction and nursery experience. His role as the fulltime seasoned professional has been a valuable aid to clients' projects. For construction admin, his responsibilities are to maintain budgets and schedules, work closely with both client and contractor, and oversee construction for conformance with the contract documents.



- 4.9 PRESERVING AND PERPETUATING SURVEY MONUMENTS:** Identify, list, tie out/perform construction staking of survey monuments, and show existing survey monuments on construction plans. File all pre-construction Corner Records or Records of Survey with San Joaquin County and submit a copy to the City. The Corner Records or Record of Survey shall show monuments within the area of construction reasonably subject to removal or disturbance not shown on a recent record document. Include language in the PS&E package to preserve all monumentation affected by the work being performed in accordance with Section 8771 of the Professional Land Surveyors Act in the Business and Professions Code of the State of California.

ADDITIONAL SERVICES

All tasks not specifically noted above could be performed as additional services. These tasks would include, but not be limited to, all revisions or additional submittals required by the City or any other agency's review, other meetings, additional design studies, or other tasks not specifically noted in the foregoing. These services would be billed hourly or on a lump sum fee basis to be documented in a written amendment to this agreement.

REIMBURSABLE EXPENSES

In addition to billing for the above services, we would bill for all printing and reproduction, delivery, horticultural soils samples, and other reimbursable expenses as noted in the attached Standard Schedule of Compensation. You should establish a tentative budget for these expenses (see below Compensation Summary). These costs will be itemized on our invoice and compared monthly with the total allowances to assist you in monitoring these costs.



SOUTHSIDE PARK RENOVATION: "UNIVERSAL UNIVERSE" PLAYGROUND, SACRAMENTO

COLUMBUS PARK RENOVATIONS: PROJECT NO. WP22041

COST PROPOSAL: 03/21/2022

CALA

SUMMARY - PARK RENOVATION FEES: March 23, 2022					
	CALA	KSN	CAPITAL ENGINEERING	GEOCON	TOTAL
Task 4.1 BACKGROUND RESEARCH	\$ 15,113.00	\$ 18,230.00	\$ 1,440.00	\$ 3,940.00	\$ 38,723.00
Task 4.2 UTILITY COORDINATION	\$ 1,970.00	\$ 7,100.00	\$ 4,320.00		\$ 13,390.00
Task 4.4 PLANS, SPECIFICATIONS AND ESTIMATES	\$ 111,540.00	\$ 43,890.00	\$ 24,420.00		\$ 179,850.00
Task 4.5 COORDINATION MEETINGS	\$ 18,470.00	\$ 3,500.00	\$ 4,100.00		\$ 26,070.00
Task 4.6 DESIGN SUPPORT DURING CONSTRUCTION	\$ 23,809.00	\$ 10,510.00	\$ 4,420.00		\$ 38,739.00
Task 4.7 AS BUILT DRAWINGS	\$ 3,096.00	\$ 1,150.00	\$ 760.00		\$ 5,006.00
Task 4.8 PRESERVING AND PERPETUATING SURVEY MONUMENTS	\$ 304.00	\$ 5,720.00			\$ 6,024.00
Reimbursables/Subconsultant Administration	\$ 23,073.00	\$ 10,510.00	\$ 4,246.00	\$ 6,433.00	\$ 44,262.00
TOTAL	\$ 197,375.00	\$ 100,610.00	\$ 43,706.00	\$ 10,373.00	\$ 352,064.00
		LBE 26%			

Columbus Park: March 23, 2022	CALLANDER ASSOCIATES PRIME CONSULTANT								KSN CIVIL ENGINEERING								CAPITAL ENGINEERING ELECTRICAL ENGINEERING					GEOCON GEOTECHNICAL ENGINEERING								
	PRINCIPAL	SENIOR ASSOCIATE	DESIGNER 1	DESIGNER 2	SENIOR PROJECT ADMINISTRATOR	ARBORIST/CONSTRUCTION MANAGER	Total CALA Hours		PRINCIPAL ENGINEER	SENIOR ENGINEER	JUNIOR CIVIL ENGINEER	GIS/CAD TECHNICIAN III	SENIOR SURVEYOR	SURVEYOR	2 MAN PW FIELD CREW	1 MAN PW FIELD CREW	Total KSN Hours	Civil Fee	PRINCIPAL	SENIOR ENGINEER	SENIOR DESIGNER	Total Stanton Hours	Electrical Fee	SENIOR ENGINEER/ GEOLOGIST/ SCIENTIST	Sr. Staff Engineer/ Geologist/ Scientist	Staff Engineer/ Geologist/ Scientist	Drafting	Word Processing	Total GEOCON Hours	Geotech Fee
Billable Rates (includes Overhead & Profit) (\$/ hour)	198.00	185.00	119.00	110.00	128.00	173.00			280.00	220.00	155.00	155.00	225.00	195.00	340.00	220.00			220.00	190.00	170.00			175.00	135.00	125.00	100.00	85.00		
Task 4.1 BACKGROUND RESEARCH																														
4.1.1 Document Research		4	8		2		14	\$ 1,948.00		1	2	4		2			9	\$ 1,540.00				0	\$ -						0	\$ -
4.1.2 Topographic Survey		4	4		2		10	\$ 1,472.00				12	16		8	32	68	\$ 15,220.00				0	\$ -						0	\$ -
4.1.3 Base Map		2	8	4			14	\$ 1,762.00									0	\$ -				0	\$ -						0	\$ -
4.1.4 Site Reconnaissance	4	4	8				16	\$ 2,484.00	1	2	2						5	\$ 1,030.00		2	2	4	\$ 720.00			4			4	\$ 500.00
4.1.5 Arborist Report and Recommendations		1	4			24	29	\$ 4,813.00									0	\$ -				0	\$ -						0	\$ -
4.1.6 Geotechnical Investigation		1	2			1	4	\$ 596.00									0	\$ -				0	\$ -	2	10	8	3	2	25	\$ 3,170.00
4.1.8 Permit Support		4	8			2	14	\$ 2,038.00		2							2	\$ 440.00		2	2	4	\$ 720.00		2				2	\$ 270.00
Task 4.1 Subtotal:	4	20	42	4	4	27	101	\$ 15,113.00	1	5	4	16	16	2	8	32	84	\$ 18,230.00	0	4	4	8	\$ 1,440.00	2	12	12	3	2	31	\$ 3,940.00
Task 4.2 UTILITY COORDINATION																														
4.3 Utility Coordination																														
4.3.1 Utility Letter "A" and "B"		1	1		0.5		3	\$ 368.00	1	4	4	12					21	\$ 3,640.00		4	4	8	\$ 1,440.00						0	\$ -
4.3.2 Utility Letter "C"		1	1		0.5		3	\$ 368.00	1	2	4	4					11	\$ 1,960.00		4	4	8	\$ 1,440.00						0	\$ -
4.3.3 Meetings: Utility Company as needed		4	2		2		8	\$ 1,234.00		4	4						8	\$ 1,500.00		4	4	8	\$ 1,440.00						0	\$ -
Task 4.2 Subtotal:	0	6	4	0	3	0	13	\$ 1,970.00	2	10	12	16	0	0	0	0	40	\$ 7,100.00	0	12	12	24	\$ 4,320.00	0	0	0	0	0	0	\$ -
Task 4.4 PLANS, SPECIFICATIONS AND ESTIMATES																														
4.4.1 Design Development/Program Verification							0	\$ -									0	\$ -				0	\$ -						0	\$ -
a site plan	1	4	16	8			29	\$ 3,722.00									0	\$ -	2	12		14	\$ 2,720.00						0	\$ -
b Playground	1	4	12	8			25	\$ 3,246.00										\$ -	2	8		10	\$ 1,960.00						0	\$ -
c Preliminary Cost Estimate	1	2	12	4	2	1	22	\$ 2,865.00										\$ -	4	2		6	\$ 1,260.00						0	\$ -
4.4.2 65% Plans, Specifications and Estimates							0	\$ -										\$ -				0	\$ -						0	\$ -
a title sheet		0.5	4				5	\$ 568.50										\$ -				0	\$ -						0	\$ -
b existing conditions and demo plan		2	16				18	\$ 2,274.00										\$ -				0	\$ -						0	\$ -
c tree disposition, removal and protection plan		2	12				14	\$ 1,798.00										\$ -				0	\$ -						0	\$ -
d accessibility plan		1	8				9	\$ 1,137.00										\$ -				0	\$ -						0	\$ -
e site construction plan		8	24	0			32	\$ 4,336.00									0	\$ -				0	\$ -						0	\$ -
f enlargement plans		8	24	4			36	\$ 4,776.00										\$ -				0	\$ -						0	\$ -
g civil plans		2	4	2			8	\$ 1,066.00	2	12	18	24					56	\$ 9,710.00				0	\$ -						0	\$ -
h prefabricated restroom building drawings		2	4	2			8	\$ 1,066.00									0	\$ -				0	\$ -						0	\$ -
i shade shelter plans and details		2	4	2			8	\$ 1,066.00									0	\$ -				0	\$ -						0	\$ -
j irrigation plan; MWEL0 calcs	2	8	24	4			38	\$ 5,172.00										\$ -				0	\$ -						0	\$ -
k planting plan	1	4	24	4			33	\$ 4,234.00										\$ -				0	\$ -						0	\$ -
l lighting & electrical plan and details		2	4	2			8	\$ 1,066.00										\$ -	6	24		30	\$ 5,880.00						0	\$ -
m construction details	1	8	24	8			41	\$ 5,414.00										\$ -				0	\$ -						0	\$ -
n technical specifications		12	2		4		18	\$ 2,970.00		2	8							\$ 1,680.00	4	4		8	\$ 1,640.00						0	\$ -
o bid form					1		1	\$ 128.00										\$ -				0	\$ -						0	\$ -
p estimate of probable construction costs	1	2	8	2		1	14	\$ 1,913.00	1	2	4							\$ 1,340.00	2	2		4	\$ 820.00						0	\$ -
q matrix of comments & written responses		2	8		1		11	\$ 1,450.00	1	2	4						7	\$ 1,340.00	2	2		4	\$ 820.00						0	\$ -
r quality control checklist (each submittal)	0	4	2		1		7	\$ 1,106.00	1	4							5	\$ 1,160.00	1	2		3	\$ 600.00						0	\$ -
4.4.3 95% Plans, Specifications and Estimates	12	64	150	40	4	2	272	\$ 37,324.00	5	24	24	24					77	\$ 14,120.00	8	24		32	\$ 6,320.00						0	\$ -
4.4.4 100% Plans, Specifications and Estimates (mylars)	4	24	80	16	2	1	127	\$ 16,941.00	2	12	18	18					50	\$ 8,780.00	4	8		12	\$ 2,400.00						0	\$ -
4.4.5 Bid Submittal	2	12	24	0	2	1	41	\$ 5,901.00	1	8	12	12					33	\$ 5,760.00				0	\$ -						0	\$ -
Task 4.4 Subtotal:	26	180	490	106	17	6	825	\$ 111,539.50	13	66	88	78	0	0	0	0	228	\$ 43,890.00	35	88	0	123	\$ 24,420.00	0	0	0	0	0	0	\$ -

Columbus Park: March 23, 2022	CALLANDER ASSOCIATES PRIME CONSULTANT								KSN CIVIL ENGINEERING										CAPITAL ENGINEERING ELECTRICAL ENGINEERING					GEOCON GEOTECHNICAL ENGINEERING							
	PRINCIPAL	SENIOR ASSOCIATE	DESIGNER 1	DESIGNER 2	SENIOR PROJECT ADMINISTRATOR	ARBORIST/CONSTRUCTION MANAGER	Total CALA Hours		PRINCIPAL ENGINEER	SENIOR ENGINEER	JUNIOR CIVIL ENGINEER	GIS/CAD TECHNICIAN III	SENIOR SURVEYOR	SURVEYOR	2 MAN PW FIELD CREW	1 MAN PW FIELD CREW	Total KSN Hours	Civil Fee	PRINCIPAL	SENIOR ENGINEER	SENIOR DESIGNER	Total Stanton Hours	Electrical Fee	SENIOR ENGINEER/ GEOLOGIST/ SCIENTIST	Sr. Staff Engineer/ Geologist/ Scientist	Staff Engineer/ Geologist/ Scientist	Drafting	Word Processing	Total GEOCON Hours	Geotech Fee	
Task 4.5 COORDINATION MEETINGS																															
4.5.1 Kick Off Meeting	4	4	6		1		15	\$ 2,374.00	1	2							3	\$ 720.00	2	2		4	\$ 820.00						0		
4.5.2 Project Management	2	12			8		22	\$ 3,640.00									0	\$ -				0	\$ -						0		
4.5.3 Design Review Meetings (4)	4	12	16		4		36	\$ 5,428.00	2	4	4						10	\$ 2,060.00	8	8		16	\$ 3,280.00						0		
4.5.4 Field Meetings		8	10		2		20	\$ 2,926.00									0	\$ -				0	\$ -						0		
4.5.5 Agency Meetings/Permits	1	12	12		2		27	\$ 4,102.00	1	2							3	\$ 720.00	2	2		4	\$ 820.00						0		
Task 4.5 Subtotal:	11	48	44	0	17	0	120	\$ 18,470.00	4	8	4	0	0	0	0	0	16	\$ 3,500.00	12	12	0	24	\$ 4,100.00	0	0	0	0	0	0	\$ -	
Task 4.6 DESIGN SUPPORT DURING CONSTRUCTION																															
4.6.1 Prepare Letters of Clarification		2	8			8	18	\$ 2,706.00	1	4	4	4						\$ 2,400.00				0	\$ -						0		
4.6.2 Pre Construction Meetings		4			1	5	10	\$ 1,733.00		2								\$ 440.00				0	\$ -						0		
4.6.3 Review Submittals & Shop Drawings	1	4	8		2	16	31	\$ 4,914.00		2	6							\$ 1,370.00	2	6		8	\$ 1,580.00						0		
4.6.4 Prepare RFI Responses		4	4		2	16	26	\$ 4,240.00	1	4	8	4						\$ 3,020.00	2	4		6	\$ 1,200.00						0		
4.6.5 Prepare Clarifications to Plans/Specs		2	8		2	12	24	\$ 3,654.00	1	4	4							\$ 1,780.00				0	\$ -						0		
4.6.6 Field Observations	3	4			2	24	33	\$ 5,742.00		2	2							\$ 750.00				0	\$ -						0		
4.6.7 Substantial Completion Review					1	4	5	\$ 820.00		2	2							\$ 750.00	4	4		8	\$ 1,640.00						0		
Task 4.6 Subtotal:	4	20	28	0	10	85	147	\$ 23,809.00	3	20	26	8	0	0	0	0	0	\$ 10,510.00	8	14	0	22	\$ 4,420.00	0	0	0	0	0	0	\$ -	
Task 4.7 AS BUILT DRAWINGS																															
4.7.1 As Built Drawings		2	20			2	24	\$ 3,096.00		1	2	4					7	\$ 1,150.00		4		4	\$ 760.00						0		
Task 4.7 Subtotal:	0	2	20	0	0	2	24	\$ 3,096.00	0	1	2	4	0	0	0	0	7	\$ 1,150.00	0	4	0	4	\$ 760.00	0	0	0	0	0	0	\$ -	
Task 4.8 PRESERVING AND PERPETUATING SURVEY MONUMENTS																															
4.8.1 Preserving and Perpetuating Survey Monuments		1	1		0		2	\$ 304.00				16	4	12			32	\$ 5,720.00				0	\$ -						0		
							0	\$ -										\$ -				0	\$ -						0	\$ -	
							0	\$ -										\$ -				0	\$ -						0	\$ -	
							0	\$ -										\$ -				0	\$ -						0	\$ -	
							0	\$ -										\$ -				0	\$ -						0	\$ -	
Task 4.8 Subtotal:	0	1	1	0	0	0	2	\$ 304.00	0	0	0	16	4	12	0	0	32	\$ 5,720.00	0	0	0	0	\$ -	0	0	0	0	0	0		
SUBTOTAL LABOR:	45	277	629	110	51	120	1,232	\$ 174,301.50	23	110	136	138	20	14	8	32	407	\$ 90,100.00	55	134	16	205	\$ 39,460.00	2	12	12	3	2	31	\$ 3,940.00	
OTHER EXPENSES, FEES & REIMBURSABLES																															
Printing, postage, communication, travel expenses, project related expenses, mileage, additional subconsultant services (MEP, Structural, etc.)								\$ 13,073.00											\$ 1,500.00						\$ 300.00						
Restroom and Shade Shelter Engineering (CALA)								\$ 10,000.00											\$ -						\$ -						\$ -
Subconsultant Administration (CALA)																			\$ 9,010.00						\$ 3,946.00						\$ 394.00
SJ County EHD Perrmit (Geocon)																															\$ 1,048.80
Geotechnical Drilling Subcontractor (Geocon)																															\$ 2,875.00
Geotechnical Lab Testing (Geocon)																															\$ 2,115.00
Subtotal Reimbursables & Subconsultant Administration:								\$ 23,073.00											\$ 10,510.00						\$ 4,246.00						\$ 6,433.00
Total Labor and Reimbursables:								\$ 197,374.50											\$ 100,610.00						\$ 43,706.00						\$ 10,373.00
Total Services:								\$ 197,374.50											\$ 100,610.00						\$ 43,706.00						\$ 10,373.00

NOTE: The City of Stockton is now using the online insurance program PINS Advantage. Once you have been awarded a contract you will receive an email from the City's online insurance program requesting you to forward the email to your insurance provider(s).

Columbus Park Renovations
Project No. WP22041

Insurance Requirements for Professional Services

Contractor shall procure and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, or employees.

MINIMUM SCOPE AND LIMIT OF INSURANCE

Coverage shall be at least as broad as:

1. **Commercial General Liability** (CGL): Insurance Services Office Form CG 00 01 covering CGL on an "occurrence" basis, including products and completed operations, property damage, bodily injury and personal & advertising injury with limits no less than **\$2,000,000** per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (ISO CG 25 03 or 25 04) or the general aggregate limit shall be twice the required occurrence limit.

2. **Automobile Liability:** Insurance Services Office Form Number CA 0001 covering, Code 1 (any auto), or if Contractor has no owned autos, Code 8 (hired) and 9 (non-owned), with limit no less than **\$1,000,000** per accident for bodily injury and property damage.

3. **Workers' Compensation** insurance as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than **\$1,000,000** per accident for bodily injury or disease.
(Not required if Contractor provides written verification it has no employees)

4. **Professional Liability** (Errors and Omissions) Insurance appropriate to the Contractor's profession, with limit no less than **\$2,000,000** per occurrence or claim, \$2,000,000 aggregate. (If Claims-made, see below.)

It shall be a requirement under this agreement that any available insurance proceeds broader than or in excess of the specified minimum insurance coverage requirements and/or limits shall be available to the Additional Insured. Furthermore, the requirements for coverage and limits shall be (1) the minimum coverage and limits specified in this

Agreement; or (2) the broader coverage and maximum limits of coverage of any Insurance policy or proceeds available to the named insured; whichever is greater. No representation is made that the minimum insurance requirements of this agreement are sufficient to cover the obligations of the Contractor under this agreement.

Limits of Insurance

The limits of insurance required in this agreement may be satisfied by a combination of primary and umbrella or excess insurance. Any umbrella or excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and non-contributory basis before the City's own insurance or self-insurance shall be called upon to protect it as a named insured.

Other Insurance Provisions

The insurance policies are to contain, or be endorsed to contain, the following provisions:

Additional Insured Status

The City of Stockton, its officers, officials, employees, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the Contractor including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the Contractor's insurance (at least as broad as ISO Form CG 20 10 11 85 or if not available, through the addition of **both** CG 20 10, CG 20 26, CG 20 33, or CG 20 38; **and** CG 20 37 if a later edition is used). Additional insured Name of Organization shall read "City of Stockton, its officers, officials, employees, and volunteers." Policy shall cover City of Stockton, its officers, officials, employees, and volunteers for all locations work is done under this contract.

Primary Coverage

The Additional Insured coverage under the Contractor's policy shall be "primary and non-contributory" and will not seek contribution from the City's insurance or self-insurance and shall be at least as broad as CG 20 01 04 13. The City of Stockton does not accept endorsements limiting the Contractor's insurance coverage to the sole negligence of the Named Insured.

Notice of Cancellation

Each insurance policy required above shall state that **coverage shall not be canceled, except with notice to the City of Stockton.**

Waiver of Subrogation

Contractor hereby grants to City of Stockton a waiver of any right to subrogation which any insurer of said Contractor may acquire against the City of Stockton by virtue of the payment of any loss under such insurance. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City of Stockton has received a waiver of subrogation endorsement from the insurer. **The Workers' Compensation policy shall be endorsed with a waiver of subrogation** in favor of the City of Stockton for all work performed by the Contractor, its employees, agents and subcontractors.

Self-Insured Retentions

All Self-insured retentions must be disclosed to Risk Management for approval and shall not reduce the limits of liability. The City of Stockton may require the Contractor to purchase coverage with a lower retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or City of Stockton.

Acceptability of Insurers

Insurance is to be placed with insurers with a current A.M. Best's rating of no less than A:VII, unless otherwise acceptable to the City of Stockton.

Claims Made Policies (note – applicable only to professional liability)

If any of the required policies provide coverage on a claims-made basis:

1. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.
2. Insurance must be maintained and evidence of insurance must be provided **for at least five (5) years after completion of the contract of work.**
3. If coverage is canceled or non-renewed, and not **replaced with another claims-made policy form with a Retroactive Date** prior to the contract effective date, the Contractor must purchase "extended reporting" coverage for a minimum of **five (5)** years after completion of contract work.

Verification of Coverage

Contractor shall furnish the City of Stockton with original certificates and amendatory endorsements or copies of the applicable policy language effecting coverage required by this clause. All certificates and endorsements are to be received and approved by the City of Stockton Risk Services before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the Contractor's obligation to provide them. The City of Stockton reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

Contractor shall, prior to the commencement of work under this Agreement, provide the City of Stockton with a copy of its declarations page(s) and endorsement page(s) for each of the required policies.

Subcontractors

Contractors shall require and verify that all subcontractors, or other parties hired for this work, purchase and maintain coverage for indemnity and insurance requirements as least as broad as specified in this agreement to the extent they apply to the scope of the subcontractor's work with the same certificate of insurance requirements and naming as additional insureds all parties to this contract. Contractor shall include the following language in their agreement with Subcontractors: *"Subcontractors hired by Contractor agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under the contract documents and provide a valid certificate of insurance and the required endorsements included in the agreement as proof of compliance prior to commencement of any work and to include this same requirement for any subcontractors they hire for this work. A copy of the owner contract document indemnity and insurance provisions will be furnished to the subcontractor upon request."* Contractor shall provide proof of such compliance and verification to the City upon request.

Special Risks or Circumstances

City of Stockton reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

Certificate Holder Address

Proper address for mailing certificates, endorsements and notices shall be:

City of Stockton Attn: City Risk Services
400 E Main Street, 3rd Floor – HR
Stockton, CA 95202

**CITY OF STOCKTON, CALIFORNIA
CITY MANAGER ADMINISTRATIVE DIRECTIVE**

Subject: DISCRIMINATION AND HARASSMENT POLICY	Directive No. HR-15	Page No. 1 of 14
	Effective Date: 5/1/2015	Revised From: 7/27/09 4/6/09 3/1/2010 (see below)

PER-015 (Sexual Harassment in the Workplace) revised from 10/21/94, 5/1/95, 1/1/98
PER-037 (Sexual Harassment Investigative Procedures) revised from 2/15/93

I. PURPOSE

The purpose of this policy is to reaffirm the City's commitment to demonstrating respect for all individuals by strictly prohibiting discrimination and harassment, including sexual harassment in the workplace. This policy defines prohibited behavior and conduct, and sets forth a procedure for reporting, investigating and resolving complaints of discrimination, harassment, in the workplace, including retaliation and hostile work environment.

II. POLICY

- A. The City of Stockton prohibits any form of discrimination and/or harassment of any person based on race, religious creed, color, national origin, ancestry, military and veterans status, physical or mental disability, medical condition, genetic characteristics or information, denial of family and medical care leave, marital status, sexual orientation, sex (including gender, gender identity, gender expression, transgender, pregnancy, childbirth and breastfeeding), political affiliation, age (40 and older), concerted labor activity, or any other category or attribute consistent with state or federal law. All such discrimination and harassment is unlawful and shall not be tolerated. In addition, under the federal Affordable Care Act (ACA), the City of Stockton prohibits discrimination and/or harassment, or retaliation against an employee who obtains coverage, receives a tax credit or subsidy through the Health Care "Market Place" or "Exchange."
- B. It is an unlawful employment practice to discriminate against or to harass an unpaid intern or volunteer on the basis of any legally protected classification unless an exception applies, such as a bona fide occupational qualification.
- C. The City will neither tolerate nor condone discrimination and/or harassment of employees by managers, supervisors, co-workers, or non-employees with whom City employees have a business service, or professional relationship.
- D. All City employees and non-employees share a responsibility to assist in

**CITY OF STOCKTON, CALIFORNIA
CITY MANAGER ADMINISTRATIVE DIRECTIVE**

Subject: DISCRIMINATION AND HARASSMENT POLICY	Directive No. HR-15	Page No. 2 of 14
	Effective Date: 5/1/2015	Revised From: 7/27/09 4/6/09 3/1/2010 (see below)

PER-015 (Sexual Harassment in the Workplace) revised from 10/21/94, 5/1/95, 1/1/98
PER-037 (Sexual Harassment Investigative Procedures) revised from 2/15/93

maintaining an employment environment free of discrimination and harassment. This policy applies to all aspects of City employment, including, but not limited to, hiring, reassignment, placement, promotion, employment action, disciplinary action, layoff, reemployment, transfer, leave of absence, compensation and benefits, training; or other terms of treatment of that person in an unpaid internship, or another limited duration program to provide unpaid work experience for that person, or the harassment of an unpaid intern or volunteer.

- E. All allegations of discrimination and/or harassment shall be investigated immediately by the City, in accordance with this policy. If it is determined that any prohibited activity has occurred, remedial action shall be taken. Such action may include discipline up to and including discharge. In addition, under applicable law, individual supervisors and employees may be subject to personal liability and/or punitive damages in any litigation arising as a result of such conduct.
- F. All new hires shall attend harassment awareness training, and supervisors and managers shall attend harassment awareness and prevention training for supervisors every two years.
- G. The City of Stockton prohibits retaliation against any employee or non-employee by another employee, non-employee, supervisor, or manager for reporting, filing, testifying, assisting or participating in any manner in any investigation, proceeding, or hearing conducted by the employer or a federal or state enforcement agency.
- H. This policy applies to all officials, employees, volunteers, unpaid interns, agents, or contractors of the City.
- I. This policy shall be administered by the Director of Human Resources.

**CITY OF STOCKTON, CALIFORNIA
CITY MANAGER ADMINISTRATIVE DIRECTIVE**

Subject: DISCRIMINATION AND HARASSMENT POLICY	Directive No. HR-15	Page No. 3 of 14
	Effective Date: 5/1/2015	Revised From: 7/27/09 4/6/09 3/1/2010 (see below)

PER-015 (Sexual Harassment in the Workplace) revised from 10/21/94, 5/1/95, 1/1/98
PER-037 (Sexual Harassment Investigative Procedures) revised from 2/15/93

III. DEFINITION AND EXAMPLES OF DISCRIMINATION AND HARASSMENT

- A. "Discrimination," as used in this policy, is any action, behavior, practice, or process that is intended to deny, or results in the denial of, employment rights, privileges, or benefits because of a person's race, religious creed, color, national origin, ancestry, military and veterans status, physical or mental disability, medical condition, genetic characteristics or information, denial of family and medical care leave, marital status, sexual orientation, sex (including gender, gender identity, gender expression, transgender, pregnancy, childbirth and breastfeeding), political affiliation, age (40 and older), concerted labor activity, or any other prohibition identified under state and federal law. The following are examples of conduct that may constitute discrimination:
1. Soliciting applications from a source where all or most of potential workers are of the same race or color.
 2. Considering a person's gender as the basis for differences in pay, work assignments, performance evaluations, training, discipline, or any other area of employment; and
 3. Questioning a job applicant about the existence, nature and severity of a disability.
- B. "Harassment," as used in this policy, consists of any conduct affecting another person because of his or her race, religious creed, color, national origin, ancestry, military and veterans status, physical or mental disability, medical condition, genetic characteristics or information, denial of family and medical care leave, marital status, sexual orientation, sex (including gender, gender identity, gender expression, transgender, pregnancy, childbirth and breastfeeding), political affiliation, age (40 and older), concerted labor activity, or any other category or attribute identified under state and federal law when such conduct has the purpose or the effect of: (1) creating an intimidating, hostile or offensive work environment; (2) unreasonably interfering with the employee's or non-employee's work performance; or (3)

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otherwise adversely affecting an employee's or non-employee's employment opportunities.

Harassment may take many forms, including, but not limited to, the following examples:

1. Verbal Harassment: Epithets, derogatory and offensive comments or slurs based on race, religion, color, national origin, ancestry, physical or mental disability, marital status, pregnancy, medical condition, gender, sexual orientation, political affiliation, age, or any other category or attribute identified under state and federal law.
 2. Physical Harassment: Assault, impeding or blocking movement that results in the physical interference with normal work or movement on the basis of race, religion, color, national origin, ancestry, physical or mental disability, marital status, pregnancy, medical condition, gender, sexual orientation, political affiliation, age, or any other category or attribute identified under state and federal law.
 3. Visual Harassment: The displaying of posters, photography, notices, bulletins, e-mails, cartoons or drawings with derogatory and offensive content based on race, religion, color, national origin, ancestry, physical or mental disability, marital status, pregnancy, medical condition, gender, sexual orientation, political affiliation, age, or any other category or attribute identified under state and federal law.
- C. "Sexual harassment," as used in this policy, is a subcategory of harassment, and is specifically defined by law as unwanted sexual advances, requests for sexual favors or visual, verbal or physical conduct of a sexual nature when:
1. Submission to such conduct is made a term or condition of employment; or
 2. Submission to or rejection of such conduct is used as a basis for employment decisions affecting the individual; or

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3. Such conduct has the purpose or effect of unreasonably interfering with an employee's or non-employee's work performance or creating an intimidating, hostile or offensive working environment because of the persistent, severe or pervasive nature of the conduct.

Examples of Sexual Harassment include, but are not limited to the following:

- a. Unwelcome sexual overtures or propositions.
- b. Offering employment benefits or status in exchange for sexual favors.
- c. Making or threatening retaliation after a negative response to sexual advances.
- d. Visual conduct such as leering, making sexual gestures, displaying sexually suggestive objects or pictures, cartoons, calendars or posters.
- e. Verbal conduct such as using epithets or slurs, telling sexually explicit jokes, or making derogatory or suggestive comments about a person's body or dress.
- f. Written communications of a sexual nature distributed in hard copy, soft copy or via a computer network.
- g. Verbal abuse of a sexual nature, graphic verbal commentary about an individual's body, sexually degrading words to describe an individual, suggestive or obscene letters, notes or invitations.
- h. Physical conduct such as touching, assaulting, impeding or blocking movements.

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- i. Retaliation for making harassment reports or threatening to report harassment.

D. Affordable Care Act (ACA) Anti-Retaliation

Pursuant to section §1558 of the Affordable Care Act, the City prohibits discrimination or retaliation towards any employee who:

1. Receives a health insurance tax credit or subsidy through the Health Care "Marketplace" or "Exchange", by which can trigger a penalty payable by the employer;
2. Reports potential violations of protections afforded under Title I of the Act, which provides guaranteed availability protections among other things;
3. Testifies in a proceeding concerning such violation;
4. Assists or participates in a proceeding concerning a violation; or
5. Objects to, or refuses to participate in, any activity, policy, practice, or assigned task that the employee reasonably believes to be in violation of any provision of the Title I of the Act.

An employee who believes that he or she has been discharged or otherwise discriminated against in violation of section §1558 of the Affordable Care Act may seek relief in accordance with the procedures, notifications, burdens of proof, remedies, and statutes of limitation set forth in section 2087(b) of title 15, United States Code.

IV. REPORTING AND COMPLAINT PROCEDURES

A. Immediate Action Required

The City's reporting and complaint procedures provide for an immediate, thorough and objective investigation of discrimination or harassment claims, appropriate disciplinary action taken against any person found to have engaged in prohibited behavior, and appropriate alternative remedies to any

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employee or non-employee subject to discrimination or harassment. To accomplish this, such incidents must be reported immediately to a supervisor or manager.

1. Employee's and Non-Employee's Responsibilities when Subjected to Discrimination and/or Harassment
 - a. Employees or non-employees who believe they have been subjected to discrimination or harassment, or are aware of discrimination or harassment against others, shall report the situation immediately to his/her supervisor or manager, except as specified in subsection (b), below. Employees and non-employees shall report any such incidents occurring in the workplace, whether committed by coworkers, supervisors or managers, or third persons doing business with the City, such as customers or vendors, or other non-employees. If comfortable doing so, an employee or non-employee who has a complaint of discrimination or harassment is encouraged to directly inform the person(s) engaging in the behavior that such conduct is offensive and insist the behavior to stop.
 - b. Employees and non-employees must immediately contact a supervisor or manager to register a complaint of discrimination or harassment, unless that supervisor or manager is the individual engaging in the unwanted behavior. In that case, the employee or non-employee may contact someone at the next supervisory level. If the employee or non-employee feels uncomfortable dealing directly with his or her immediate supervisor or manager, he or she may contact the department head, or the Director of Human Resources (or either of their designees) to register a complaint of discrimination or harassment.
 - c. Employees and non-employees may file a formal complaint of harassment or discrimination with their department head or

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with Human Resources. To assist the City in conducting a thorough investigation, complaints shall be submitted in writing and shall include specific details of the incident(s), the names of the individuals involved, the names of any witnesses, and any documentary evidence (notes, pictures, cartoons, etc.) that will corroborate the allegations.

- d. Employees and non-employees shall immediately report any retaliation to a supervisor, manager, department head or Director of Human Resources (or designee). All retaliation complaints shall be immediately, objectively and thoroughly investigated in accordance with the investigation procedures. If a report of retaliation is substantiated, appropriate disciplinary action, up to and including discharge shall be taken.

2. Supervisor's or Manager's Responsibilities to Eliminate Discrimination and/or Harassment

- a. A supervisor or manager is responsible for enforcing the City's discrimination and harassment policy. Supervisors or managers must ensure that all employees and non-employees are aware of the City's policy through open discussion of the policy at staff meetings and by posting the policy in a conspicuous location accessible to all staff members.
- b. A supervisor or manager shall be cognizant of employees' and non-employees' behavior and shall not permit any employee or non-employee under their supervision to be subjected to or engage in any conduct prohibited by this policy.
- c. A supervisor or manager who observes conduct prohibited by this policy shall immediately direct the employee or non-employee to cease the conduct.

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- d. A supervisor or manager who receives a complaint of prohibited conduct is required to take the complaint seriously, and report the matter immediately to the department head; be supportive of the complainant; ensure there is no retaliation against the complainant; conduct an internal fact-finding review into the allegations; obtain as much detailed information as possible; thoroughly document the findings; communicate in written form to the parties the resolution of the complaint; and report to and consult with the Human Resources Department promptly, without delay.
- B. Confidentiality. The City will make every effort to protect the privacy and confidentiality of all parties involved, as well as any information and/or documentation obtained, to the extent possible consistent with a thorough investigation.
- C. Penalty for Non-Compliance. The City shall take disciplinary action, up to and including discharge, against any supervisor or manager who fails in his/her responsibility to take immediate action in response to an employee's or non-employee's complaint of discrimination or harassment. Further, such disciplinary action shall be taken against a supervisor or manager who fails to stop discriminatory or harassing conduct committed in his/her presence or to stop such conduct about which the supervisor or manager has knowledge.

V. INVESTIGATION PROCEDURES

A. Determination of Responsibility for Investigation

If a formal complaint is filed with the department head or the Director of Human Resources (or either of their designees), the department head and the Director of Human Resources shall consult with one another to determine whether the department or Human Resources shall conduct the fact-finding investigation into the allegations. Either the department head or the Director of Human Resources (or either of their designees), depending on who is

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responsible for the investigation, shall issue written notification to the complainant and alleged harasser(s). The notification shall specify the nature of the complaint, and inform the parties that an investigation into the allegations of discrimination and/or harassment shall be conducted.

B. Investigative Guidelines

The investigation shall include the following steps taken in the order best suited to the circumstances:

1. Identify and preserve the evidence.
2. Confirm the name and position of the complainant. Interview the complainant.
3. Allow the complainant the opportunity to place the complaint in writing.
4. Obtain the identity of the alleged harasser(s).
5. Obtain as many details as possible regarding the incident(s) that prompted the complaint, including the number of occurrences, dates, times, locations, and witnesses (if applicable).
6. Ascertain how the complainant felt about the alleged incident when it occurred; complainant's response(s) to the alleged behavior; and witness statements (if applicable).
7. Ascertain if any threats or promises were made in connection with the alleged harassment.
8. Ascertain if the complainant knows of or suspects that there are other victims of harassment by the same person(s).
9. Ascertain whether the complainant has spoken to anyone, especially

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supervisors, about the harassment.

10. Ascertain what resolution would be acceptable to the complainant.
11. Interview the alleged harasser to get his or her side of the story, including any possible motivation for a false allegation.
12. Interview witnesses who were identified by the complainant regarding the alleged harasser or other persons identified during the investigation.
13. Interview witnesses who were identified by the alleged harasser or other persons identified during the investigation.
14. Advise all participants that the investigation is "confidential" and not to engage in any retaliatory conduct, as such conduct is subject to disciplinary action up to and including discharge. Confidentiality will be maintained to the extent possible. An individual who is interviewed during the course of an investigation is prohibited from discussing the substance of the interview, except as otherwise directed by a supervisor or the Director of Human Resources. Any individual who discusses the content of an investigatory interview will be subject to discipline or other appropriate sanction.
15. Conduct follow-up interviews, if warranted.
16. Prepare report of findings and discuss with management and designated legal staff.

VI. RESPONDING TO THE COMPLAINT

- A. Following the completion of the fact-finding investigation, either the department head or the Director of Human Resources (or either of their designees), depending on who is responsible for the investigation, shall

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make a report of findings, along with a recommendation regarding the appropriate remedial action to be taken, if warranted. The recommendation shall be made after reviewing the findings of the investigation, giving consideration to all factual information, the nature of the alleged conduct, and the totality of the circumstances. If the investigation was conducted by the Director of Human Resources, or designee, the Director, or designee, shall confer with the affected department head and both shall concur on the remedial action to be taken, if any. If the investigation was conducted by the department head, the department head shall confer with the Director of Human Resources prior to making the report of findings and both shall concur on the remedial action to be taken, if any.

- B. If either the department head or the Director of Human Resources does not concur with the findings and recommendation of the other, the City Attorney (or designee) shall review and resolve the matter in dispute.
- C. Report of findings and recommendation shall be treated as a confidential document and no other distribution shall be made without first consulting with the City Attorney's Office. A completed investigation report will not be disclosed, except as it is deemed necessary to support a disciplinary action, to take remedial action, to defend the City in adversarial proceedings, or to comply with the law or court order.
- D. Either the department head or the Director of Human Resources (or either of their designees), depending on who is responsible for the investigation shall provide a written response to the complainant and the person alleged to have committed the misconduct, discrimination and/or harassment. The response shall include a copy of the City's discrimination and harassment policy and a memorandum indicating the City's determination as to whether the complaint is:
 - 1. Unsustained: The investigation failed to disclose sufficient evidence to substantiate the allegation(s).
 - 2. Unfounded: The investigation proved that the act(s) or omission(s)

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complained of did not occur. The finding also applies when the individual employee(s) named in the complaint were not involved in the act(s) or omission(s) alleged.

3. Sustained: The investigation disclosed sufficient evidence to substantiate the allegation(s) made in the complaint; appropriate action will be taken.
- E. Details regarding any specific fact-findings or disciplinary action to be taken will not be communicated to the complainant. The City Attorney shall review the response for legal sufficiency before dissemination.
- F. The City shall close and retain the investigation file, in accordance with applicable laws, regulations, and City policy regarding retention of City records.

VII. DISCIPLINE

Disciplinary action imposed as a result of any investigation conducted pursuant to this policy shall be commensurate with the severity of the offense, up to and including discharge, even for a first offense.

VIII. ALTERNATIVE REMEDIES

If upon exhausting all internal remedies to file, investigate, and respond to a charges of discrimination/harassment, pursuant to title VII of the Federal Civil Rights Act of 1964 (42 U.S.C §§ 2000e *et seq.*), any person has a right to file a charge of discrimination/harassment with the Equal Employment Opportunity Commission ("EEOC"). In addition, pursuant to the California Fair Employment and Housing Act (Gov. Code §§ 12900 – 12996.) a person may also file a complaint of discrimination/harassment with the California Department of Fair Employment and Housing ("DFEH"). Employees or non-employees who believe that they have been subjected to discrimination/harassment may file a complaint with either of these

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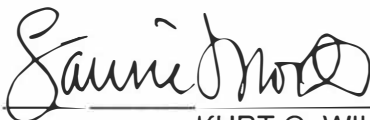
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agencies. Both the EEOC and DFEH serve as neutral fact-finders and attempt to assist parties in resolving disputes voluntarily.

IX. COMMUNICATION OF POLICY

This policy shall be provided to all managers, supervisors, employees, volunteers, unpaid interns, agents or contractors of the City and shall be posted in the appropriate places. All employees shall participate in City approved harassment awareness training as directed by management or Human Resources; and all supervisors, as required by law, shall participate in City approved interactive harassment awareness training and education sessions at least once every two years, or as otherwise specified by law.

APPROVED:


KURT O. WILSON
CITY MANAGER

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