## California Violence Intervention and Prevention (CalVIP) Grant Proposal

## **CalVIP Proposal Cover Sheet**

Submitted by: City of Stockton

Grant Dollars Requested: \$2,019,656

> Date Submitted: July 14, 2022

### **CalVIP Proposal Checklist**

A complete proposal package for funding under the CalVIP Grant Program must contain the following items:

	Required Items:	X
1	Completed Cover Sheet (previous page)	
2	<ul> <li>CalVIP Proposal Checklist (this page)</li> <li>Originally signed in blue ink by the authorized signatory or E-signature (no stamped signatures)</li> </ul>	
3	<ul> <li>Applicant Information Form</li> <li>Originally signed in blue ink by the authorized signatory or E-Signature (no stamped signatures)</li> </ul>	
4	<ul> <li>Proposal Narrative</li> <li>12 pages or fewer</li> <li>Optional: 1-page bibliography (not counted toward 12 pages)</li> </ul>	
5	Budget Attachment (includes Budget Tables and Narrative) <ul> <li>4 pages or fewer</li> </ul>	
	Required Attachments for <u>All Applicants</u> :	
6	Letters of Commitment from Key Partners, if applicable (Appendix E)	
7	Criteria for Non-Governmental Organizations Receiving BSCC Grant Funds (Appendix C) • Originally signed in blue ink or e-signed by the authorized signatory (no stamped signatures)	
8	Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement (Appendix I) <ul> <li>Originally signed in blue ink or e-signed by the authorized signatory (no stamped signatures)</li> </ul>	
9	CalVIP Project Work Plan (Appendix K)	
	Optional:	
10	Governing Board Resolution (Appendix G) Note: The Governing Board Resolution or other documentation of singing authority is due prior to Grant Award Agreement, <u>not</u> at time of proposal submission.	

I have reviewed this checklist and verified that all required items are included in this proposal packet. Originally signed in blue infor e-signed by the authorized signatory (no stamped signatures)

X Applicant Authorized Signature (see Applicant Information Form, item P, next page)

\* Attachments other than those listed above will be removed from the proposal and not considered during the proposal evaluation process. "

## **CalVIP Applicant Information Form**

		B	. TAX IDE	NTIFICATION NUMBER
NAME OF APPLICANT				IFICATION #
City of Stockton (City Manager's Office)			94-600004	
STREET ADDRESS	CITY		STATE	ZIP CODE
425 N. El Dorado St.	Stockton		Ca	95202
MAILING ADDRESS (if different)	CITY		STATE	ZIP CODE
	NITY-BASED ORGANIZATIC		Y	
C. CBO APPLICANTS ONLY – LOCATION OF RESIDENTS RE	CEIVING SERVICES (MU	ST BE CITY OR CIT		D IN TABLE 1):
D.CBO APPLICANTS <mark>ONLY</mark> – LIST	ANT APPLICATIONS W	MERE TOU ARE NA	MED AS A	A SUB-RECIPIENT
E. PROJECT TITLE: Operation	on Ceasefire Expansion- ed violence suppression	system.		
F. STRATEGY TO BE IMPLEMENT	TED: Focused deterre	ence, CBT, street outr intensive case mana	each, cred	ible messaging, and
G. PROJECT SUMMARY (100-150			gement	
Stockton's Operation Ceasefire has pro	duced a multi-year commun	ity-wide reduction in gu	in and gang	violence. These reduction
strategies utilize credible messengers, f	ocused deterrence, intensiv	e case management, an	d wraparou	ind services, all of which
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M. FINANCIAL OFFICER:			
NAME	TITLE	TELEPHONE NUMBER	R (direct line)
Gerardo Ponce	Fiscal Officer	209-937-8227	<i>,</i>
STREET ADDRESS		CITY	
425 N. El Dorado St.		Stockton	
STATE CA	ZIP CODE	EMAIL ADDRESS	
	95202	Gerardo.ponce@stocktonca.	
PAYMENT MAILING ADDRESS (if diff	erent) CITY	STATE	ZIP CODE
N. DAY-TO-DAY PROGRAM CONT	ACT:		
NAME	TITLE	TELEPHONE NUMBER	R (direct line)
Lindsay Brown	Management Assistant	209-937-7013	
STREET ADDRESS 425 N. El Dorado St.		CITY	
STATE	715.005.5	Stockton	
CA	ZIP CODE 95202	EMAIL ADDRESS	
	93202	Lindsay.brown@stocktonca.gov	/
O. DAY-TO-DAY FISCAL CONTAG			
NAME	TITLE	TELEPHONE NUMBER	R (direct line)
Gerardo Ponce	Fiscal Officer `	209-937-8227	
STREET ADDRESS		CITY	
425 N. El Dorado St.		Stockton	
STATE CA	ZIP CODE	EMAIL ADDRESS	
CA	95202	Gerardo.ponce@stocktonca.go	V
P. AUTHORIZED SIGNATURE*:			
By signing this application, I hereby BSCC, and the grantee and any subc	certify I am vested by the ontractors will abide by the	Applicant with the authority to en laws, policies, and procedures go	nter into contract with the overning this funding.
NAME OF AUTHORIZED OFFICER	TITLE		HONE NUMBER
Harry Black	City Manager	209-9	37-8294
STREET ADDRESS	CITY	STATE ZIP COL	DE
425 N. El Dorado St.	Stockton	Ca 95202	
EMAIL ADDRESS			
Harry.black@stocktonca.gov			
SIGNATURE (Blue Ink or E-signature only DATE			
A	154	7/0	4/22
* Authorized Give t			•••••

Authorized Signature: Must be a representative with the authority to sign documents and obligate the applicant.

#### 1. Description of Community Need (Percent of Total Value: 15%)

**1.1** With gun-related homicides steadily on the rise throughout our country, the city of Stockton has–until recently–managed to maintain its grip on this historic problem for nearly two years evidenced by its pre- and mid-pandemic reductions of nearly 34%. Injury shootings are an entirely different story, however. Within the last 2 years, nonfatal injury shootings have risen by 13%. Unfortunately, homicides have also begun to rise. The current YTD homicide rate through June of 2022 is at 23, up 35% from last year, and is on pace to reach 50.4 by year's end; with the summer months still in full tilt (Stockton Crime Stats, 2022).

But despite this mixed and temporary success; Stockton remains as having one of the highest crime rates in the state of California. Historically, its homicide rate has been tripled that of other cities comparable to its population. One crime report highlighted Stockton as having more murders per capita than the notorious city of Chicago (Sullican, 2012). And more recently, there has been an increase in youth violence, with over 400 gun-related incidents in 2020 and over 640 in 2021, all committed by those under the age of 18 (SPD Crime Stats, 2022). Gun crimes has always been a common theme among those associated and/ or who self-identify as being part of a gang/group. Currently, Stockton has more than 21 active groups with many residents being associates and/ or close family members of those involved in gang/group criminal behavior (CSPC-Stockton Problem Analysis, 2016).

Stockton also has one of the highest rates of poverty in the country with 16.8% living below the poverty line. This, compared to the national poverty rate of 13.4%, shows the disparity *and* need our community faces. We have zip codes with the unemployment rate as high as 51% and high school graduation rates as low as 40% (San Joaquin County Community Health Needs Assessment, 2022). These realities were also reflected in a survey conducted during the beginning stages of the South Stockton Promise Zone Initiative, where more than 700 Stockton residents described a lack of opportunity in education, health, safety, housing, recreation, and jobs.

In 2016, the City of Stockton partnered with the California Partnership for Safe Communities (CPSC) and the Stockton Police Department (SPD) to conduct a Gun

Violence Problem Analysis identifying the characteristics of those driving most of the violence. The findings showed that most violent crime is committed by 18-35 years old; which happens to be less than 1% of the overall city's population—totaling less than 250 people. Additional demographics found that most were Black or Hispanic males, with the average age of a perpetrator being 24-25, and the average age of victims being 30-32 years old. Most (if not all) have criminal records with an average of being arrested 4 times or more. As a result, the City of Stockton partnered with additional consultants to strengthen the newly implemented violence reduction strategy called Operation Ceasefire (Kennedy, 2001).

Operation Ceasefire is an evidence-based and data-informed strategy that uses weekly data from law enforcement agencies to inform both focused-deterrence and outreach operations while engaging high risk populations. These weekly meetings, called Shooting Reviews, also serve to identify those recently involved in acts of violence *and* who meet the criteria of having a high likelihood of being involved in retaliation. After identification, a "Safety Meeting" (formerly called custom notifications) is conducted to inform those individuals of their safety risk and/or their potential arrest if they continue in criminal behavior.

By communicating this "stick and carrot" message-in addition to offering services and supports-OVP aims to improve the outcomes of those considered at the highest risk of becoming victims and/ or perpetrators of gun violence. However, OVP is somewhat limited to working with individuals who do <u>not</u> have active warrants or pending charges due to implications resulting from those who may be arrested shortly after our engagement. And although OVP takes pride in the strong partnership it has with the SPD, sudden arrests made after client engagement may put credible messengers at risk of being considered informants, and potentially jeopardizes the relationships built between frontline staff and community. Therefore, our partnership has resulted in an agreement not to engage those with imminent arrests. As a result, more homicides and injury shootings can occur from those who continue in gun violence but have yet to be arrested. Generally, OVP only engages with about 150 (of the 250-300) high-risk individuals on an annual basis.

As mentioned, most efforts are targeted towards young "adults". However, based on the data, intervention services should also be targeted towards a much younger population (ages 12-17) in order to reduce and sustain reductions. **1.2** Due to this, OVP also recognizes the importance in using a public health approach to reduce gun violence which includes *prevention* efforts that are targeted more upstream. Underlying factors and the known social determinants of health contribute to individuals being more likely to become victims and/ or perpetrators of gun violence and are reflected in most Stockton communities including poverty, poor education, poor healthcare, etc. Contributing factors like these, including witnessing, and residing in neighborhoods with high crime, all lead to the likelihood of an individual becoming high-risk (Bleeding Out, 2019).

#### 2. Project Description (Percent of Total Value: 40%)

2.1 Based on the detailed need above, OVP seeks funding from the Board of State and Community Corrections (BSCC) CalVIP program to expand its current model and the city of Stockton's community violence intervention ecosystem, to address gun violence overall, while immediately decreasing homicides and nonfatal shootings in the near term.

OVP seeks to enhance its efforts in intervention and implement a *new* prevention component to aid in the overall reduction of gun-related homicides, injury shootings, and aggravated assaults. Through performance management measures, OVP maintains weekly data-informed Coordination meetings to discuss all recent and newly identified high-risk individuals. Outreach Workers/ Case Managers (also known as "Peacekeepers" and credible messengers) are then dispatched to engage with these individuals while building relationships and offering wraparound services. Peacekeepers begin by completing a safety plan assessing any immediate safety needs including emergency and rapid relocation to prevent victimization. Participants are then placed on caseloads where Peacekeepers work with participants to reach both short-term and long-term goals. OVP also receives calls 24/7 when a shooting incident occurs; to which, Outreach Supervisors then dispatch Peacekeepers to either the incident location

or the hospital (or both) to engage with the families of the victim and at times, the victim themselves.

In consideration of OVP's current programs and activities, we propose to enhance these efforts to accomplish the goal of reducing homicides, nonfatal injury shootings, and aggravated assaults citywide by 1) Engaging more high-risk individuals overall, 2) Expanding the current model to include prevention strategies, 3) Improving the outcomes of clients and family members, and 4) Improving coordination efforts between violence prevention agencies.

Goal 1: Engaging more high-risk individuals by expanding the age demographic and target population. As mentioned, OVP has worked primarily with young adults from the ages of 18-35. But because of the increase in youth violence, OVP proposes to expand its target population to include high risk youth, ages 12-17 years old, who are likely to become victims and/ or perpetrators of gun violence.

a. High-Risk Youth: I.) Identification - OVP will use the current Ceasefire strategy to identify youth by the aforementioned Shooting Review process. In addition, OVP will begin taking referrals from the local Juvenile Detention Center, San Joaquin County Probation Department, Stockton Unified School District (SUSD) Police, and the County Office of Education (One.Schools). All referrals will be assessed to determine if they meet program criteria. The Child and Adolescence Needs and Strengths tool (an evidence-based assessment) will be used to determine the level of need, guide service planning, and monitor outcomes (CANS, 2021). II.) Street Outreach - OVP will use the Office of Juvenile Justice and Delinquency Prevention's (OJJDP) Street Outreach and Comprehensive Gang Model as a guiding framework to engage these youth (OJJDP, 2009). Outreach workers in the model are referred to as "street" outreach workers because their work is not office-based or even institutional or school-based, but occurs primarily in targeted neighborhoods, at the street and home level, and is similar to the Cure Violence model (Arciaga & Gonzalez, 2012). A Youth Outreach Worker will attempt to contact the referral only after meeting with and securing consent from the parent and/ or guardian. III.) Intensive Case Management - Upon agreement, the Youth Outreach Worker will conduct a Safety and Needs Assessment to determine any immediate safety risks and/or needs (including emergency relocation). Then after, the

primary goal is to build relationships with clients (and their family) and engage with them in office, at their home, school, or while in custody. Referred clients will be engaged inperson, 2-3 times per week, and supported with any additional resources like employment, education, etc. *IV*). <u>Mentorship</u> - Active clients will then be invited to participate in a newly developed youth mentorship group to support clients' success at maintaining a more prosocial lifestyle. These group sessions will be offered once a week and will include the Pathway to Restoration curriculum (provided by Choices for Freedom, Inc.). OVP anticipates serving between 58-60 high risk youth per year, with two (2) Youth Outreach Workers maintaining an average caseload of 10-12 individuals at any given time.

2.2 b. Adults with pending cases (or active warrants): To address OVP's inability to engage young adults with pending charges, OVP proposes to partner with a local nonprofit called Friends Outside (FO) that has a long history providing violence intervention services for high-risk individuals and over 35 years working with violent offenders in CDCR institutions and county jails. FO uses a curriculum called Creative Conflict Resolution, a program proven successful at changing the attitudes and behaviors of those who engage in physical violence due to interpersonal and/or group conflict (Kessler, 1978). FO has the advantage of not having an intervention strategy so closely aligned with law enforcement and is better suited to engage individuals who may or may not be arrested shortly after engagement. I.) Identification - OVP will use the current method outlined in the Ceasefire model that identifies high-risk adults but will refer to FO in a "Safety Meeting" (not including law enforcement). FO also has a separate partnership with the San Joaquin County Probation Department and CDCR. They have an extensive experience working with those who are in custody, have active warrants, pending charges, and/or deemed high-risk. As always, building rapport and a relationship is the first and primary goal when initiating engagement. Once established, the credible messenger can use their influence to help clients make better decisions and thereby; help improve individual outcomes. II.) Intensive Case Management credible messenger will maintain caseload of the referred despite their potential for arrest. Credible messenger will also work with clients to complete an intake and safety risk assessment, and Life Plan (case plan) motivating clients to work towards meeting

both short-term and long-term goals. In-person engagements will occur 2-3 times per week, with at least one type of engagement (phone call, text, etc.) occurring every day. In addition, credible messenger will refer clients to additional resources including housing, mental and behavioral health services, employment, etc. FO anticipates the client may be arrested after engagement. If so, all credible messengers have been cleared to provide in-custody visits and will assess whether or not services should be paused. OVP anticipates Friends Outside serving between 25-30 high risk young adults per year, with one (1) Case Manager maintaining an average caseload of 12-15 individuals at any given time. In total, OVP anticipates increasing our number of engaging high-risk clients (including youth and young adults) by 50% from 150 to 225 individuals.

Goal 2: Incorporating Prevention Strategies. Strengthening and enhancing OVP's Public Health approach to gun violence. By identifying and communicating safety risks to our target population, OVP already uses a public health approach to curb gun violence. However, funding will help to strengthen this approach by incorporating prevention strategies to address the social determinants of health and root causes that lead to high-risk behaviors. In so doing, OVP proposes to create two new committees that will expand its current model and help achieve its goal of reducing gun violence overall. Committee 1: Violence Prevention Steering Committee - Also, drawn from OJJDP's Comprehensive Gang Model, this committee will support OVP and its efforts by bringing together a group of 9-12 community leaders specifically tasked with the following duties: 1) create, review, and update a comprehensive citywide violence prevention strategic plan, 2) explore strategies related to building police-community trust, and 3) Identify, recommend, and advocate for additional funding or resources to sustain violence reduction strategies (OJJDP, 2009). Members will have different backgrounds and professional experience and represent the different fields associated with main social determinants of health (health care, education, social, economic, environmental). Other members will include those from faith communities, law enforcement, and juvenile justice or youth-serving organizations.

Funding will help convene participants and develop the strategic plan coordinated by technical assistance provider (reference in section 3.6). **Committee 2:** 

Violence Prevention Referring Council - This committee will serve as the referring council for all youth, aged 12-17, referred by various community partners including SUSD police, Juvenile Detention Center, SJ County Probation Department, County Office of Education, Community, and another other referral source. Council members will review referral needs and make recommendations to local service providers and/ or community-based organizations that will best serve the youth, their families, and their needs. Members of this council will include a broad cross-section of education, healthcare, juvenile justice, law enforcement, and faith-based community leaders, and one (1) transitional aged minority youth between the ages of 18-25. Violence impacts racial and minority populations at disproportionate levels affecting their overall health and wellbeing. Therefore, the purpose of this committee is also to help reduce these disparities by increasing access to public health for our targeted population. a. Servicing at-risk transitional aged youth. OVP also proposes to partner with Friends Outside, a local nonprofit organization specializing in reentry and community-based services geared towards system-impacted youth, young adults, and their families. Upon funding, Friends Outside will provide the following support to OVP: 1.) Youth Prevention Specialist - to receive referrals for at-risk youth aged 13-25 years old. The prevention specialist will provide mentorship and wraparound service for all clients and their families. Referral sources will include Violence Prevention Referring Council, SUSD, Community, etc. II.) Relocation Assistance - OVP also proposes Friends Outside manage a relocation assistance program. This program will support high-risk clients and their families when and if there is an immediate need to relocate due to imminent threats of harm. A Resource Specialist will help coordinate rapid relocations with OVP and Friends Outside clients by 1) researching and identifying housing options (including emergency housing options like motels, hotels, etc.), 2) process and track financial assistance covering moving costs, and 3) schedule free online financial literacy courses for all program recipients.

Goal 3: <u>Improving the Outcomes of clients and their family members</u> with trauma-informed practices.

a. Crisis Response - OVP is in partnership with the local county hospital providing hospital-based violence intervention (HVI) whereby, Peacekeepers respond to

all gang related shootings to engage with victims, and their families. Peacekeepers are on-call 24/7 and are often met with family members who are grieving, vowing revenge, and in full crisis. Therefore, OVP proposes to execute a partnership with El Concilio, a local mental health provider who will respond to shootings (with Peacekeepers) to provide crisis management to families and victims of gun violence. The contractor will: a.) respond within 3hrs following a gun incident, b.) offer crisis management to family of recent victims, and c.) refer victims and/ or their families to additional services within 48hrs (if needed).

**b.** Addressing Trauma through Mental Health Services - Victims of gun violence are often re-victimized and/ or remain unserved immediately following their victimization due to their lack of trust with system-related resources. Peacekeepers are impacted by gun violence due to responding to crime scenes, hospitals, and working directly with families impacted by violence. As a result, Peacekeepers are susceptible to vicarious trauma and if not addressed can result in medical and/or mental health problems as well as increase staff turnover. Therefore, OVP proposes to use funding to execute a professional services contract to address client and staff trauma through the use of a Mental Health Clinician and provide trauma healing training with a focus of a.) help mitigate current and historical trauma experienced by clients, b.) help mitigate staff secondary trauma, and c.) provide on-site mental health support during Safety Meetings to offer on-going support to potential clients, d.) refer clients to community resources for on-going mental health needs, and e.) provide healing opportunities through trauma-informed training and reflective supervision.

#### 3. Organizational Capacity and Coordination (Percent of Total Value: 20%)

**3.1** Though OVP and its Ceasefire strategy was officially institutionalized and implemented in 2013, the office has been working with gang/group-involved youth and young adults since 1998. Through a local tax measure (Measure A), the office was funded with 14 full time staff including eight (8) Outreach Workers "Peacekeepers", two (2) Outreach Supervisors, and four (4) upper management positions including a Management/Data Analyst, Administrative Assistant, Senior Program Lead, and the OVP Director.

OVP has been successful in implementing and managing a comprehensive violence reduction strategy including providing violence interruption, street outreach, intensive case management, service brokerage, and support groups containing elements of cognitive behavioral therapy for youth and young adults. Our partnerships are strong, will continue to increase, and currently includes partners like SUSD Police, the Stockton Police Department, County Office of Education, local hospitals, El Concilio Community Services, San Joaquin Worknet, San Joaquin Delta College, The Mora Learning Institute, San Joaquin County Public Health, and Friends Outside that will help to advance this project if funded. And though OVP has the necessary infrastructure to execute an evidence-based strategy to reduce gun violence, coordination has not always been the best. Therefore, through this proposed project we hope to provide a more streamlined approach to addressing gun violence by enhancing the coordination between all partnering agencies; providing a roadmap to partnership and program sustainability.

3.2 Goal 4: Improving the coordination between violence reduction partners. OVP currently conducts weekly Strategy Meetings composed of interventionists (not including law enforcement) to discuss ongoing and brewing conflicts. Discussions are held around known and/ or likely involved individuals. associates, family, and gang/group involvement, with the goal of creating specific engagement strategies to reduce the likelihood of gun violence. Through BSCC funding, OVP plans to enhance these Strategy Meetings to include Friends Outside to 1) discuss and assign referrals received via Shooting Reviews, 2) contribute to strategy discussion outlining best client-engagement approach. 3.2 OVP also plans to contract with the Mora Learning Institute (MLI) to provide a 12-session leadership training for OVP (including the Leadership Council), and Friends Outside's intervention staff that will support staff achieve the goals of this project while also enhancing the camaraderie and coordination amongst partners. Topics include organizational communication, leadership responsibility, organizational unity, workplace toxicity, resiliency, etc. In addition, MLI will provide a Life Coaching certification for this project team. Staff will receive 40 hours of instruction and coaching that will provide tools to help clients succeed, motivate, and inspire them to reach their goals, and overcome life/work

challenges. **3.4** Most OVP and partnering staff have worked with the target population for more than five years and/ or are formerly system-involved and/ or have been impacted by gun violence. OVP will maintain our practice of hiring and/ or contracting with culturally competent subject matter experts and those with direct experience working with high-risk populations. **3.5** Originally funded by the BSCC CalVIP Strengthening Grant, OVP implemented a Leadership Council group composed of individuals who were at one point Ceasefire clients (high risk and impacted by violence) but have made sufficient progress to become advisors and advocates for reducing violence in the community and building police-community trust. Through listening sessions and focus group meetings, council members expressed a desire to mentor high-risk youth to build relationships with those who may not have positive role models and/ or support systems. They have also expressed a desire to be better positioned to apply for credible messenger/ case management positions and other opportunities.

Therefore, OVP plans to expand the council's involvement in this project's design and implementation by: 1) convening both the Leadership Council and the Youth Support Group on a quarterly basis to provide mentorship opportunities to highrisk youth, 2) offer council members an opportunity to serve on interview panels when hiring for the new positions detailed in this proposal, and 3) including council members in MLI's leadership training to help prepare them for additional leadership roles and positions. 3.6 In addition to providing training, OVP plans to contract with Peace Partners Consulting Group (PPCG) to be the lead technical assistance provider. PPCG will also work in close partnership with OVP leads providing direct project management while ensuring compliance as required by BSCC guidelines. PPCG will also help build out the aforementioned Violence Prevention Steering Committee by coordinating the planning and implementation phases of this activity helping to develop the comprehensive citywide violence reduction strategy report to include current efforts, past initiatives, and ongoing recommendations. PPCG is a California based consulting firm specializing in grant writing & management, training, technical assistance, and advocacy for criminal justice and violence reduction agencies, offices, and organizations.

The managing partner, who will serve as the lead consultant, has over 15 years of combined experience in nonprofit management, organizational development, and community outreach. More specifically, he has worked in the field of violence reduction for over 10 years, playing a crucial role in implementing citywide violence reduction strategies, and has provided technical assistance to multiple California cities and organizations.

Previously Relinquished Grant Funds - In 2020, OVP was awarded BSCCs CalVIP Cohort III grant funds to enhance intervention strategies but unfortunately due to the effects of COVID-19—staff shortages, county, and citywide health protocols the resulting inability to maintain engagement with clients—funds were relinquished to prevent poor execution of grant activities. All activities listed in this proposal are an enhancement and/ or new addition to existing violence prevention and intervention programs and are not duplicated.

#### 4. Project Evaluation and Monitoring (Percent of Total Value: 12%)

4.1 In order to evaluate the proposed project, OVP will partner with a local nonprofit evaluation organization called San Joaquin Community Data Co-Op. The evaluation work will include a comprehensive process and outcome evaluation. As part of this effort, the evaluation team will collect baseline data on all homicides and gun-related crime from 2018 to 2021 to track patterns over time, allowing data comparisons from 2022 to 2025. In addition, baseline and outcome data collection (pre and post) will include the following: Employment and housing status; Whether clients have safe and permanent housing (for youth this will include housing permanency data regarding the family); Participation in both the youth and adult leadership councils (support groups); Whether clients have access to daily meals, whether such access was/is consistent day to day; Client families receipt of supportive services along with the number and type of supportive services; Whether clients feel safe; List of leaders and partners supporting the violence reduction efforts of Operation Ceasefire including the name, organization, and length of time in support of efforts; List of the trainings and the education provided to project staff; and specific data on the Violence Prevention Steering Committee and

the Violence Prevention Referring Council (i.e., number of meetings, participating partners, and meeting outcomes).

*Methods of Tracking Changes and Project Performance* - To effectively track changes and project performance over time, the project team will be working closely with the local evaluator. The project team will meet with the evaluator at least once every quarter. During these meetings (which will occur in person and via conference call), the collaborative team will work together to complete the BSCC quarterly reports. In addition, the evaluator will review grant progress and will discuss project data, progress, and successes. At these meetings, challenges will also be discussed as well as ways to address these.

**Process Evaluation -** In tracking the program process, evaluators will collect data, information, and feedback about how the project develops and is implemented over time. In addition, the process evaluation will center on comparing what is implemented with respect to the original theory of the program that is outlined in the program logic model. In doing so, the evaluation team will determine if the project was implemented as planned. Moreover, evaluators will determine if services reached the target population. Program satisfaction surveys will be utilized to assess whether clients found the program to be beneficial. The data that will be collected to address the process measures include the number of Safety Meetings (call-ins and custom notifications) that were hosted, the number of Leadership Council meetings held, and the number of Youth Support Group meetings.

**Results and Conclusion -** As part of the final Local Evaluation Report, the evaluator will be providing a detailed explanation of the program process and impact using the data and information produced as a result of the project. The evaluation team will also interpret what the findings mean and whether the project worked as intended. The following questions will guide this process: a.) Did the project work as intended? b.) What did the project accomplish? c.) Were all the goals achieved? d.) Did the project results agree with the initial expectations? e.) What do the results mean? f.) Did project results agree with similar studies conducted by other researchers? and g.) Were there any lessons learned?



Headquarters 445 North San Joaquin Street Stockton, CA 95202-2026

TEL 209.644.2600 FAX 209.644.2642

24 June 2022

To:	Board of State and Community Corrections
Re:	California Violence Intervention & Prevention (CalVIP)
Grant Date:	July 15, 2022

#### To Whom It May Concern:

On behalf of El Concilio California, the largest Hispanic-led 501(c)(3) multiservice, nonprofit agency in California's Central Valley, it is my pleasure to provide this letter of support for, and agreement to partner with Stockton's Office of Violence Prevention (OVP) to enhance OVP's hospital-based violence prevention program by providing clinical support to victims following a shooting incident.

As a part of this grant, El Concilio California agrees to provide the following supports to OVP:

- 1. Provide a clinician and or a mental health specialist who will respond with a Peacekeeper to San Joaquin County Hospital after a shooting incident.
  - Clinical staff shall respond to a shooting call within 3 hours but no later than 24 hours after the shooting.
  - Clinical staff shall provide the following supports to victims of violence:
    - Assess the situation and the client(s) for crisis needs
    - Intake, Assessment, Qualify (one-on-one counseling)
    - Refer for services
    - Provide follow-up (or set an appointment).
- 2. Evaluate effectiveness of efforts in partnership with San Joaquin Community Data Co-op.

In continual operation for 54 years, El Concilio California has the trusted mission to uplift and advocate for California's marginalized, minority, economically segregated people, and communities of color by providing access to crucial services, programs, and resources to over 75,000 individuals and families annually for a whole-person approach to increased physical, psychological, and economic well-being. The agency's behavioral health department – inclusive of behavioral; mental; clinical; family recovery (supervised visitation, safety net services); re-entry case management; survivor/victim of crime services; and, adult trauma – is administrated from the agency's behavioral health center located in downtown Stockton, with services extending across the agency's 5-county imprint, 14 locations, and 18 satellite offices.

Should you have any questions regarding El Concilio California, or our commitment and role in this initiative, please contact me either by phone (209-644-2628) or email (jrod@elconcilio.org).

Sincerely, osé R. Rodriguez **Fresident and CEO** 

San Joaquin Stanislaus Contra Costa www.El Concilio.org

ATTACHMENT A



Public Health Services

A DIVISION OF HEALTH CARE SERVICES AGENCY

Date: July 8, 2022

#### To: Board of State and Community Corrections

#### Re: Letter of Support for City of Stockton's California Violence Intervention & Prevention (CalVIP) Grant

#### Dear Selection Committee:

On behalf of San Joaquin County Public Health Services (PHS), I would like to express our strong support for Stockton's Office of Violence Prevention (OVP) CalVIP grant proposal. Violence prevention is a long-standing public health priority and we welcome the opportunity to work in partnership with OVP in developing and implementing strategies based on the Office of Juvenile Justice & Delinquency Prevention (OJJDP) comprehensive gang model.

Specifically, we are committed to:

- Serving on the Steering Committee that will bring together agencies and organizations interested in or responsible for managing Stockton's gang problem while leveraging existing community resources to support youth prevention and intervention.
- Providing epidemiologic support and technical assistance in understanding what the data tells us about the social, economic, and environmental conditions that exacerbate crime and violence in our more vulnerable neighborhoods. This also includes working with the San Joaquin Community Data Co-op to help evaluate the effectiveness of implementation strategies.
- Actively participating in the project referral network that will be key to serving the needs of identified at-risk youth and their families.

In summary, crime and violence are complicated multi-dimensional problems that requires action at both the individual and community level. CalVIP funding would provide the critical infrastructure we all have been seeking; it would be a much-needed catalyst and driver for collective action to realize healthier outcomes.

Thank you for considering the proposal, and please feel free to contact me should you have additional questions at <u>zrodriquez@sjcphs.org</u>.

Sincerely,

Zienna Blackwell-Rodriquez DNP, RN, PHN Director



To: Board of State and Community Corrections

Re: California Violence Intervention & Prevention (CalVIP) Grant

Date: July 15, 2022

This letter is being submitted to document that Friends Outside agrees to partner with Stockton's Office of Violence Prevention (OVP) in providing the below supports:

- Friends Outside agrees to provide intensive case management and life coaching for high-risk transitional aged youth (15-25) who fall within the below criteria:
  - System impacted youth who have active warrants.
  - High-risk TAY youth who do not meet the OVP risk assessment criteria of gun or gang involvement
- Friends Outside agrees to administer a housing program focused on rapid relocation for clients and family members at imminent risk of gun violence, emergency relocation following gun violence as well as provide supplemental housing assistance to clients and families demonstrating progress toward meeting their life plan goals. Clients referred for housing support will be provided the following life skills support:
  - · Financial counseling, such as budgeting, savings, credit repair, etc.
  - · Connect the client to community resources based on client need.
  - · Provide clients with housing options in San Joaquin County and surrounding areas.
  - Agree to work with OVP, Advance Peace and others in the ongoing development and refinement of the OVP Leadership Council.
  - Friends Outside agrees to participate with the OVP team in attending several trainings focused on building staff leadership capacity and addressing vicarious trauma. OVP will coordinate the training activities for example:

12-week leadership training	32-hour life coaching certification	Trauma 101
Suicide awareness	Mandated Reporting	Various other trainings

• Friends Outside also agrees to work with San Joaquin Community Data Co-op to evaluate the effectiveness of indicated programs.

Friends Outside's commitment extends beyond the specific functions bulleted above to fully support the Office of Violence Prevention efforts to reduce violence citywide, promote

opportunity for young people at highest risk of homicide and strengthen community- police relations.

Please feel free to reach out to me if you have any questions about our commitment and role in this initiative.

Warm Regards,

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Gretchen Newby, MA/MFT Executive Director gnewby@friendsoutside.org



SAN JOAQUIN COUNTY OFFICE OF EDUCATION

Troy A. Brown, Ed.D., County Superintendent of Schools

P.O. Box 213030 Stockton, CA 95213-9030 (209) 468-4800 www.sjcoe.org

To: Board of State and Community Corrections

Re: California Violence Intervention & Prevention (CalVIP)

Grant Date: July 15, 2022

This letter of support is submitted to document that the San Joaquin County Office of Education (SJCOE) agrees to partner with Stockton's Office of Violence Prevention (OVP) to carry out the goals outlined in the CalVIP grant proposal.

As a part of this grant, SJCOE agrees to work with OVP to develop and implement the following:

- 1. Identify the level of need within SJCOE's schools and develop and implement a school-based violence prevention program.
- 2. Establish a youth violence outreach program focused on reducing gun and gang violence. Youth Peacekeepers will provide the following supports:
  - 1. Outreach
  - 2. Assessment
  - 3. Case management
  - 4. Referrals for services
  - 5. Vocational support
  - 6. Mentoring
  - 7. Whole family support- as needed and wanted
- 3. Evaluate the effectiveness of these efforts in partnership with San Joaquin Community Data co-op.

The San Joaquin County Office of Education is a regional agency that provides educational leadership, resources, and customized services to assist the county's 14 school districts. SJCOE promotes student achievement and accountability, serves San Joaquin County's most vulnerable students, and strives to create an environment where every student, regardless of circumstances, has an opportunity for quality education. SJCOE is uniquely positioned to address youth violence within Stockton, given the innovative work among the county's highest-risk youth.

Please feel free to reach out to me if you have any questions about our commitment and role in this initiative.

Sincerely,

Troy A. Brown, Ed.D. San Joaquin County Superintendent of Schools

To: Board of State Community Corrections Re: California Violence Intervention & Prevention (CalVIP) Grant Date: July 2, 2022

Please accept this letter confirming the partnership of Peace Partners Consulting Group (PPCG) with the City of Stockton's Office of Violence Prevention (OVP), in the hopes of them securing the California Violence Intervention & Prevention Program grant award.

As a partner on this grant, PPCG agrees to provide direct technical assistance and support for the overall implementation and management of this project. More specifically, PPCG will help develop the Violence Prevention Steering Committee, the Violence Prevention Referring Council, and provide direct oversight and support for the creation and execution of the citywide Violence Reduction Strategic Plan.

PPCG will also provide intensive support to enhance and expand the Leadership Council to secure its critical role in the advancement of community violence intervention and community-based public safety strategies. Lastly, PPCG will support throughout the lifetime of this project all key partners including Friends Outside, El Concilio, and Be Smooth, Inc.

In Peace,

Keiland Henderson Managing Partner



6/27/2022

Board of State and Community Corrections 2590 Venture Oaks Way, Suite 200 Sacramento, CA 95833

To Whom It May Concern:

On behalf of the San Joaquin Community Data Co-Op (Data Co-Op), a non-profit applied social research and evaluation organization in Stockton, California, I am writing to you to express my support of the City of Stockton, Office of Violence Prevention's California Violence Intervention and Prevention (CalVIP) grant application.

Stockton's proposal aims to mobilize the community behind violence reduction in Stockton and allow the Leadership Council to become a community asset. Previously, the grant expanded Operation Ceasefire to include a re-entry component along with family support with the efforts of Friends Outside. Also, Faith in the Valley implemented community engagement efforts to spread a message of violence reduction and to build community support for Ceasefire. The Operation Ceasefire partnership in Stockton has historically achieved successful outcomes and has created a Leadership Council. Leadership Council membership consists of clients who are at high risk of gun violence and who have made progress to become advisors and advocates. Through the new California Violence Intervention and Prevention (CalVIP) Grant, the Office of Violence Prevention (OVP) will continue to build on previous successes.

As part of the proposed project the Data Co-Op would be partnering with the project team and our organization's role will center on providing a process and outcome evaluation. More specifically, the Data Co-Op will be working with each grant partner in order to provide training on the collection and utilization of data to inform and guide the evaluation design – a process that will measure data from baseline, over the course of multiple quarters, as well as program completion. The Data Co-Op will also assist with the review of harvested data and developing content that illustrates impact and trends through data.

In my view as a researcher who has worked in Stockton and San Joaquin County for over 10 years, the City of Stockton, Office of Violence Prevention are at the ideal place with leadership and collaboration to effectively partner on this critically important grant opportunity and in doing so dramatically impact quality of life as it connects with reduction in violence, leadership development, and housing. Thank you very much for considering Stockton's proposal.

Sincerely,

Waldson

Stephanie Waldron Interim Executive Director

620 N. Aurora, Suite 310, P.O. Box 1237, Stockton, CA 95201 Tel: (209) 816-1464; E: swaldron@sjdatacoop.org

## Appendix C: Criteria for Non-Governmental Organizations Receiving BSCC Grant Funds

The California Violence Intervention and Prevention (CalVIP) Grant Program Request for Proposals (RFP) includes requirements that apply to non-governmental organizations that receive funds under this grant. All grantees are responsible for ensuring that any contracted third parties continually meet these requirements as a condition of receiving CalVIP funds. The RFP describes these requirements as follows:

Any non-governmental organization that receives CalVIP grant funds (as either subgrantee or subcontractor) must:

- Have been duly organized, in existence, and in good standing for at least six (6) months prior to the effective date of its fiscal agreement with the BSCC or with the CalVIP grantee;
  - Non-governmental entities that have recently reorganized or have merged with other qualified non-governmental entities that were in existence prior to the sixmonth date are also eligible, provided all necessary agreements have been executed and filed with the California Secretary of State prior to the start date of the grant agreement with the BSCC or the start date of the grantee-subcontractor fiscal agreement;
- Be a nonprofit and recognized by the Internal Revenue Service as a 501(c)(3) organization;
- Employ persons or volunteers that have a minimum of three (3) years of combined experience in implementing violence reduction strategies and have implemented these strategies within the past five (5) years;
- Be registered with the California Secretary of State's Office;
- Have an Employer Identification Number (EIN);
- Have a valid business license, if applicable;
- Have any other state or local licenses or certifications necessary to provide the services requested (e.g., facility licensing by the Department of Health Care Services), if applicable; and
- Have a physical address in the State of California. (An agent for service of process with a California address is insufficient.)

In the table below, provide the name of the Grantee and list all contracted parties.

#### Grantee:

Name of Contracted Party	Address	Email / Phone	Meets All Requirements	
Choices for Freedom	4620 Ridgewood Crt, Stockton, CA, 95212	nate@choicesforfreedom.org 510-815-3488	Yes x No	
Friends Outside	1148 W. Fremont, Stockton, CA, 95204			
El Concilio of California 445 N. San Joaquin St, Stockton, CA, 95202		Jrod@elconcilio.org 209-644-2628	Yes x No	

Be Smooth Inc.	20 N. Sutter St., Stockton, CA, 95202	lecia@BeSmooth.org 209-629-0572	Yes x No
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Grantees are required to update this list and submit it to the BSCC any time a new third-party contract is executed after the initial assurance date. Grantees shall retain (on-site) applicable source documentation for each contracted party that verifies compliance with the requirements listed in the 2021 CalVIP RFP. These records will be subject to the records and retention language found in Appendices A and C of the Standard Agreement.

Unless prior approval is obtained, the BSCC prohibits disbursement or reimbursement to any NGO that does not meet the requirements listed above and for which the BSCC does not have a signed grantee assurance on file.

## A signature below is an assurance that all requirements listed above have been met.

erson who is authorize	d to sign the Gra	ant Agreement.)		
TITLE		TELEPHONE		
City Manager		209-937-8294		
CITY Stockton	STATE CA	ZIP CODE 95202		
Harry.black@stocktonca.gov SIGNATURE (Blue Ink or E-signature only) x DATE 7/12/22				
	TITLE City Manager CITY Stockton	City Manager CITY STATE Stockton CA		

# Appendix I: Certification of Compliance with BSCC Polices Regarding Debarment, Fraud, Theft and Embezzlement

It is the policy of the BSCC to protect grant funds from unreasonable risks of fraudulent, criminal, or other improper use. As such, the Board <u>will not</u> enter into contracts or provide reimbursement to applicants that have been:

- 1. debarred by any federal, state, or local government entities during the period of debarment; or
- 2. convicted of fraud, theft, or embezzlement of federal, state, or local government grant funds for a period of three (3) years following conviction.

Furthermore, the BSCC requires grant recipients to provide an assurance that there has been no applicable debarment, disqualification, suspension, or removal from a federal, state or local grant program on the part of the grantee at the time of application and that the grantee will immediately notify the BSCC should such debarment or conviction occur during the term of the Grant contract.

BSCC also requires that all grant recipients include, as a condition of award to a subgrantee or subcontractor, a requirement that the subgrantee or subcontractor will provide the same assurances to the grant recipient. If a grant recipient wishes to consider a subgrantee or subcontractor that has been debarred or convicted, the grant recipient must submit a written request for exception to the BSCC along with supporting documentation.

By checking the following boxes and signing below, applicant affirms that:

- X I/We are not currently debarred by any federal, state, or local entity from applying for or receiving federal, state, or local grant funds.
- X I/We have not been convicted of any crime involving theft, fraud, or embezzlement of federal, state, or local grant funds within the last three (3) years. We will notify the BSCC should such debarment or conviction occur during the term of the Grant contract.
- X I/We will hold subgrantees and subcontractors to these same requirements.

A grantee may make a request in writing to the Executive Director of the BSCC for an exception to the debarment policy. Any determination made by the Executive Director shall be made in writing.

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)					
NAME OF AUTHORIZED OFFICER	TITLE	TELEPHONE NUMBER	EMAIL ADDRESS		
Harry Black	City Manager	209-937-8294	Harry.black@stocktonca.gov		
STREET ADDRESS	CITY	STATE	ZIP CODE		
425 N. El Dorado St.	Stockton	CA	95202		
APPLICANT'S SIGNATURE (Bluetne or	e-signature Only)		DATE		
x 55 B	R		7422		

### Project Work Plan

(1) Goal:	Reduce gun and gang violence citywide by 17.	expanding Ceasefire stra	tegies to high-ris	k youth ages 12-
Objectives (A., B., C):	<ul> <li>A. Engage community stakeholders to create Program to identify high-risk youth</li> <li>C. Track youth outcomes utilizing the Child assessment</li> <li>C. Of the youth referred, strive for a 60% engineering</li> </ul>	e youth gang/gun preventi Adolescence Needs & Str	on/intervention	
Project activities that support t	he identified goal and objectives:	Responsible staff/ partners	Tin	neline
1 Him Deserter O		partiters	Start Date	End Date
<ol> <li>Hire Peacekeeper Supervisor</li> <li>Hold monthly stakeholder meetings develop the youth Program, referral process &amp; collectively develop a prevention presentation for youth</li> <li>Provide CANS training to OVP staff as well as other</li> </ol>		<ul> <li>OVP Mgmt.</li> <li>OVP, SJC Office of Ed, SJC Pro.</li> <li>UC Davis</li> </ul>	10/1/2022 11/15/2022 01/01/2023	11/1/2022 02/01/2023 Ongoing
<ul> <li>trainings</li> <li>Begin accepting referrals an presentations in schools &amp; c</li> <li>Refer youth to Choices of Figure 2.</li> </ul>	community	<ul> <li>OVP-community partners</li> <li>Choices for Freedom CBO</li> </ul>	02/01/2023	Ongoing
<ol><li>Provide intensive case man</li></ol>	agement to include support in	OVP, Friends     Outside,	01/01/2023	Ongoing
education, employment, housing, trauma treatment & family support		Community Partners	02/01/2023	Ongoing
(2) Goal:	Create a citywide integrated youth violence Stockton's youth violence & strengthening an	e prevention steering co	mmittee focused	d on addressing
Objectives (A., B., C):	A. Establish an integrated Violence Prevention	on Steering Committee	oach to gun viole	ence.
	<ul> <li>B. Establish Violence Prevention Referring C</li> <li>C. Commence all Program Services Identified</li> </ul>	ouncil	9	
Project activities that support the	ne identified goal and objectives:	Responsible staff/	Timeline	
1.0.1		partners	Start Date	End Date
<ol> <li>Outreach and Engagement of Appropriate Community stakeholders to Inform Ongoing Strategy Efforts</li> <li>Identify Integrated Violence Prevention Steering Committee Members</li> <li>Identify Violence Prevention Referring Council Members</li> <li>Meet monthly to develop steering committee MOUs.</li> <li>Identify steering committee lead agency</li> <li>Complete the Violence Reduction Strategic Plan</li> </ol>		OVP, Peace Partners, community partners	10/1/2022 10/1/2022 10/1/2022 10/1/2022 10/1/2022 10/1/2022	Ongoing 10/1/2023 10/1/2023 10/1/2023 10/1/2023 10/1/2024
7. Provide Life Coaching certification for OVP and partner agency's credible messengers		<ul> <li>Mora Learning Institute</li> </ul>	10/1/2022	Ongoing
(3) Goal:	Improve the outcomes of clients and their family members with trauma informed practiced and enhance Hospital-based Violence Interventions (HVI).			
Objectives (A., B., C):	A. Address Behavioral and Mental Health Needs of shooting victims and family B. Begin Referrals to Appropriate Community Resources C. Engage Families of Participants			
Project activities that support the identified goal and objectives:		Responsible staff/	Timeline	
1.0		partners	Start Date	End Date
1. Commence Behavioral and M 2. Commence Family Engagem	nent Services as Appropriate	<ul> <li>El Concilio &amp; Be Smooth</li> </ul>	11/15/2022	Ongoing
3. Provide Relocation Assistance		<ul> <li>OVP, Friends Outside</li> </ul>	11/15/2022 11/15/2022	Ongoing
	OVP, Friends     Outside     Outside			