



September 20, 2016

Scott Meadors, Captain
Stockton, City of
22 East Market Street
Stockton, CA 95202

Subject: **NOTIFICATION OF APPLICATION APPROVAL**
Sexual Assault Law Enforcement Specialized Units Program
Subaward #: ST16 02 8019, Cal OES ID: 077-75000

Dear Cpt. Meadors:

Congratulations! The California Governor's Office of Emergency Services (Cal OES) has approved your application in the amount of \$204,500, subject to Budget approval. A copy of your approved subaward is enclosed for your records.

Cal OES will make every effort to process payment requests within 45 days of receipt.

This subaward is subject to the Cal OES Subrecipient Handbook. You are encouraged to read and familiarize yourself with the Cal OES Subrecipient Handbook, which can be viewed on Cal OES website at www.caloes.ca.gov.

Any funds received in excess of current needs, approved amounts, or those found owed as a result of a close-out or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal OES.

Should you have questions on your subaward please contact your Program Specialist.

VSPS Grants Processing

Enclosure

c: Subrecipient's file

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GRANT SUBAWARD FACE SHEET

The California Governor's Office of Emergency Services (Cal OES), makes a Grant Subaward of funds set forth to the following:

1. Subrecipient: <u>City of Stockton ✓</u>		1a. DUNS#: <u>608173761 ✓</u>	
2. Implementing Agency: <u>Stockton Police Department ✓</u>		2a. DUNS#: <u>608173761</u>	
3. Implementing Agency Address: <u>22 E Market St</u>		<u>Stockton</u>	<u>95202-2876</u>
Street		City	Zip+4
4. Location of Project: <u>Stockton</u>		<u>San Joaquin</u>	<u>95202-2876</u>
City		County	Zip+4
5. Disaster/Program Title: <u>SEXUAL ASSAULT LE SPECIALIZED UNITS</u>		6. Performance Period: <u>7/1/16</u> to <u>6/30/17 ✓</u>	
7. Indirect Cost Rate: <u>14</u> ☒ N/A; ☐ 10% de minimis; ☐ Federally Approved ICR _____%			

28
2016

13. This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

14. Official Authorized to Sign for Subrecipient: 15. Federal Employer ID Number: 946000436

Name: KURT O. WILSON Title: CITY MANAGER

Telephone: 2099378212 FAX: 2099377149 Email: City.Manager@stocktonca.gov
(area code) (area code)

Payment Mailing Address: 425 N. El Dorado St. City: Stockton Zip+4: 95202-2876

Signature: Date: 10/29/2016

[FOR Ca] OES USE ONLY

I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

_____ 9/13/16
 Cal OES Fiscal Officer PC Date

_____ 9/19/16
 Cal OES Director (or designee) Date

Yr: 2016-17 / Chapter: 23/ PCA No: 18416
Item: 0690-102-0890 Component: 40.20.161
FAIN #: TBD CFDA#: 16.588
Federal Award Dates: 07/01/16 to 06/30/18
Fund: Federal Trust
Program: Sexual Assault Law Enforcement Specialized Units Program
Match Req.: 25% C/K based on TPC
Project No.: 16VAWA Amount: \$206,000

RECEIVED
JUL 13 2016
BY: 618825

CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES SUPPLEMENTAL GRANT SUBAWARD INFORMATION

1. Cal OES Contact Information Section:

Governor's Office of Emergency Services
Mark S. Ghilarducci, Director
3650 Schriever Avenue
Mather, CA 95655
(916) 845-8506 phone • (916) 845-8511 fax

2. Federal Awarding Agency Section:

Fund Year	Federal Program Fund / CFDA #	Federal Awarding Agency	Total Federal Award Amount	Total Local Assistance Amount
2016	Violence Against Women Act (VAWA) / 16.588	Office on Violence Against Women	\$14,798,873	\$13,318,986
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$

3. Project Description Section:

- Project Acronym (Please choose from drop down):
Sexual Assault Law Enforcement Specialized Units Program (ST)
- Project Description (Please type the Project Description):

Provides funding to create or enhance specialized units to provide a coordinated response to adolescent (age 11 through 17) and adult victims of sexual assault.

4. Research & Development Section:

- Is this Subaward a Research & Development grant? Yes ☐ No ☒

SPECIAL CONDITION

Grant Subaward No. ST16 02 8019 is hereby approved with the following condition:

- The 2016 VAWA Funds in the amount of \$ 204,500 cannot be expended until the FY 2016/17 Federal VAWA awards is received by Cal OES.

Should the Federal VAWA award(s) be reduced, you will be notified and required to amend the Subaward.

Failure to comply with these requirements may result in the withholding and disallowance of grant payments, the reduction or termination of the Grant Subaward and/or the denial of future grant funds.

PROJECT CONTACT INFORMATION

Recipient City of Stockton Grant Number ST16 02 8019 LF

Provide the name, title, address, telephone number, and e-mail address for the project contacts named below. **NOTE: If you use a PO Box address, a street address is also required for package delivery and site visit purposes.**

1. The **Project Director** for the project:

Name: Scott Meadors Title: Captain
 Telephone #: (209) 937-5015 Fax#: (209) 937-8474 Email Address: Scott.Meadors@stocktonca.gov
 Address/City/Zip: 22 E. Market Street, Stockton CA 95202

2. The **Financial Officer** for the project:

Name: Erin Mettler Title: Fiscal Affairs/Planning & Research Manager
 Telephone #: (209) 937-8533 Fax#: (209) 937-8896 Email Address: Erin.Mettler@stocktonca.gov
 Address/City/Zip: 22 E. Market Street, Stockton CA 95202

3. The **person** having **Routine Programmatic** responsibility for the project:

Name: Eric Kane Title: Lieutenant
 Telephone #: (209) 937-8577 Fax#: (209) 937-8474 Email Address: Eric.Kane@stocktonca.gov
 Address/City/Zip: 22 E. Market Street, Stockton CA 95202

4. The **person** having **Routine Fiscal Responsibility** for the project:

Name: Jeanetta Ramirez Title: Program Manager II
 Telephone #: (209) 937-8846 Fax#: (209) 937-8896 Email Address: Jeanetta.Ramirez@stocktonca.gov
 Address/City/Zip: 22 E. Market Street, Stockton CA 95202

5. The **Executive Director** of a nonprofit organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

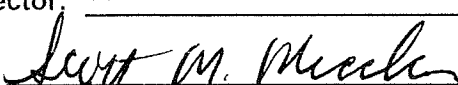
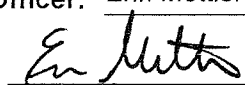
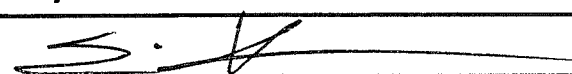
Name: Eric Jones Title: Chief of Police
 Telephone #: (209) 937-8217 Fax#: (209) 937-8894 Email Address: Patricia.Hunter@stocktonca.gov
 Address/City/Zip: 22 E. Market Street, Stockton CA

6. The **Official Designated** by the Governing Board to enter into the Grant Award Agreement for the city/county or Community-Based Organization, as stated in Block 14 of the Grant Award Face Sheet:

Name: Kurt O. Wilson Title: City Manager
 Telephone #: (209) 937-8294 Fax#: (209) 937-7149 Email Address: City.Manager@stocktonca.gov
 Address/City/Zip: 425 N. El Dorado Street, Stockton CA 95202

7. The **chair** of the **Governing Body** of the recipient:

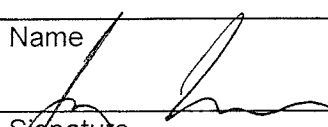
Name: Anthony Silva Title: Mayor
 Telephone #: (209) 937-8499 Fax#: (209) 937-7149 Email Address: Mayor@stocktonca.gov
 Address/City/Zip: 425 N. El Dorado Street, Stockton CA 95202

SIGNATURE AUTHORIZATIONGrant Award #: ST/6 02 8019 JFGrant Recipient: City of StocktonImplementing Agency: Stockton Police Department*The **Project Director** and **Financial Officer** are **REQUIRED** to sign this form.*Project Director: Scott MeadorsSignature: Date: 7/12/16*Financial Officer: Erin MettlerSignature: Date: 7/12/16The following persons are authorized to sign for the
Project Director

Signature

Eric Kane

Name



Signature

Larry Lane

Name

Signature

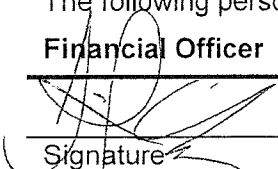
Name

Signature

Name

Signature

Name

The following persons are authorized to sign for the
Financial Officer

Jeanetta Ramirez

Name

Signature

Name

Signature

Name

Signature

Name

Signature

Name

CERTIFICATION OF ASSURANCE OF COMPLIANCE
With Statutory Requirements of the Violence Against Women Act (VAWA) Fund As Amended,
Services*Training*Officers*Prosecutors (STOP) Formula Grant Program

I, Kurt O. Wilson hereby certify that
 (official authorized to sign Subaward; same person as Section 14 on Subaward Face Sheet)

SUBRECIPIENT: City of Stockton

IMPLEMENTING AGENCY: Stockton Police Department

PROJECT TITLE: Sexual Assault Law Enforcement Specialized Units Program

is responsible for reviewing the *Subrecipient Handbook* and adhering to all of the Subaward requirements (state and/or federal) as directed by Cal OES including, but not limited to, the following areas:

I. Federal Grant Funds

Subrecipients expending \$750,000 or more in federal grant funds annually are required to secure an audit pursuant to OMB Uniform Guidance 2 CFR Part 200, Subpart F and are allowed to utilize federal grant funds to budget for the audit costs. See Section 8000 of the Subrecipient Handbook for more detail.

- ☒ The above named Subrecipient receives \$750,000 or more in federal grant funds annually.
- ☐ The above named Subrecipient does not receive \$750,000 or more in federal grant funds annually.

II. Equal Employment Opportunity – (*Subrecipient Handbook Section 2151*)

It is the public policy of the State of California to promote equal employment opportunity by prohibiting discrimination or harassment in employment because of race, religious creed, color, national origin, ancestry, disability (mental and physical) including HIV and AIDS, medical condition (cancer and genetic characteristics), marital status, sex, sexual orientation, denial of family medical care leave, denial of pregnancy disability leave, or age (over 40). **Cal OES-funded projects certify that they will comply with all state and federal requirements regarding equal employment opportunity, nondiscrimination and civil rights.**

Please provide the following information:

Equal Employment Opportunity Officer: Deanna Solina

Title: Director of Human Resources

Address: 400 E. Main St. 3rd Floor Stockton, CA 95202

Phone: 209-937-8233

Email: deanna.solina@stocktonca.gov

III. Drug-Free Workplace Act of 1990 – (*Subrecipient Handbook, Section 2152*)

The State of California requires that every person or organization subawarded a grant or contract shall certify it will provide a drug-free workplace.

IV. California Environmental Quality Act (CEQA) – (*Subrecipient Handbook, Section 2153*)

The California Environmental Quality Act (CEQA) (*Public Resources Code, Section 21000 et seq.*) requires all Cal OES funded projects to certify compliance with CEQA. Projects receiving funding must coordinate with their city or county planning agency to ensure that the project is compliance with CEQA requirements.

V. Lobbying – (*Subrecipient Handbook Section 2154*)

Cal OES grant funds, grant property, or grant funded positions shall not be used for any lobbying activities, including, but not limited to, being paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.

VI. Debarment and Suspension – (*Subrecipient Handbook Section 2155*)

(This applies to federally funded grants only.)

Cal OES funded projects must certify that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department of agency.

VII. Proof of Authority from City Council/Governing Board

The above named organization (Applicant) accepts responsibility for and will comply with the requirement to obtain a signed resolution from the City Council/Governing Board in support of this program. The Applicant agrees to provide all matching funds required for said project (including any amendment thereof) under the Program and the funding terms and conditions of Cal OES, and that any cash match will be appropriated as required. It is agreed that any liability arising out of the performance of this Subaward, including civil court actions for damages, shall be the responsibility of the grant Subrecipient and the authorizing agency. The State of California and Cal OES disclaim responsibility of any such liability. Furthermore, it is also agreed that grant funds received from Cal OES shall not be used to supplant expenditures controlled by the City Council/Governing Board.

The Applicant is required to obtain a signed resolution from the City Council/Governing Board illustrating that the official executing this agreement is, in fact, authorized to do so. The Applicant is also required to maintain the signed resolution on-site, and a copy must be readily available upon request by Cal OES.

VIII. Civil Rights Compliance

The subrecipient complies will all laws that prohibit excluding, denying or discriminating against any person based on actual or perceived race, color, national origin, disability, religion, age, sex, gender identity, and sexual orientation in both the delivery of services and employment practices and does not use federal financial assistance to engage in explicitly religious activities.

IX. Special Condition for Grant Subaward with Violence Against Women Act (VAWA) Funds

- **Filing Costs for Criminal Charges and Protection**

Its laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence offense, dating violence, sexual assault, or stalking offense, or in connection with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, dating violence, sexual assault or stalking, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal, or local jurisdiction.

- **Forensic Medical Examination Payment Requirement for Victims of Sexual Assault**

The state or territory, Indian tribal government, unit of local government, or another governmental entity incurs the full out-of-pocket cost of forensic medical exams for victims of sexual assault, coordinates with health care providers in the region to notify victims of sexual assault of the availability of rape exams at no cost to the victims, and does not require a victim of sexual assault to participate in the criminal justice system or cooperate with law enforcement in order to be provided with a forensic medical exam, or to be reimbursed for charges incurred on account of such an exam, or both.

- **Judicial Notification**

The State's or unit of local government's judicial administrative policies and practices include notification to domestic violence offenders of the requirements delineated in section 922(g)(8) and (g)(9) of title 18, United States Code, and any applicable related Federal, State, or local laws.

- **Polygraph Testing Prohibition**

Its laws, policies, or practices ensure that no law enforcement officer, prosecuting officer or other Government official shall ask or require an adult, youth, or child victim of an alleged sex offense as defined under Federal, tribal, state, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of such an offense.

Under 42 U.S.C. 3796gg-8(b), the refusal of a victim to submit to a polygraph or other truth telling examination shall not prevent the investigation, charging, or prosecution of an alleged sex offense by a state, Indian tribal government, territorial government, or unit of local government.

- **Nondisclosure of Confidential or Private Information Regarding Services for Victims**

Recipients and Subrecipients shall not:

- (i) disclose, reveal, or release any personally identifying information or information collected in connection with services requested, utilized, or denied through Recipient' and Subrecipient' programs, regardless of whether the information has been encoded, encrypted, hashed, or otherwise protected; or
- (ii) disclose, reveal, or release individual client information without the informed, written, reasonably time-limited consent of the person and/or parent or guardian about whom information is sought, unless the disclosure of the information is compelled by statutory or court mandate. If release of information is compelled by statutory or court mandate, Recipients and Subrecipients shall make reasonable attempts to provide notice to victims affected by the disclosure of information and shall take steps necessary to protect the privacy and safety of the person affected by the release of the information.

- **Consultation and Documentation with Local Victim Services Programs**

(Applies only to law enforcement, prosecution and the courts)

Tribal, territorial, State, or local prosecution, law enforcement, and courts must consult with tribal, territorial, State or local victim service programs during the course of developing their grant applications. This will ensure that proposed activities and equipment acquisitions are designed to promote the safety, confidentiality, and economic independence of victims of domestic violence, sexual assault, stalking and dating violence.

All appropriate documentation must be maintained on file by the project and available for Cal OES or public scrutiny upon request. Failure to comply with these requirements may result in suspension of payments under the grant or termination of the grant or both and the Subrecipient may be ineligible for subaward of any future grants if the Cal OES determines that any of the following has occurred: (1) The Subrecipient has made false certification, or (2) violates the certification by failing to carry out the requirements as noted above.

CERTIFICATION

I, the official named below, am the same individual authorized to sign the Subaward [Section 14 on Grant Subaward Face Sheet], and hereby swear that I am duly authorized legally to bind the contractor or grant Subrecipient to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

Authorized Official's Signature: 

Authorized Official's Typed Name: Kurt O. Wilson

Authorized Official's Title: City Manager

Date Executed: 8/24/16

Federal Employer ID #: 946000436 Federal DUNS # 608173761

Current Central Contractor Registration Expiration Date: 5/2/17

Executed in the City/County of: Stockton/San Joaquin

AUTHORIZED BY: *(not applicable to State agencies)*

- ☐ City Financial Officer
☐ City Manager
☒ Governing Board Chair

- ☐ County Financial Officer
☐ County Manager

Signature: 

Typed Name: Anthony Silva

Title: Mayor

Q

BUDGET CATEGORY AND LINE ITEM DETAIL

Grant Recipient:	Grant Number:		
B. Operating Expenses	VAWA	VAWA Match	COST
Operating Agreement - Women's Center of San Joaquin County			\$0
Staff Salaries:			\$0
Sexual Assault Advocate-100% (12 x 2,791.67)	\$25,125	\$8,375	\$33,500 ✓
	\$0	\$0	\$0
Staff Benefits	\$0	\$0	\$0
FICA	\$1,922	\$640	\$2,562 ✓
Worker's Compensation	\$375	\$125	\$500 ✓
Health & Dental Insurance	\$4,500	\$1,500	\$6,000 ✓
Operating Expenses			
Mileage (.54 x 2,778 miles) transportation of victims to hospital/interview appointments	\$1,125	\$375	\$1,500 ✓
Emergency Fund-literature, clothing, shoes, and toiletry kits for victims	\$3,000	\$1,000	\$4,000 ✓
General Program Supplies - materials/office supplies for program purposes	\$2,391	\$797	\$3,188 ✓
Printing	\$2,250	\$750	\$3,000 ✓
Office Supplies	\$2,063	\$687	\$2,750 ✓
Postage	\$1,500	\$500	\$2,000 ✓
Duplicating Cost	\$2,250	\$750	\$3,000 ✓
On Site Training - Trauma Informed Care trainer (Daily rate = \$2,150. Remaining cost to be paid for by WCYFS)	\$750	\$250	\$1,000 ✓
Travel-2 staff members / City of Stockton Travel Policy			
Lodging (San Diego rates: 3 nights x \$153 + 15.4% tax) 2 @ \$529.69 ea=1,059	\$795	\$265	\$1,060 ✓
Meals (2 days x \$74) - 2 travelers @ \$148 ea= \$296; 2 partial days (2 days x \$55.50) - 2 travelers @ \$111 ea = \$222; TOTAL \$518	\$389	\$130	\$519 ✓
Airfare 2 @ \$350= \$700 City Pol.	\$525 \$524	\$176	\$700 ✓
Program Supplies			
Face sketch software	\$3,000	\$1,000	\$4,000 ✓
Office/Program Supplies	\$3,119	\$1,040	\$4,159 ✓
OPERATING SECTION TOTAL	\$55,078	\$18,360	\$73,438 ✓
OPERATING SECTION TOTAL			\$73,438 ✓

BUDGET CATEGORY AND LINE ITEM DETAIL

Grant Recipient: <i>City of Stokely</i>		Grant Number: <i>ST16 02 8019</i>		
C. Equipment	VAWA	VAWA Match		COST
<i>NONE</i>				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
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				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
				\$0
	Equipment Section Totals	\$0	\$0	\$0
EQUIPMENT SECTION TOTAL				\$0
Category Totals				
Same as Section 12G on the Grant Award Face Sheet	\$204,500	\$68,167		
Total Project Cost*				\$272,667
Sheet				

VSPS Budget Summary Report

ST16 Sexual Assault Law Enforcement Specialized Units Program
 Stockton, City of
 Sexual Assault LE Specialized Units

Subaward #: ST16 02 8019
 Performance Period: 07/01/16 - 06/30/17
 Latest Request: , Not Final 201

A. Personal Services - Salaries/Employee Benefits

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	16VAWA	149,422	0	149,422	0	149,422
L	16VAWA	49,807	0	49,807	0	49,807
Total A. Personal Services - Salaries/Employee Benefits:		199,229	0	199,229	0	199,229

B. Operating Expenses

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	16VAWA	55,078	0	55,078	0	55,078
L	16VAWA	18,360	0	18,360	0	18,360
Total B. Operating Expenses:		73,438	0	73,438	0	73,438

C. Equipment

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	16VAWA	0	0	0	0	0
L	16VAWA	0	0	0	0	0
Total C. Equipment:		0	0	0	0	0

<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
68,167	0	68,167	0	68,167
204,500	0	204,500	0	204,500
272,667	0	272,667	0	272,667

Total Local Match:

Total Funded:

Total Project Cost:

F/S/L (Funding Types): F=Federal, S=State, L=Local Match